



**May 21<sup>st</sup>, 2018**

**Camden County Senate Bill 40 Board  
(dba) Camden County Developmental  
Disability Resources**

**Open Session Board Meeting**

# Agenda

Camden County Senate Bill 40 Board  
d/b/a Camden County Developmental Disability Resources  
100 Third Street  
Camdenton, MO 65020

Tentative Agenda for Open Session Board Meeting on May 21<sup>st</sup>, 2018, 4:00 PM

**This Board Meeting will be held at:**

**255 Keystone Industrial Park Drive**

**Camdenton, MO 65020**

Call to Order/Roll Call

Approval of Agenda

Approval of Open Session Board Meeting Minutes for April 16<sup>th</sup>, 2018

Approval of Closed Session Board Meeting Minutes for April 16<sup>th</sup>, 2018

Acknowledgement of Distributed Materials to Board Members

- CLC Monthly Reports
- LAI Monthly Reports
- April 2018 Support Coordination Report
- April 2018 CARF Reports (Updates not Available Until December 2018)
- April 2018 Employment Report
- April 2018 Agency Economic Report
- March 2018 Credit Card Statement
- Resolutions 2018-16, 2018-17, 2018-18, 2018-19, 2018-20, 2018-21, & 2018-22

Speakers/Guests

- NONE

Monthly Reports

- Arc of the Lake
- Children's Learning Center
- Lake Area Industries (Includes Sealed Proposals Publicly Opened)

Old Business for Discussion

- Local Housing Initiative Updates
- Transportation Updates
- Arc of Missouri Statewide Victimization Campaign Updates
- Office 365 Conversion Updates

## New Business for Discussion

- RFP 2018-1: Banking Services (Includes Sealed Proposals Publicly Opened)
- NOFA 2018-1: I/DD Direct & Community Inclusion Supports & Services
- Emergency Procurement – Keystone Office
- Potential Dates for Budget Appropriations Committee Meeting

## April Support Coordination Report

## April CARF Reports

## April Employment Report

## April Agency Economic Report

## March Credit Card Statement

## Discussion & Conclusion of Resolution:

1. Resolution 2018-16: Approval to Enter Lease Agreement for Office Space
2. Resolution 2018-17: Approval of Amended Policy #10
3. Resolution 2018-18: Approval of Amended Policy #27
4. Resolution 2018-19: Approval of Amended Policy #31
5. Resolution 2018-20: Recognition of OATS, Inc. as Single Feasible Source for Public Transit Services
6. Resolution 2018-21: OATS Contract Renewal July 1st, 2018, to June 30th, 2019 - Employment Transportation
7. Resolution 2018-22: OATS MOU for Tri-County Transit Deviated Route & Extended Week/Weekend Services

## Public Comment

Pursuant to **ARTICLE IV, "Meetings"**, Section 5. Public Comment:

"The Board values input from the public. There shall be opportunity for comment by the public during the portion of the Board agenda designated for "Public Comment". Public comment shall be limited to no more than 3 minutes per person to allow all who wish to participate to speak. It is the policy of the Board that the Board shall not respond to public comment at the Board meeting."

"Only comments related to agency-related matters will be received, however such comments need not be related to specific items of the Board's agenda for the meeting. The Board shall not receive comments related to specific client matters and/or personnel grievances, which are addressed separately per Board policies and procedures."

## Adjournment

**The news media may obtain copies of this notice by contacting:**

**Ed Thomas, CCDDR Executive Director**

**5816 Osage Beach Parkway, Suite 108, Osage Beach, MO 65065**

**Office: 573-693-1511      Fax: 573-693-1515      Email: [director@ccddr.org](mailto:director@ccddr.org)**



**April 16<sup>th</sup>, 2018**

**Open Session Minutes**

**CAMDEN COUNTY DEVELOPMENTAL DISABILITY RESOURCES**  
**Open Session Minutes of April 16<sup>th</sup>, 2018**

**Members Present**     Shanna Weber, Chris Bothwell, Lisa Jackson,  
Angela Sellers, Kym Jones, Lorraine Russell

**Members Absent**     Suzanne Perkins, Paul DiBello, Brian Willey

**Others Present**       Ed Thomas, Executive Director

**Guests Present**       Natalie Couch, Lillie Smith, (LAI)  
Susan Daniels Lisa Berkstresser (CLC)  
Jeanna Booth, Marcie Vansyoc, Connie Baker, Myrna Blaine,  
Linda Simms (CCDDR)

**Approval of Agenda**

Motion by Lisa Jackson, second Chris Bothwell, to approve the agenda as presented.

AYE: Shanna Weber, Chris Bothwell, Lisa Jackson,  
Angela Sellers, Kym Jones, Lorraine Russell

NO:    None

New Board Members, Kym Jones, and Lorraine Russell were welcomed.

**Approval of Open Session Board Minutes for March 19<sup>th</sup>, 2018**

Motion by Shana Weber, second Chris Bothwell, to approve the March 19<sup>th</sup>, 2018  
Open Session Board Meeting Minutes as presented.

AYE: Shanna Weber, Chris Bothwell, Lisa Jackson

NO:    None

ABSTAIN:   Angela Sellers, Kym Jones, Lorraine Russell because  
they were not present at the Mach 19<sup>th</sup>, 2018 board  
meeting.

**Acknowledgement of Distributed Materials to Board Members**

- CLC Monthly Reports
- LAI Monthly Reports
- March 2018 Support Coordination Report
- Mach 2018 CARF Reports (Updates not available until December 2018)
- March 2018 Employment Report
- March 2018 Agency Economic Report
- February 2018 Credit Card Statement

## **Speakers/Guests**

- None

## **Monthly Reports**

### **Arc of the Lake**

#### **Myrna Blaine**

The April newsletter and e-mail blast was sent out. Arc of the Lake is seeking ideas for fund raising, any donations would be greatly appreciated. The Arc of the Lake is offering 6 weekend scholarships, 2 each for Camden, Miller and Morgan County. A 1-week scholarship for first time camper is also being offered in honor of Gigi Maha. Persons interested should send a letter to Myrna Blaine or Nancy Hayes. A 5K run is being sponsored by Arc of the Lake this Saturday, 7 AM, at the Dogwood Festival. Upcoming events sponsored by Arc of the Lake are: Resource Carnival at Keystone is April 28<sup>th</sup> from 2 pm to 4pm – Ice cream social is July 21<sup>st</sup> from 1 PM to 3 PM at the park in Versailles, and the Annual swim party is August 12<sup>th</sup> from 10 AM to 4 PM.

### **Children's Learning Center (CLC)**

#### **Susan Daniels**

CLC's summer and fall classes are full and have a huge waiting list. CLC hired two part time persons to help out for the summer session. Lake Area Art Council will hold an art auction on Saturday, April 21<sup>st</sup> with part of the proceeds going to CLC and CADV. \$1440 was received from Community Foundation of the Lake (CFL) grant for one child's tuition. June 8<sup>th</sup> is Night Glow 5k at the Camdenton High School. The Lake's Shootout has expanded their program and CLC will be a recipient of funds at the auction on August 25<sup>th</sup>.

### **Lake Area Industries (LAI)**

#### **Natalie Couch**

LAI's net income for April was \$5700. Contract Packaging is very busy - orders for the drink sticks are finished, and creamer project is ongoing. LAI presently has more work than workers. KNR is a new customer for LAI. Progress is being made with the new program for foam recycling, the garden center opened last week, tropical plants will be in this week but having some difficulty due to the bad weather. LAI will be in the Dogwood Festival parade. A commercial for McGrath Insurance will be done on Wednesday. LAI will be going to Jefferson City next Tuesday for "Day of Choice for Employment. LAI will be a recipient again this year for Pumpkin Chunkin and the grant funds will be used for half of bus fares CCDDR does not pay for.

## **Old Business for Discussion**

- **Local Housing Initiative Updates**

A meeting was held on April 11 at Keystone. A meeting will also be held on April 22<sup>nd</sup> to plan for the housing conference that will be held in late August or early September regarding genuine concerns about housing at the lake.

- **Transportation Updates – Proposed Tri-County Transit Deviated Route**

In July, OATS will be running 2 buses 5 days a week, 10 hours a day (may expand to 12 hours a day) with a radius of 125 miles (includes 18 communities) around the lake for \$2 a trip. The transportation is employment centered. There will be stops in between communities, with designated pick up locations 1 mile either side of dedicated route, if needed, for seniors with disabilities. Buses may go anywhere within the city limits. Starting points for buses are still being worked out. Map is online at Lake Sun and Lake News on line, the OATS website, and Lake Today. Go-Caps – two schools cannot participate because of transportation problem. LOTC could work towards their transportation needs if riders are over the age of 15.

### **New Business for Discussion**

- **Joint CCDDR/LAI Committee Meeting 4/12/18**

The meeting was a very productive and positive meeting. LAI must connect to city sewer. Competitive employment and employment choices were discussed as LAI wants to give flexibility to persons wanting jobs.

- **New Support Coordinators**

Two new Support Coordinator will begin work on April 30. CCDDR had difficulty finding qualified applicants for Support Coordinator positions. Beginning May 1<sup>st</sup>, Sharla Jenks will begin doing monthly monitoring to relieve Support Coordinators of this task.

### **March Support Coordination Report**

CCDDR is in a growth spurt again and at end of March, the agency had 337 clients. Clients are transferring in and out, with some being in the intake process. April looks to be more promising. Caseload numbers are high, monitoring changes should help.

Motion by Chris Bothwell, second Lisa Jackson, to approve the report as presented

AYE: Shanna Weber, Chris Bothwell, Lisa Jackson,  
Angela Sellers, Kym Jones, Lorraine Russell

NO: None

### **March CARF Reports**

Have no numbers for CARF this month. CCDDR is working with Set-Works to implement the mechanisms for tracking numbers in proposed new outcomes.

Motion by Chris Bothwell, second Lisa Jackson, to approve the report as presented

AYE: Shanna Weber, Chris Bothwell, Lisa Jackson,  
Angela Sellers, Kym Jones, Lorraine Russell

NO: None

### **March Employment Report**

Approximately 20% of working age clients are in community employment. The report may be redesigned within the next few months.

Motion by Chris Bothwell, second Lisa Jackson, to approve the report as presented

AYE: Shanna Weber, Chris Bothwell, Lisa Jackson,  
Angela Sellers, Kym Jones, Lorraine Russell

NO: None

### **March Agency Economic Report**

Agency budget continues to be well within budget guidelines. LAI capital expenses are expected in the coming months. Budget will be modified in July and may free up funds for housing voucher expansion or to provide other services.

Motion by Chris Bothwell, second Lorraine Russell, to approve the report as presented

AYE: Shanna Weber, Chris Bothwell, Lisa Jackson,  
Angela Sellers, Kym Jones, Lorraine Russell

NO: None

### **February 2017 Credit Card Statement**

No Questions and a vote not necessary.

### **Discussion & Conclusion of Resolution:**

1. None

### **Adjournment:**

Motion by Lisa Jackson, second Chris Bothwell to adjourn to closed session pursuant to section 610,021 RSMO, subsections (1) and (11). A voice vote was taken.

AYE: Shanna Weber, Chris Bothwell, Lisa Jackson,  
Angela Sellers, Kym Jones, Lorraine Russell

NO: None

Board Members returned from Closed Session.

**Adjournment:**

Motion by Shana Weber, second Lisa Jackson, to adjourn meeting.

AYE: Shanna Weber, Chris Bothwell, Lisa Jackson,  
Angela Sellers, Kym Jones, Lorraine Russell

NO: None

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Board Chairman

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Secretary

# **CLC Monthly Report**



**SB40/CCDDR Funding Request  
for  
MAY 2018**

Utilizing APRIL 2018 Records



**CHILDREN'S LEARNING CENTER**  
**Statement of Activity**  
April 2018

	First Steps	Step Ahead	Not Specified	TOTAL
Revenue				
40000 INCOME				0.00
41000 Contributions & Grants				0.00
41100 CACFP		928.24		928.24
41500 Misc. Grant Revenue		350.00		350.00
Total 41000 Contributions & Grants	\$ 0.00	\$ 1,278.24	\$ 0.00	\$ 1,278.24
42000 Program Services				0.00
Total 42100 First Steps	\$ 9,296.33	\$ 3,130.00	\$ 0.00	\$ 12,426.33
Total 42000 Program Services	\$ 9,296.33	\$ 3,130.00	\$ 0.00	\$ 12,426.33
43000 Tuition				0.00
43100 Dining				0.00
43120 Lunch		275.00		275.00
43130 Snack		55.00		55.00
Total 43100 Dining	\$ 0.00	\$ 330.00	\$ 0.00	\$ 330.00
43500 Tuition		3,102.60		3,102.60
43505 Subsidy Tuition		626.50		626.50
Total 43500 Tuition	\$ 0.00	\$ 3,729.10	\$ 0.00	\$ 3,729.10
Total 43000 Tuition	\$ 0.00	\$ 4,059.10	\$ 0.00	\$ 4,059.10
45000 Other Revenue				0.00
45200 Fundraising Income				0.00
45220 Summer Night Glow 5K		2,130.00		2,130.00
45221 Raffle-Summer Night Glow		100.00		100.00
Total 45220 Summer Night Glow 5K	\$ 0.00	\$ 2,230.00	\$ 0.00	\$ 2,230.00
Total 45200 Fundraising Income	\$ 0.00	\$ 2,230.00	\$ 0.00	\$ 2,230.00
45300 Miscellaneous Revenue		292.29		292.29
45310 Donations		75.00		75.00
Total 45300 Miscellaneous Revenue	\$ 0.00	\$ 367.29	\$ 0.00	\$ 367.29
Total 45000 Other Revenue	\$ 0.00	\$ 2,597.29	\$ 0.00	\$ 2,597.29
Total 40000 INCOME	\$ 9,296.33	\$ 11,064.63	\$ 0.00	\$ 20,360.96
Total Revenue	\$ 9,296.33	\$ 11,064.63	\$ 0.00	\$ 20,360.96
Gross Profit	\$ 9,296.33	\$ 11,064.63	\$ 0.00	\$ 20,360.96
Expenditures				
50000 EXPENDITURES				0.00
51000 Payroll Expenditures				0.00
Total 51100 Employee Salaries	\$ 0.00	\$ 17,322.06	\$ 0.00	\$ 17,322.06
Total 51500 Employee Taxes	\$ 0.00	\$ 1,606.95	\$ 0.00	\$ 1,606.95
Total 51600 Health Insurance	\$ 0.00	\$ 1,099.62	\$ 0.00	\$ 1,099.62
51900 Workermans Comp Insurance		253.00		253.00
Total 51000 Payroll Expenditures	\$ 0.00	\$ 20,281.63	\$ 0.00	\$ 20,281.63
53000 Equipment		25.99		25.99
54000 Fundraising/Grants				0.00
54200 Summer Night Glow 5K		48.03		48.03

Total 54000 Fundraising/Grants	\$	0.00	\$	48.03	\$	0.00	\$	48.03
56000 Office Expenditures								0.00
56300 Office Supplies				215.75				215.75
Total 56000 Office Expenditures	\$	0.00	\$	215.75	\$	0.00	\$	215.75
57000 Office/General Administrative Expenditures								0.00
57100 Accounting Fees								0.00
57150 Online Accounting Software Service				104.00				104.00
Total 57100 Accounting Fees	\$	0.00	\$	104.00	\$	0.00	\$	104.00
57160 QuickBooks Payments Fees				1.50				1.50
57400 Child Management Software				35.00				35.00
57600 License/Accreditation/Permit Fees				125.00				125.00
57960 Janitorial/Custodial				350.00				350.00
Total 57000 Office/General Administrative Expenditures	\$	0.00	\$	615.50	\$	0.00	\$	615.50
58000 Operating Supplies				106.14				106.14
58100 Consumables				363.02				363.02
58200 Dining				1,566.16				1,566.16
58400 Sanitizing				289.85				289.85
Total 58000 Operating Supplies	\$	0.00	\$	2,325.17	\$	0.00	\$	2,325.17
59000 Program Service Fees								0.00
Total 59100 First Steps	\$	9,114.33	\$	0.00	\$	0.00	\$	9,114.33
Total 59000 Program Service Fees	\$	9,114.33	\$	0.00	\$	0.00	\$	9,114.33
63000 Utilities								0.00
63200 Internet		18.17		41.99				60.16
63300 Telephone		38.66		90.24				128.90
63400 Trash Service				36.92				36.92
63500 Water Softener				24.00				24.00
Total 63000 Utilities	\$	56.83	\$	193.15	\$	0.00	\$	249.98
64000 Contributions & Grants		-1,287.00		-12,006.80				-13,293.80
Total 50000 EXPENDITURES	\$	7,884.16	\$	11,698.42	\$	0.00	\$	19,582.58
Payroll Expenses								0.00
Company Contributions								0.00
Health Insurance				750.20				750.20
Total Company Contributions	\$	0.00	\$	750.20	\$	0.00	\$	750.20
Total Payroll Expenses	\$	0.00	\$	750.20	\$	0.00	\$	750.20
Reimbursements				12.03				12.03
Total Expenditures	\$	7,884.16	\$	12,460.65	\$	0.00	\$	20,344.81
Net Operating Revenue	\$	1,412.17	\$	1,396.02	\$	0.00	\$	16.15
Net Revenue	\$	1,412.17	\$	1,396.02	\$	0.00	\$	16.15

**CHILDREN'S LEARNING CENTER**  
**Statement of Activity**  
January - April, 2018

	First Steps	Step Ahead	Not Specified	TOTAL
<b>Revenue</b>				
40000 INCOME				0.00
41000 Contributions & Grants	1,415.70	6,458.72		7,874.42
41100 CACFP		3,324.45		3,324.45
41200 Camden County SB40	2,917.20	22,190.76		25,107.96
41500 Misc. Grant Revenue		2,290.00		2,290.00
Total 41000 Contributions & Grants	\$ 4,332.90	\$ 34,263.93	\$ 0.00	\$ 38,596.83
42000 Program Services				0.00
Total 42100 First Steps	\$ 37,962.82	\$ 10,690.00	\$ 0.00	\$ 48,652.82
Total 42000 Program Services	\$ 37,962.82	\$ 10,690.00	\$ 0.00	\$ 48,652.82
43000 Tuition				0.00
43100 Dining				0.00
43120 Lunch		1,150.00		1,150.00
43130 Snack		230.00		230.00
Total 43100 Dining	\$ 0.00	\$ 1,380.00	\$ 0.00	\$ 1,380.00
43200 Enrollment Fees		70.00		70.00
43500 Tuition		12,307.90		12,307.90
43506 Subsidy Tuition		2,050.04		2,050.04
Total 43500 Tuition	\$ 0.00	\$ 14,357.94	\$ 0.00	\$ 14,357.94
Total 43000 Tuition	\$ 0.00	\$ 15,807.94	\$ 0.00	\$ 15,807.94
45000 Other Revenue				0.00
45200 Fundraising Income				0.00
45220 Summer Night Glow 5K		2,130.00		2,130.00
45221 Raffle-Summer Night Glow		100.00		100.00
Total 45220 Summer Night Glow 5K	\$ 0.00	\$ 2,230.00	\$ 0.00	\$ 2,230.00
45270 Frosty Float Fundraiser		2,150.00		2,150.00
45280 Pizza For A Purpose		7,401.43		7,401.43
Total 45200 Fundraising Income	\$ 0.00	\$ 11,781.43	\$ 0.00	\$ 11,781.43
45300 Miscellaneous Revenue		314.24		314.24
45310 Donations		300.00		300.00
45312 Community Rewards		207.12		207.12
Total 45310 Donations	\$ 0.00	\$ 507.12	\$ 0.00	\$ 507.12
Total 45300 Miscellaneous Revenue	\$ 0.00	\$ 821.36	\$ 0.00	\$ 821.36
Total 45000 Other Revenue	\$ 0.00	\$ 12,602.79	\$ 0.00	\$ 12,602.79
Total 40000 INCOME	\$ 42,295.72	\$ 73,364.66	\$ 0.00	\$ 115,660.38
Total Revenue	\$ 42,295.72	\$ 73,364.66	\$ 0.00	\$ 115,660.38
Gross Profit	\$ 42,295.72	\$ 73,364.66	\$ 0.00	\$ 115,660.38
<b>Expenditures</b>				
50000 EXPENDITURES				0.00
51000 Payroll Expenditures				0.00
Total 51100 Employee Salaries	\$ 0.00	\$ 60,208.16	\$ 0.00	\$ 60,208.16
Total 51500 Employee Taxes	\$ 0.00	\$ 5,663.41	\$ 0.00	\$ 5,663.41
Total 51600 Health Insurance	\$ 0.00	\$ 3,176.30	\$ 0.00	\$ 3,176.30
51900 Workermans Comp Insurance		2,150.00		2,150.00
51950 Employee Garnishments		126.30		126.30
Total 51000 Payroll Expenditures	\$ 0.00	\$ 71,324.17	\$ 0.00	\$ 71,324.17
52000 Advertising/Promotional		254.85		254.85
53000 Equipment		171.48		171.48
54000 Fundraising/Grants				0.00
54200 Summer Night Glow 5K		1,476.05		1,476.05
54700 Pizza For A Purpose		758.19		758.19

54800 Lip Sync Battle Fundraiser		10.00		10.00
Total 54000 Fundraising/Grants	\$ 0.00	\$ 2,244.24	\$ 0.00	\$ 2,244.24
55000 Insurance				0.00
55100 Brokerage/Other Fees		50.00		50.00
55200 Commercial General Liability		627.00		627.00
55300 Commercial Property		512.00		512.00
55400 Director's & Officers		478.00		478.00
55500 Hired & Non-Owned Auto		52.00		52.00
55600 Professional Liability		933.00		933.00
55700 Crime Policy		533.00		533.00
Total 55000 Insurance	\$ 0.00	\$ 3,185.00	\$ 0.00	\$ 3,185.00
56000 Office Expenditures				0.00
56100 Copy Machine	459.45	1,101.05		1,560.50
56200 Miscellaneous		32.97		32.97
56300 Office Supplies		895.33		895.33
Total 56000 Office Expenditures	\$ 459.45	\$ 2,029.35	\$ 0.00	\$ 2,488.80
57000 Office/General Administrative Expenditures		67.05		67.05
57100 Accounting Fees				0.00
57150 Online Accounting Software Service		464.60		464.60
Total 57100 Accounting Fees	\$ 0.00	\$ 464.60	\$ 0.00	\$ 464.60
57160 QuickBooks Payments Fees		186.92		186.92
57400 Child Management Software		140.00		140.00
57600 License/Accreditation/Permit Fees		125.00		125.00
57900 Seminars/Training		205.00		205.00
57960 Janitorial/Custodial		1,250.00		1,250.00
Total 57000 Office/General Administrative Expenditures	\$ 0.00	\$ 2,438.57	\$ 0.00	\$ 2,438.57
58000 Operating Supplies		220.33		220.33
58100 Consumables		560.08		560.08
58200 Dining		5,680.22		5,680.22
58400 Sanitizing		426.80		426.80
Total 58000 Operating Supplies	\$ 0.00	\$ 6,887.43	\$ 0.00	\$ 6,887.43
59000 Program Service Fees				0.00
Total 59100 First Steps	\$ 36,102.81	\$ 0.00	\$ 0.00	\$ 36,102.81
Total 59000 Program Service Fees	\$ 36,102.81	\$ 0.00	\$ 0.00	\$ 36,102.81
61000 Repair & Maintenance		105.70		105.70
62000 Safety & Security	90.00	90.00		180.00
63000 Utilities				0.00
63100 Electric	461.78	1,077.50		1,539.28
63200 Internet	72.50	167.96		240.46
63300 Telephone	154.64	360.94		515.58
63400 Trash Service		184.60		184.60
63500 Water Softener		102.87		102.87
Total 63000 Utilities	\$ 688.92	\$ 1,893.87	\$ 0.00	\$ 2,582.79
64000 Contributions & Grants	-1,287.00	-12,006.80		-13,293.80
Total 50000 EXPENDITURES	\$ 36,054.18	\$ 78,617.86	\$ 0.00	\$ 114,672.04
Payroll Expenses				0.00
Company Contributions				0.00
Health Insurance		750.20		750.20
Total Company Contributions	\$ 0.00	\$ 750.20	\$ 0.00	\$ 750.20
Total Payroll Expenses	\$ 0.00	\$ 750.20	\$ 0.00	\$ 750.20
Reimbursements		33.13		33.13
Total Expenditures	\$ 36,054.18	\$ 79,401.19	\$ 0.00	\$ 115,455.37
Net Operating Revenue	\$ 6,241.54	-\$ 6,036.53	\$ 0.00	\$ 205.01
Net Revenue	\$ 6,241.54	-\$ 6,036.53	\$ 0.00	\$ 205.01

**CHILDREN'S LEARNING CENTER**  
**Statement of Cash Flows**  
April 2018

	First Steps	Step Ahead	Not Specified	TOTAL
<b>OPERATING ACTIVITIES</b>				
Net Revenue	1,412.17	-1,396.02		16.15
Adjustments to reconcile Net Revenue to Net Cash provided by operations:				0.00
Accounts Receivable (A/R)			626.15	626.15
Repayment:Cash Advance Repayment			0.00	0.00
Accounts Payable (A/P)			-795.83	-795.83
21000 CBOLO MasterCard -8027		-1,611.40	931.81	-679.59
21200 Kroger-DS1634 CLC		-1,294.53	1,514.50	219.97
22100 Payroll Liabilities:Anthem			0.00	0.00
22200 Payroll Liabilities:Childcare Tuition			120.00	120.00
22300 Payroll Liabilities:Federal Taxes (941/944)			0.00	0.00
22400 Payroll Liabilities:MO Income Tax			448.00	448.00
22500 Payroll Liabilities:MO Unemployment Tax			-462.58	-462.58
Direct Deposit Payable			281.06	281.06
Payroll Liabilities:Aflac			511.10	511.10
Payroll Liabilities:Alera			556.00	556.00
Payroll Liabilities:US Department of Education			42.10	42.10
Total Adjustments to reconcile Net Revenue to Net Cash provided by operations:	\$ 0.00	-\$ 2,905.93	\$ 3,772.31	\$ 866.38
Net cash provided by operating activities	\$ 1,412.17	-\$ 4,301.95	\$ 3,772.31	\$ 882.53
Net cash increase for period	\$ 1,412.17	-\$ 4,301.95	\$ 3,772.31	\$ 882.53
Cash at beginning of period			20,175.17	20,175.17
Cash at end of period	\$ 1,412.17	-\$ 4,301.95	\$ 23,947.48	\$ 21,057.70

**CHILDREN'S LEARNING CENTER**  
**Statement of Cash Flows**  
January - April, 2018

	First Steps	Step Ahead	Not Specified	TOTAL
<b>OPERATING ACTIVITIES</b>				
Net Revenue	6,241.54	-6,036.53		205.01
Adjustments to reconcile Net Revenue to Net Cash provided by operations:				0.00
Accounts Receivable (A/R)			443.35	443.35
Cash Advance		-700.00		-700.00
Repayment:Cash Advance Repayment			700.00	700.00
Accounts Payable (A/P)			125.00	125.00
21000 CBOLO MasterCard -8027		-3,823.56	4,102.02	278.46
21200 Kroger-DS1634 CLC		-4,964.12	5,556.91	592.79
22100 Payroll Liabilities:Anthem			182.65	182.65
22200 Payroll Liabilities:Childcare Tuition			420.00	420.00
22300 Payroll Liabilities:Federal Taxes (941/944)			-1,719.93	-1,719.93
22400 Payroll Liabilities:MO Income Tax			236.00	236.00
22500 Payroll Liabilities:MO Unemployment Tax			55.09	55.09
Direct Deposit Payable			-7,363.64	-7,363.64
Payroll Liabilities:Aflac			511.10	511.10
Payroll Liabilities:Alera			556.00	556.00
Payroll Liabilities:US Department of Education			147.35	147.35
Total Adjustments to reconcile Net Revenue to Net Cash provided by operations:	\$ 0.00	\$ 9,487.68	\$ 3,951.90	\$ 5,535.78
Net cash provided by operating activities	\$ 6,241.54	\$ 15,524.21	\$ 3,951.90	\$ 5,330.77
Net cash increase for period	\$ 6,241.54	\$ 15,524.21	\$ 3,951.90	\$ 5,330.77
Cash at beginning of period			26,388.47	26,388.47
Cash at end of period	\$ 6,241.54	\$ 15,524.21	\$ 30,340.37	\$ 21,057.70

**CHILDREN'S LEARNING CENTER**  
**Statement of Financial Position**  
As of April 30, 2018

	Jan - Apr, 2018
<b>ASSETS</b>	
Current Assets	
Bank Accounts	
11000 CBOLO Checking	21,057.70
Total Bank Accounts	\$ 21,057.70
Accounts Receivable	
Accounts Receivable (A/R)	553.40
Total Accounts Receivable	\$ 553.40
Other Current Assets	
14000 Undeposited Funds	0.00
Cash Advance	700.00
Prepaid Expenses	7,971.74
Repayment	
Cash Advance Repayment	-700.00
Total Repayment	-\$ 700.00
Total Other Current Assets	\$ 7,971.74
Total Current Assets	\$ 29,582.84
<b>TOTAL ASSETS</b>	<b>\$ 29,582.84</b>
<b>LIABILITIES AND EQUITY</b>	
Liabilities	
Current Liabilities	
Accounts Payable	
Accounts Payable (A/P)	125.00
Total Accounts Payable	\$ 125.00
Credit Cards	
21000 CBOLO MasterCard -8027	1,245.06
21200 Kroger-DS1634 CLC	1,514.50
Total Credit Cards	\$ 2,759.56
Other Current Liabilities	
22000 Payroll Liabilities	
22100 Anthem	2,191.63
22200 Childcare Tuition	2,601.44
22300 Federal Taxes (941/944)	-8,242.58
22400 MO Income Tax	-2,144.48
22500 MO Unemployment Tax	-718.20
22600 Primevest Financial	448.19
Aflac	511.10
Allera	556.00
Health Care (United HealthCare)	776.25
US Department of Education	421.00
Total 22000 Payroll Liabilities	-\$ 3,599.65
Direct Deposit Payable	-7,363.64
Total Other Current Liabilities	-\$ 10,963.29
Total Current Liabilities	-\$ 8,078.73
Total Liabilities	-\$ 8,078.73
Equity	
30000 Opening Balance Equity	13,816.12
Retained Earnings	23,640.44
Net Revenue	205.01
Total Equity	\$ 37,661.57
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$ 29,582.84</b>

**CHILDREN'S LEARNING CENTER**  
**Accounts Receivable YTD by Class**  
January - April, 2018

	Date	Transacti on Type	Num	Name	Memo/Description	Amount	Balance
Step Ahead							
	02/01/2018	Pledge	1857	[REDACTED]	February Tuition	210.00	210.00
	02/01/2018	Pledge	1857	[REDACTED]	February Dining	25.00	235.00
	02/01/2018	Pledge	1857	[REDACTED]	February Snack Fee	5.00	240.00
	03/01/2018	Pledge	1872	[REDACTED]	March Snack Fee	5.00	245.00
	03/01/2018	Pledge	1872	[REDACTED]	March Dining	25.00	270.00
	03/01/2018	Pledge	1872	[REDACTED]	March Tuition	157.50	427.50
	04/03/2018	Pledge	1887	[REDACTED]	April snack fee	5.00	432.50
	04/03/2018	Pledge	1886	[REDACTED]	April tuition	210.00	642.50
	04/03/2018	Pledge	1886	[REDACTED]	April dining fee	25.00	667.50
	04/03/2018	Pledge	1886	[REDACTED]	April snack fee	5.00	672.50
	04/03/2018	Pledge	1887	[REDACTED]	April dining fee	25.00	697.50
	04/03/2018	Pledge	1887	[REDACTED]	April tuition	207.60	905.10
	04/03/2018	Pledge	1893	[REDACTED]	April tuition	60.00	965.10
Total for Step Ahead						\$ 965.10	



**CHILDREN'S LEARNING CENTER**  
**AGENCY UPDATE/PROGRESS REPORT**  
**APRIL 2018**

○ **CHILD COUNT/ATTENDANCE**

Step Ahead currently has 31 children enrolled  
24 of the 33 with special needs/dd (6 one-on-ones)

○ **COMMUNITY EVENTS**

**Attended:**

4/4 – Lake Area Chamber Lunch & Learn (Haley & Susan attended)  
4/11 – CLC Board Meeting  
4/11 – Immunization Audit – Department of Health & Senior Services  
4/17 – BNI Lake of the Ozarks (Hayley & Susan visited & spoke at event)  
4/20 – MO Highway Patrol Water Safety Visit  
4/21 – Lake Area Art Council – Art auction at Tan-Tar-a

**Current / Upcoming:**

5/3 – Best of the Best Social – RedHeads 5-8pm  
5/10 – Shootout Social, Fish & Co. 5-7pm  
5/15 – Lake Area Chamber Social 5-7pm  
5/18 – CLC Graduation, VFW Hall 6pm  
6/8 – Night GLOW 5K @ Camdenton High School  
8/6-10 CLC Teacher work week (Aug 7 Easter Seals, Aug 8 ChildCare Aware @Keystone)  
8/25 – Shootout Auction @ Camden on the Lake  
9/7 – Lip Sync Battle @ Inn at Grand Glaize

○ **GENERAL PROGRAM NEWS**

Accreditation Renewal submission due 6/2018  
Approved as recipient for Lake Shootout

New Playground Scrap Tire Surface Material Grant is open – Due June 1

○ **FUNDRAISING/GRANTS**

Received Disney one day hopper passes through volunteer program – Raffle tickets available

# Night GLOW

June  
8th

Friday  
Pre-activities: 6:15pm  
Race: 8PM

5K Run/Walk

Race Location:  
Camdenton High School

All contributions support **Children's Learning Center** & directly impacts children with special needs and developmental delays in the Lake area. Proceeds from the **Summer Night Glow** help provide the services needed for children 2-6 years of age for community integration, social skills, and self-help/adaptive improvement. **Follow our event on Facebook (CLC Night Glow 5k Run/Walk)**

## Participants will receive

- ★ Coveted **Summer Night Glow** T-shirt (if registered by 05/25/2018)
- ★ Goody Bag (first 300 registrants)
- ★ Bragging rights for "Lighting Up the Night" for kids at the lake!

## SPECIAL PRIZE AWARDS

- ★ Top Overall Male & Female Finishers
- ★ Best **Glow**
- ★ NEW – "BIGGEST TEAM!!!" ★

*Pets on a leash & Teams are welcome!*

## PREREGISTER (guaranteed t-shirt)

*Deadline for Preregistration: 05/25/2018*

*Fees are nonrefundable.*

\$30 Individual Entry (\$35 on Race Day)

\$25 per person for Group Entry of 4 or more (\$30 Race Day)

Register online at [CLCforkids.org](http://CLCforkids.org), use link on Facebook event page, print form & submit by mail or stop by in person:

**Children's Learning Center**  
88 Third Street  
Camdenton, MO 65020  
573-346-0660  
[www.clcforkids.org](http://www.clcforkids.org)

## REGISTER ON RACE DAY:

Camdenton High School Commons Area 6:15 – 7:45PM

## DANCE PARTY FUN

Music, photo booth & activities to "light up the night" will be available during onsite registration from 6:15—7:45PM & during results tabulation.

# **LAI Monthly Report**



**Monthly Financial Reports**  
**Lake Area Industries, Inc.**

**April 30, 2018**

**Lake Area Industries, Inc.**  
**Balance Sheet Comparison**

	As of Apr 30, 2018	As of Apr 30, 2017 (PY)
<b>ASSETS</b>		
Current Assets		
Total Bank Accounts	\$ 165,279	\$ 51,637
Total Accounts Receivable	\$ 73,319	\$ 63,995
Other Current Assets		
ALLOWANCE FOR BAD DEBTS	-\$ 4,438	\$ 0
Community Foundation of the Ozarks Agency Partner Account	\$ 500	
GIFTED GARDEN CASH	\$ 500	\$ 500
INVENTORY	\$ 19,047	\$ 21,026
PETTY CASH	\$ 150	\$ 101
Total Other Current Assets	\$ 15,759	\$ 21,627
Total Current Assets	\$ 254,357	\$ 137,258
Fixed Assets		
ACCUMULATED DEPRECIATION	-\$ 743,949	-\$ 750,580
AUTO AND TRUCK	\$ 135,854	\$ 217,090
BUILDING	\$ 377,261	\$ 366,571
FURN & FIX ORIGINAL VALUE	\$ 19,284	\$ 18,584
GH RETAIL STORE	\$ 16,505	\$ 16,505
GREENHOUSE EQUIPMENT	\$ 10,341	\$ 10,341
GREENHOUSE FACILITY	\$ 145,872	\$ 145,872
LAND	\$ 33,324	\$ 33,324
LAND IMPROVEMENT	\$ 25,502	\$ 25,502
MACHINERY & EQUIPMENT	\$ 228,826	\$ 206,905
OFFICE EQUIPMENT	\$ 11,563	\$ 11,563
SHREDDING EQUIPMENT	\$ 45,572	\$ 45,572
Total Fixed Assets	\$ 305,954	\$ 347,248
Other Assets		
CURRENT CAPITAL IMPROVEMENT	\$ 0	\$ 9,320
SALES TAX BOND	\$ 1,060	\$ 1,060
UTILITY DEPOSITS	\$ 554	\$ 845
Total Other Assets	\$ 1,614	\$ 11,225
<b>TOTAL ASSETS</b>	<b>\$ 561,925</b>	<b>\$ 495,732</b>
<b>LIABILITIES AND EQUITY</b>		
Liabilities		
Current Liabilities		
Total Accounts Payable	\$ 13,472	\$ 40,441
Total Credit Cards	\$ 0	\$ 5,496
Other Current Liabilities		
AFLAC DEDUCTIONS PAYABLE	-\$ 35	-\$ 31
FIRST NATIONAL BANK CREDIT LINE-4096	\$ 46,416	\$ 86,310
Gift Certificate Payable	\$ 195	-\$ 71
Missouri Department of Revenue Payable	\$ 0	\$ 29
SALES TAX PAYABLE	\$ 1,080	\$ 1,413
Total Other Current Liabilities	\$ 47,655	\$ 87,650
Total Current Liabilities	\$ 61,127	\$ 133,587
Total Liabilities	\$ 61,127	\$ 133,587
Equity		
Unrestricted Net Assets	\$ 393,973	\$ 316,051
Net Income	\$ 106,825	\$ 46,093
Total Equity	\$ 500,798	\$ 362,145
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>\$ 561,925</b>	<b>\$ 495,732</b>

# Lake Area Industries, Inc.

## Profit and Loss

	Apr 2018	YTD
Income		
CONTRACT PACKAGING	\$26,440	\$118,131
FOAM RECYCLING	\$10	\$236
GREENHOUSE SALES	\$14,585	\$14,601
SECURE DOCUMENT SHREDDING	\$4,448	\$11,202
Total Income	\$45,483	\$144,170
Cost of Goods Sold		
CONTRACT LABOR		\$4,869
Cost of Goods Sold	\$2,116	\$9,040
GG PLANTS & SUPPLIES	\$10,318	\$10,761
SHIPPING AND DELIVERY	\$931	\$931
WAGES - TEMPORARY WORKERS	\$459	\$12,346
WAGES-EMPLOYEES	\$23,221	\$77,821
Total Cost of Goods Sold	\$37,046	\$115,769
Gross Profit	\$8,437	\$28,402
Expenses		
ACCTG. & AUDIT FEES	\$4,410	\$8,410
ALL OTHER EXPENSES	\$1,029	\$3,759
Bus Fare	(\$13)	\$138
CASH OVER/SHORT	\$2	\$2
EQUIP. PURCHASES & MAINTENANCE	\$1,686	\$7,005
INSURANCE	\$1,402	\$5,607
NON MANUFACTURING SUPPLIES		\$54
PAYROLL	\$16,055	\$56,292
PAYROLL EXP & BENEFITS	\$6,238	\$22,650
PROFESSIONAL SERVICES	\$1,311	\$4,805
UTILITIES	\$2,159	\$7,995
Total Expenses	\$34,281	\$116,717
Net Operating Income	(\$25,844)	(\$88,316)
Other Income		
Gain/Loss on Disposal of Assets		\$14,990
INTEREST INCOME	\$13	\$37
OTHER CONTRIBUTIONS	\$300	\$915
SB-40 REVENUE	\$13,446	\$119,264
STATE AID	\$15,864	\$59,936
Total Other Income	\$29,623	\$195,141
Other Expenses		
ALLOCATION NON OPERATING EXPENSES	\$0	\$0
Total Other Expenses	\$0	\$0
Net Other Income	\$29,623	\$195,141
Net Income	\$3,779	\$106,825

**Lake Area Industries, Inc.**  
**Budget vs. Actuals**

	Apr 2018			YTD		
	Actual	Budget	over Budget	Actual	Budget	over Budget
Income						
CONTRACT PACKAGING	\$26,440	\$34,845	(\$8,405)	\$118,131	\$143,089	(\$24,958)
FOAM RECYCLING	\$10	\$417	(\$407)	\$236	\$1,668	(\$1,432)
GREENHOUSE SALES	\$14,585	\$19,727	(\$5,142)	\$14,601	\$19,727	(\$5,126)
SECURE DOCUMENT SHREDDING	\$4,448	\$2,400	\$2,048	\$11,202	\$9,600	\$1,602
Total Income	\$45,483	\$57,389	(\$11,906)	\$144,170	\$174,084	(\$29,913)
Cost of Goods Sold						
CONTRACT LABOR			\$0	\$4,869	\$0	\$4,869
Cost of Goods Sold	\$2,116	\$3,200	(\$1,084)	\$9,040	\$12,800	(\$3,760)
GG PLANTS & SUPPLIES	\$10,318	\$1,274	\$9,044	\$10,761	\$1,274	\$9,488
SHIPPING AND DELIVERY	\$931	\$1,077	(\$146)	\$931	\$1,422	(\$491)
WAGES - TEMPORARY WORKERS	\$459	\$7,300	(\$6,841)	\$12,346	\$29,200	(\$16,854)
WAGES-EMPLOYEES	\$23,221	\$23,249	(\$28)	\$77,821	\$88,262	(\$10,441)
Total Cost of Goods Sold	\$37,046	\$36,100	\$946	\$115,769	\$132,958	(\$17,189)
Gross Profit	\$8,437	\$21,288	(\$12,852)	\$28,402	\$41,126	(\$12,724)
Expenses						
ACCTG. & AUDIT FEES	\$4,410	\$4,000	\$410	\$8,410	\$4,000	\$4,410
ALL OTHER EXPENSES	\$1,029	\$5,189	(\$4,160)	\$3,759	\$19,807	(\$16,048)
Bus Fare	(\$13)		(\$13)	\$138	\$0	\$138
CASH OVER/SHORT	\$2		\$2	\$2	\$0	\$2
EQUIP. PURCHASES & MAINTENANCE	\$1,686	\$4,295	(\$2,609)	\$7,005	\$17,906	(\$10,900)
INSURANCE	\$1,402	\$1,330	\$72	\$5,607	\$5,320	\$287
NON MANUFACTURING SUPPLIES			\$0	\$54	\$0	\$54
PAYROLL	\$16,055	\$21,240	(\$5,185)	\$56,292	\$81,509	(\$25,217)
PAYROLL EXP & BENEFITS	\$6,238	\$8,493	(\$2,256)	\$22,650	\$33,327	(\$10,677)
PROFESSIONAL SERVICES	\$1,311	\$3,528	(\$2,217)	\$4,805	\$13,474	(\$8,669)
UTILITIES	\$2,159	\$1,886	\$273	\$7,995	\$7,544	\$451
Total Expenses	\$34,281	\$49,962	(\$15,681)	\$116,717	\$182,888	(\$66,170)
Net Operating Income	(\$25,844)	(\$28,673)	\$2,830	(\$88,316)	(\$141,762)	\$53,446
Other Income						
Gain/Loss on Disposal of Assets			\$0	\$14,990	\$0	\$14,990
INTEREST INCOME	\$13	\$6	\$7	\$37	\$24	\$13
OTHER CONTRIBUTIONS	\$300		\$300	\$915	\$0	\$915
SB-40 REVENUE	\$13,446	\$16,289	(\$2,843)	\$119,264	\$65,157	\$54,106
STATE AID	\$15,864	\$18,836	(\$2,972)	\$59,936	\$69,344	(\$9,408)
Total Other Income	\$29,623	\$35,131	(\$5,508)	\$195,141	\$134,525	\$60,616
Other Expenses						
ALLOCATION NON OPERATING EXPENSES	\$0	(\$0)	\$0	\$0	\$1	(\$1)
Total Other Expenses	\$0	(\$0)	\$0	\$0	\$1	(\$1)
Net Other Income	\$29,623	\$35,131	(\$5,508)	\$195,141	\$134,525	\$60,616
Net Income	\$3,779	\$6,458	(\$2,679)	\$106,825	(\$7,237)	\$114,062

**Lake Area Industries, Inc.**  
**Statement of Cash Flows**  
April 2018

	Total
<b>OPERATING ACTIVITIES</b>	
Net Income	3,779.16
Adjustments to reconcile Net Income to Net Cash provided by operations:	
<b>ACCOUNTS RECEIVABLE</b>	2,871.06
Community Foundation of the Ozarks Agency Partner Account	-0.13
<b>INVENTORY:GG PLANT &amp; SUPPLIES INVEN</b>	-9,296.60
<b>INVENTORY:RAW MATERIAL INVENTORY</b>	-1,634.53
Accounts Payable	9,754.09
CBOLO CC - 5203 Lillie	0.00
CBOLO CC - 5229 Kevin	-387.34
CBOLO CC - 5237 Natalie	-967.39
<b>AFLAC DEDUCTIONS PAYABLE</b>	-62.79
<b>FIRST NATIONAL BANK CREDIT LINE-4096</b>	-1,223.50
Gift Certificate Payable	0.00
<b>SALES TAX PAYABLE</b>	1,079.52
Total Adjustments to reconcile Net Income to Net Cash provided by operations:	\$ 132.39
Net cash provided by operating activities	\$ 3,911.55
Net cash increase for period	\$ 3,911.55
Cash at beginning of period	161,367.07
Cash at end of period	\$ 165,278.62

**Lake Area Industries, Inc.**  
**A/P Aging Summary**  
As of April 30, 2018

	Current	1 - 30	31 - 60	61 - 90	91 and over	Total
<b>TOTAL</b>	\$8,580.51	\$4,891.39	\$ 0.00	\$ 0.00	\$ 0.00	\$13,471.90

**Lake Area Industries, Inc.**  
**A/R Aging Summary**  
As of April 30, 2018

	Current	1 - 30	31 - 60	61 - 90	91 and over	Total
<b>TOTAL</b>	\$48,379.30	\$19,646.42	\$ 731.25	\$ 123.96	\$ 4,437.70	\$73,318.63



**Lake Area Industries, Inc.**  
**Statement of Cash Flows**  
January - April, 2018

	Total
<b>OPERATING ACTIVITIES</b>	
Net Income	106,825.20
Adjustments to reconcile Net Income to Net Cash provided by operations:	
ACCOUNTS RECEIVABLE	2,349.17
Community Foundation of the Ozarks Agency Partner Account	-500.13
GIFTED GARDEN CASH:DRAWER CASH - GG	-300.00
GIFTED GARDEN CASH:SAFE CASH - GG	-200.00
INVENTORY:GG PLANT & SUPPLIES INVEN	-9,296.60
INVENTORY:RAW MATERIAL INVENTORY	655.44
Accounts Payable	8,785.92
CBOLO CC - 5203 Lillie	-48.36
CBOLO CC - 5229 Kevin	-492.07
CBOLO CC - 5237 Natalie	-1,166.86
ACCRUED WAGES	-5,133.48
AFLAC DEDUCTIONS PAYABLE	-35.46
FIRST NATIONAL BANK CREDIT LINE-4096	-39,894.00
Gift Certificate Payable	50.00
SALES TAX PAYABLE	1,079.52
Total Adjustments to reconcile Net Income to Net Cash provided by operations:	-\$ 44,146.91
Net cash provided by operating activities	\$ 62,678.29
<b>INVESTING ACTIVITIES</b>	
ACCUMULATED DEPRECIATION	0.00
GREENHOUSE EQUIPMENT	0.00
GREENHOUSE FACILITY	0.00
UTILITY DEPOSITS	290.92
Net cash provided by investing activities	\$ 290.92
Net cash increase for period	\$ 62,969.21
Cash at beginning of period	102,309.41
Cash at end of period	\$ 165,278.62

# Support Coordination Report

April 2018

# Client Caseloads

- Number of Caseloads as of April 30<sup>th</sup>, 2018: 329
- Budgeted Number of Caseloads: 320
- Pending Number of New Intakes: 6
- Medicaid Eligibility: 86.63%

## Caseload Counts

Rachel Baskerville - 10

Cynthia Brown - 40

Lori Cornwell - 38

Dawn Evans - 36

Linda Gifford - 33

Sharla Jenks - 30

Ryan Johnson - 38

Jennifer Lyons - 38

Annie Meyer - 37

Nicole Whittle - 29

***CARF Reports are  
Pending New  
Outcomes &  
Measures –  
Estimated  
Completion in  
December 2018***

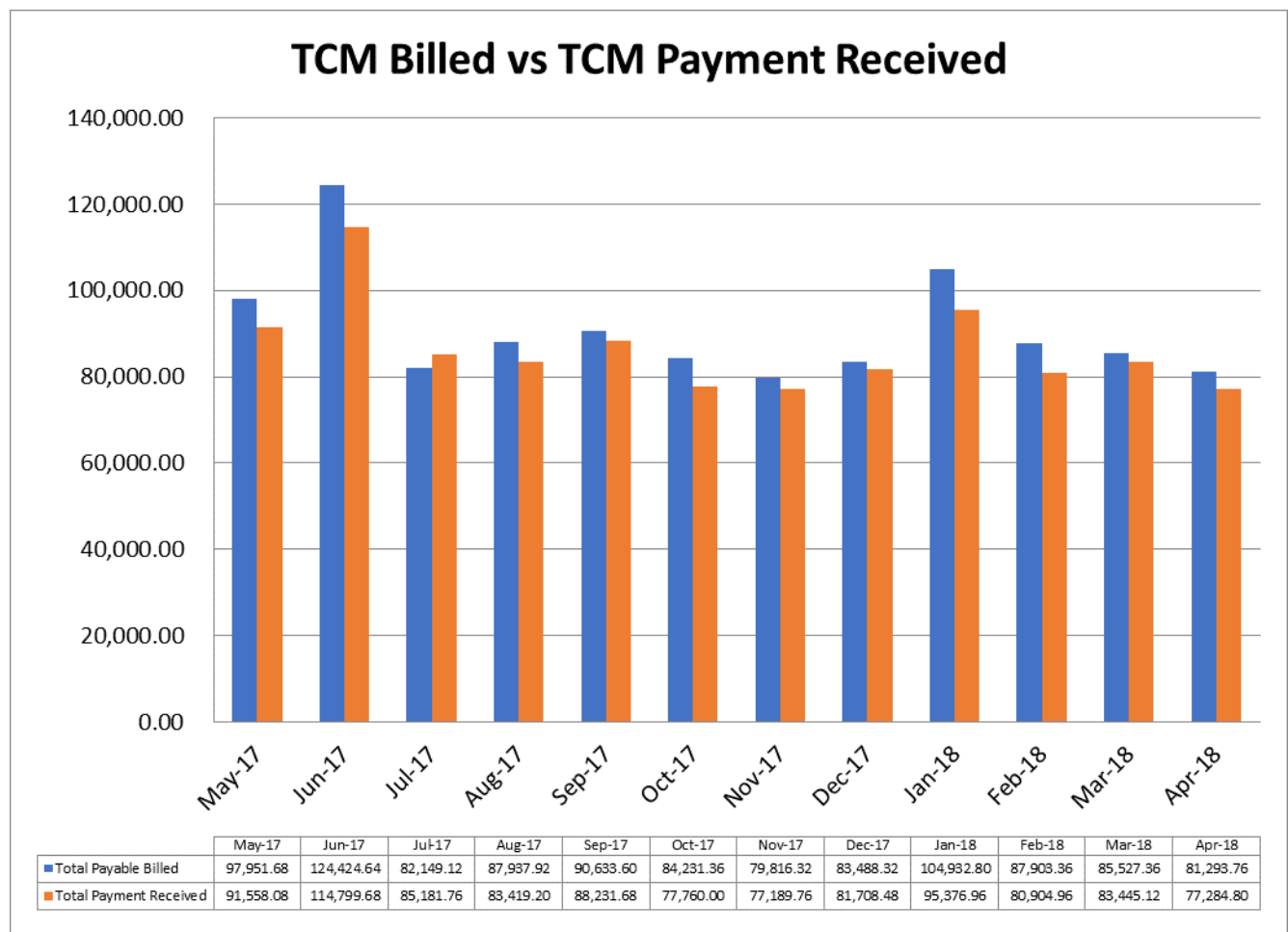
# **Employment Report**



Agency Economic  
Report  
(Unaudited)

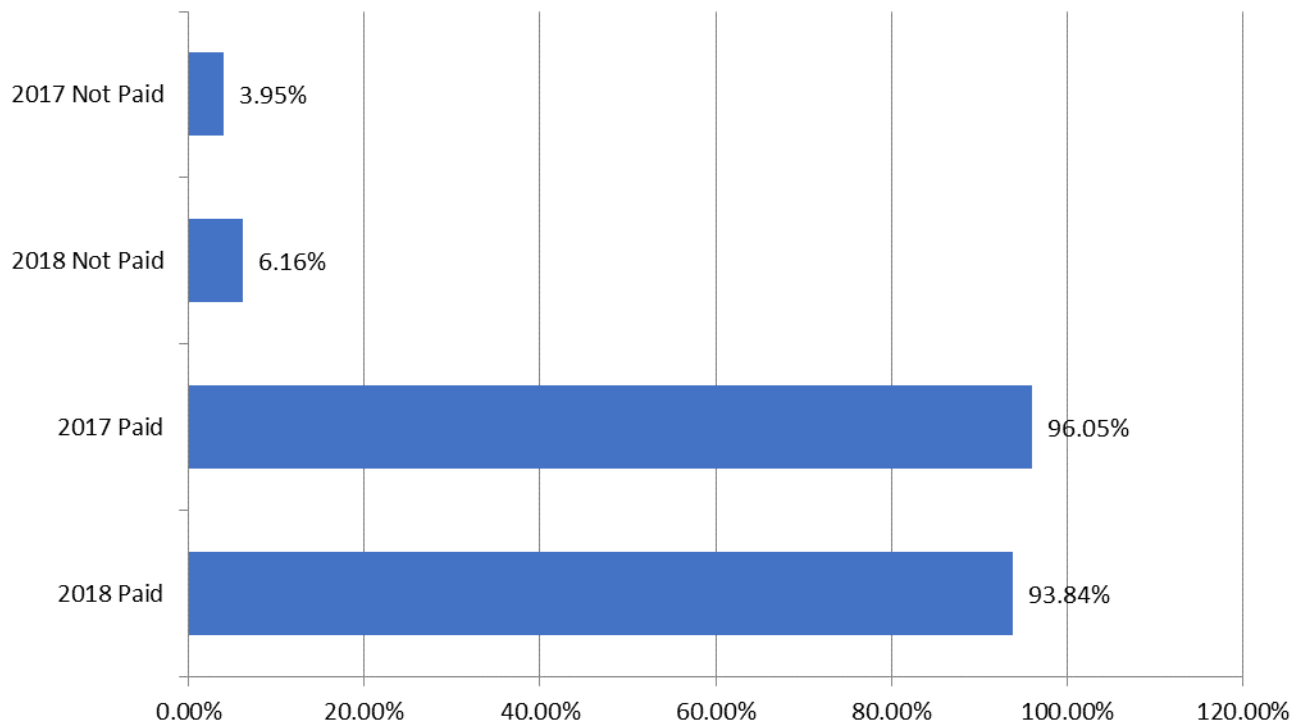
April 2018

## Targeted Case Management Income





**2018 vs 2017**  
**Percentage Comparison Medicaid Billed vs Medicaid**  
**Paid**



## Budget vs. Actuals: FY 2018 - FY18 P&L Departments

April 2018

	SB 40 Tax			Services		
	Actual	Budget	Variance	Actual	Budget	Variance
<b>Income</b>						
<b>4000 SB 40 Tax Income</b>	19,535	11,167	8,368			0
<b>4500 Services Income</b>			0	90,254	92,310	(2,056)
<b>Total Income</b>	<b>19,535</b>	<b>11,167</b>	<b>8,368</b>	<b>90,254</b>	<b>92,310</b>	<b>(2,056)</b>
<b>Gross Profit</b>	<b>19,535</b>	<b>11,167</b>	<b>8,368</b>	<b>90,254</b>	<b>92,310</b>	<b>(2,056)</b>
<b>Expenses</b>						
<b>5000 Payroll &amp; Benefits</b>			0	57,597	76,110	(18,513)
<b>5100 Repairs &amp; Maintenance</b>			0	1,309	543	766
<b>5500 Contracted Business Services</b>			0	5,727	7,659	(1,932)
<b>5600 Presentations/Public Meetings</b>			0	127	893	(766)
<b>5700 Office Expenses</b>			0	4,189	2,207	1,982
<b>5800 Other General &amp; Administrative</b>		800	(800)	3,299	1,127	2,172
<b>5900 Utilities</b>			0	1,019	1,400	(381)
<b>6100 Insurance</b>			0	1,290	1,346	(56)
<b>6700 Partnership for Hope</b>	3,398	4,645	(1,247)			0
<b>6900 Direct Services</b>	12,442	9,608	2,834			0
<b>7100 Housing Programs</b>	9,081	13,468	(4,387)			0
<b>7200 CLC</b>	13,294	13,459	(165)			0
<b>7300 Sheltered Employment Programs</b>	14,387	21,889	(7,502)			0
<b>7500 Community Employment Programs</b>		600	(600)			0
<b>7900 Special/Additional Needs</b>	8,623	8,864	(241)			0
<b>Total Expenses</b>	<b>61,224</b>	<b>73,333</b>	<b>(12,109)</b>	<b>74,557</b>	<b>91,285</b>	<b>(16,728)</b>
<b>Net Operating Income</b>	<b>(41,689)</b>	<b>(62,166)</b>	<b>20,477</b>	<b>15,697</b>	<b>1,025</b>	<b>14,672</b>
<b>Other Expenses</b>						
<b>8500 Depreciation</b>			0	2,707	2,650	57
<b>Total Other Expenses</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,707</b>	<b>2,650</b>	<b>57</b>
<b>Net Other Income</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(2,707)</b>	<b>(2,650)</b>	<b>(57)</b>
<b>Net Income</b>	<b>(41,689)</b>	<b>(62,166)</b>	<b>20,477</b>	<b>12,991</b>	<b>(1,625)</b>	<b>14,616</b>

### Budget Variance Report

Total Income: During April of 2018, SB 40 Tax Revenues were higher than projected, and Services Program income was slightly lower than projected.

Total Expenses: During April of 2018, overall SB 40 Tax program expenses were lower than budgeted expectations with variances (savings) in almost all categories. Overall Services Program expenses were lower than budgeted expectations. Savings in Payroll & Benefits, Presentations/Public Meetings Expenses, Utilities, Insurance and Contracted Business Services offset overages in R&M, Other G&A and Office Expenses.

# Budget vs. Actuals: FY 2018 - FY18 P&L Departments

January - April, 2018

	SB 40 Tax			Services		
	Actual	Budget	Variance	Actual	Budget	Variance
<b>Income</b>						
4000 SB 40 Tax Income	905,827	888,364	17,463			0
4500 Services Income			0	380,283	369,240	11,043
<b>Total Income</b>	<b>905,827</b>	<b>888,364</b>	<b>17,463</b>	<b>380,283</b>	<b>369,240</b>	<b>11,043</b>
<b>Gross Profit</b>	<b>905,827</b>	<b>888,364</b>	<b>17,463</b>	<b>380,283</b>	<b>369,240</b>	<b>11,043</b>
<b>Expenses</b>						
5000 Payroll & Benefits			0	277,129	304,440	(27,311)
5100 Repairs & Maintenance			0	3,955	2,173	1,782
5500 Contracted Business Services			0	22,027	30,199	(8,172)
5600 Presentations/Public Meetings			0	704	3,572	(2,868)
5700 Office Expenses			0	16,058	8,829	7,229
5800 Other General & Administrative	1,413	3,200	(1,787)	10,974	12,288	(1,314)
5900 Utilities			0	5,960	5,600	360
6100 Insurance			0	5,159	5,384	(225)
6700 Partnership for Hope	6,423	10,530	(4,107)			0
6900 Direct Services	41,170	38,432	2,738			0
7100 Housing Programs	38,449	53,881	(15,432)			0
7200 CLC	46,276	53,836	(7,560)			0
7300 Sheltered Employment Programs	67,070	87,560	(20,490)			0
7500 Community Employment Programs	68	2,400	(2,332)			0
7900 Special/Additional Needs	27,003	35,456	(8,453)			0
<b>Total Expenses</b>	<b>227,871</b>	<b>285,295</b>	<b>(57,424)</b>	<b>341,966</b>	<b>372,485</b>	<b>(30,519)</b>
<b>Net Operating Income</b>	<b>677,955</b>	<b>603,069</b>	<b>74,886</b>	<b>38,317</b>	<b>(3,245)</b>	<b>41,562</b>
<b>Other Expenses</b>						
8500 Depreciation			0	10,393	10,600	(207)
<b>Total Other Expenses</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,393</b>	<b>10,600</b>	<b>(207)</b>
<b>Net Other Income</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(10,393)</b>	<b>(10,600)</b>	<b>207</b>
<b>Net Income</b>	<b>677,955</b>	<b>603,069</b>	<b>74,886</b>	<b>27,925</b>	<b>(13,845)</b>	<b>41,770</b>

## Budget Variance Report

Total Income: YTD SB 40 Tax Revenues were slightly higher than projected, and Services Program income was slightly higher than projected. Services Program billing was budgeted to occur three times in December; however, there were only two billing cycles for that month. The additional billing cycle occurred in January; therefore, Services income reflects the additional billing period. The differences in billing period adjustments did not negatively impact variances on the final YTD budgeted net income versus the actual net income at YE 2017.

Total Expenses: YTD overall SB 40 Tax program expenses were lower than budgeted expectations with variances (savings) in almost all categories. Overall Services Program expenses were lower than budgeted expectations. Savings in Payroll, Presentations/Public Meetings Expenses, Other G&A and Contracted Business Services offset overages in the Office Expenses, R&M and Utilities expenses.

# Balance Sheet

As of April 30, 2018

	SB 40 Tax	Services
<b>ASSETS</b>		
<b>Current Assets</b>		
<b>Bank Accounts</b>		
<b>1000 Bank Accounts</b>		
<b>1005 SB 40 Tax Bank Accounts</b>		
1010 SB 40 Tax Account (County Tax Funds) - First Nat'l Bank	15,291	0
1015 SB 40 Tax Reserve Account (County Tax Funds) - Central Bank	229	
1020 SB 40 Tax Certificate of Deposit	0	
1025 SB 40 Tax - Bank of Sullivan	866,809	
1030 SB 40 Tax Reserve - Bank of Sullivan	229,623	
<b>Total 1005 SB 40 Tax Bank Accounts</b>	<b>1,111,952</b>	<b>0</b>
<b>1050 Services Bank Accounts</b>		
1055 Services Account - 1st Nat'l Bank	0	202,454
1060 Services Certificate of Deposit		0
<b>Total 1050 Services Bank Accounts</b>	<b>0</b>	<b>202,454</b>
<b>Total 1000 Bank Accounts</b>	<b>1,111,952</b>	<b>202,454</b>
<b>Total Bank Accounts</b>	<b>1,111,952</b>	<b>202,454</b>
<b>Accounts Receivable</b>		
<b>1200 Services</b>		
1210 Medicaid Direct Service		38,232
1215 Non-Medicaid Direct Service		12,442
<b>Total 1200 Services</b>	<b>0</b>	<b>50,674</b>
<b>1300 Property Taxes</b>		
1310 Property Tax Receivable	1,052,414	
1315 Allowance for Doubtful Accounts	(8,876)	
<b>Total 1300 Property Taxes</b>	<b>1,043,538</b>	<b>0</b>
<b>1350 Allowance for Doubtful Accounts</b>	<b>0</b>	
<b>Total Accounts Receivable</b>	<b>1,043,538</b>	<b>50,674</b>
<b>Other Current Assets</b>		
1389 BANK ERROR Claim Confirmations (A/R)	0	
1399 TCM Remittance Advices (In-Transit Payments)	0	0
<b>1400 Other Current Assets</b>		
1410 Other Deposits	0	
1430 Deferred Outflows Related to Pensions		60,875
1435 Net Pension Asset (Liability)		(21,526)
<b>Total 1400 Other Current Assets</b>	<b>0</b>	<b>39,349</b>
<b>1450 Prepaid Expenses</b>		
1455 Prepaid-Insurance	0	20,069
<b>Total 1450 Prepaid Expenses</b>	<b>0</b>	<b>20,069</b>
<b>Total Other Current Assets</b>	<b>0</b>	<b>59,418</b>
<b>Total Current Assets</b>	<b>2,155,491</b>	<b>312,545</b>

<b>Fixed Assets</b>		
<b>1500 Fixed Assets</b>		
1510 100 Third Street Land		47,400
1511 Keystone Land		14,000
1520 100 Third Street Building		431,091
1521 Keystone		163,498
1525 Accumulated Depreciation - 100 Third Street		(138,793)
1526 Accumulated Depreciation - Keystone		(16,337)
1530 100 Third Street Remodeling		126,736
1531 Keystone Remodeling		86,937
1535 Acc Dep - Remodeling - 100 Third Street		(47,444)
1536 Acc Dep - Remodeling - Keystone		(3,264)
1540 Equipment		64,584
1545 Accumulated Depreciation - Equipment		(33,983)
1550 Vehicles		6,740
1555 Accumulated Depreciation - Vehicles		(6,740)
<b>Total 1500 Fixed Assets</b>	<b>0</b>	<b>694,425</b>
<b>Total Fixed Assets</b>	<b>0</b>	<b>694,425</b>
<b>TOTAL ASSETS</b>	<b>2,155,491</b>	<b>1,006,970</b>
<b>LIABILITIES AND EQUITY</b>		
<b>Liabilities</b>		
<b>Current Liabilities</b>		
<b>Accounts Payable</b>		
1900 Accounts Payable	0	1,220
<b>Total Accounts Payable</b>	<b>0</b>	<b>1,220</b>
<b>Other Current Liabilities</b>		
<b>2000 Current Liabilities</b>		
2005 Accrued Accounts Payable	0	0
2006 DMH Payable	0	
2007 Non-Medicaid Payable	12,442	
2010 Accrued Payroll Expense	0	0
2015 Accrued Compensated Absences	0	0
2025 Prepaid Services	0	
2030 Deposits	0	17
2050 Prepaid Tax Revenue	0	
2055 Deferred Inflows - Property Taxes	913,718	
2060 Payroll Tax Payable		0
2061 Federal W / H Tax Payable	0	96
2062 Social Security Tax Payable	0	(73)
2063 Medicare Tax Payable	0	3
2064 MO State W / H Tax Payable	0	1,683
<b>Total 2060 Payroll Tax Payable</b>	<b>0</b>	<b>1,708</b>
2070 Payroll Clearing		
2071 AFLAC Pre-tax W / H	0	931
2072 AFLAC Post-tax W / H	0	115
2073 Vision Insurance W / H	0	(10,554)
2074 Health Insurance W / H	0	28

2075 Dental Insurance W / H	0	(135)
2076 Savings W / H		(100)
2078 Misc W / H		0
2079 Other W / H		100
<b>Total 2070 Payroll Clearing</b>	<b>0</b>	<b>(9,614)</b>
<b>Total 2000 Current Liabilities</b>	<b>926,160</b>	<b>(7,889)</b>
<b>Total Other Current Liabilities</b>	<b>926,160</b>	<b>(7,889)</b>
<b>Total Current Liabilities</b>	<b>926,160</b>	<b>(6,669)</b>
<b>Total Liabilities</b>	<b>926,160</b>	<b>(6,669)</b>
<b>Equity</b>		
<b>3000 Restricted SB 40 Tax Fund Balances</b>		
3001 Operational	0	
3005 Operational Reserves	229,317	
3010 Transportation	60,487	
3015 New Programs	10,030	
3030 Special Needs	0	
3040 Sheltered Workshop	115,520	
3045 Traditional Medicaid Match	0	
3050 Partnership for Hope Match	4,886	
3055 Building/Remodeling/Expansion	0	
3065 Legal	0	
3070 TCM	0	
3075 Community Resource	0	
<b>Total 3000 Restricted SB 40 Tax Fund Balances</b>	<b>420,239</b>	<b>0</b>
<b>3500 Restricted Services Fund Balances</b>		
3501 Operational		0
3505 Operational Reserves		200,000
3510 Transportation		0
3515 New Programs		0
3530 Special Needs		0
3550 Partnership for Hope Match		0
3555 Building/Remodeling/Expansion		60,809
3560 Sponsorships		0
3565 Legal		6,166
3599 Other		664,862
<b>Total 3500 Restricted Services Fund Balances</b>	<b>0</b>	<b>931,837</b>
<b>3900 Unrestricted Fund Balances</b>	<b>1,653</b>	<b>(9,993)</b>
3950 Prior Period Adjustment	0	0
3999 Clearing Account	91,801	101,552
<b>Net Income</b>	<b>677,955</b>	<b>27,925</b>
<b>Total Equity</b>	<b>1,191,648</b>	<b>1,051,321</b>
<b>TOTAL LIABILITIES AND EQUITY</b>	<b>2,117,809</b>	<b>1,044,652</b>

# Statement of Cash Flows

April 2018

	SB 40 Tax	Services
<b>OPERATING ACTIVITIES</b>		
Net Income	(41,689)	12,991
Adjustments to reconcile Net Income to Net Cash provided by operations:		
1210 Services:Medicaid Direct Service		7,880
1215 Services:Non-Medicaid Direct Service		16,286
1389 BANK ERROR Claim Confirmations (A/R)	1,709	
1455 Prepaid Expenses:Prepaid-Insurance		(7,303)
1525 Fixed Assets:Accumulated Depreciation - 100 Third Street		898
1526 Fixed Assets:Accumulated Depreciation - Keystone		341
1535 Fixed Assets:Acc Dep - Remodeling - 100 Third Street		528
1536 Fixed Assets:Acc Dep - Remodeling - Keystone		344
1545 Fixed Assets:Accumulated Depreciation - Equipment		596
1900 Accounts Payable	0	460
2007 Current Liabilities:Non-Medicaid Payable	(16,286)	
2061 Current Liabilities:Payroll Tax Payable:Federal W / H Tax Payable		0
2062 Current Liabilities:Payroll Tax Payable:Social Security Tax Payable		0
2063 Current Liabilities:Payroll Tax Payable:Medicare Tax Payable		0
2064 Current Liabilities:Payroll Tax Payable:MO State W / H Tax Payable		(63)
2071 Current Liabilities:Payroll Clearing:AFLAC Pre-tax W / H		(55)
2072 Current Liabilities:Payroll Clearing:AFLAC Post-tax W / H		(12)
2073 Current Liabilities:Payroll Clearing:Vision Insurance W / H		(10,440)
2075 Current Liabilities:Payroll Clearing:Dental Insurance W / H		25
2076 Current Liabilities:Payroll Clearing:Savings W / H		0
2078 Current Liabilities:Payroll Clearing:Misc W / H		(169)
Total Adjustments to reconcile Net Income to Net Cash provided by operations:	(14,577)	9,316
Net cash provided by operating activities	(56,267)	22,307
<b>INVESTING ACTIVITIES</b>		
1531 Fixed Assets:Keystone Remodeling		(34,695)
Net cash provided by investing activities	0	(34,695)
<b>FINANCING ACTIVITIES</b>		
3050 Restricted SB 40 Tax Fund Balances:Partnership for Hope Match	(7)	
Net cash provided by financing activities	(7)	0
Net cash increase for period	(56,273)	(12,388)
Cash at beginning of period	1,168,225	214,842
Cash at end of period	1,111,952	202,454

# Statement of Cash Flows

January - April, 2018

	SB 40 Tax	Services
<b>OPERATING ACTIVITIES</b>		
Net Income	677,955	27,925
Adjustments to reconcile Net Income to Net Cash provided by operations:		
1210 Services:Medicaid Direct Service		10,524
1215 Services:Non-Medicaid Direct Service		6,661
1389 BANK ERROR Claim Confirmations (A/R)	0	
1455 Prepaid Expenses:Prepaid-Insurance		(1,434)
1525 Fixed Assets:Accumulated Depreciation - 100 Third Street		3,592
1526 Fixed Assets:Accumulated Depreciation - Keystone		1,362
1535 Fixed Assets:Acc Dep - Remodeling - 100 Third Street		2,112
1536 Fixed Assets:Acc Dep - Remodeling - Keystone		941
1545 Fixed Assets:Accumulated Depreciation - Equipment		(428)
1900 Accounts Payable	(10,371)	(6,853)
2007 Current Liabilities:Non-Medicaid Payable	(6,661)	
2050 Current Liabilities:Prepaid Tax Revenue	(197,593)	
2061 Current Liabilities:Payroll Tax Payable:Federal W / H Tax Payable		0
2062 Current Liabilities:Payroll Tax Payable:Social Security Tax Payable		0
2063 Current Liabilities:Payroll Tax Payable:Medicare Tax Payable		0
2064 Current Liabilities:Payroll Tax Payable:MO State W / H Tax Payable		(1,110)
2071 Current Liabilities:Payroll Clearing:AFLAC Pre-tax W / H		497
2072 Current Liabilities:Payroll Clearing:AFLAC Post-tax W / H		105
2073 Current Liabilities:Payroll Clearing:Vision Insurance W / H		(10,473)
2075 Current Liabilities:Payroll Clearing:Dental Insurance W / H		(76)
2076 Current Liabilities:Payroll Clearing:Savings W / H		0
2078 Current Liabilities:Payroll Clearing:Misc W / H		(309)
<b>Total Adjustments to reconcile Net Income to Net Cash provided by operations:</b>	<b>(214,625)</b>	<b>5,114</b>
<b>Net cash provided by operating activities</b>	<b>463,330</b>	<b>33,039</b>
<b>INVESTING ACTIVITIES</b>		
1531 Fixed Assets:Keystone Remodeling		(36,768)
1540 Fixed Assets:Equipment		(375)
<b>Net cash provided by investing activities</b>	<b>0</b>	<b>(37,143)</b>
<b>FINANCING ACTIVITIES</b>		
3005 Restricted SB 40 Tax Fund Balances:Operational Reserves	906	
3010 Restricted SB 40 Tax Fund Balances:Transportation	27,196	
3015 Restricted SB 40 Tax Fund Balances:New Programs	10,030	
3030 Restricted SB 40 Tax Fund Balances:Special Needs	(2,207)	
3040 Restricted SB 40 Tax Fund Balances:Sheltered Workshop	(36,086)	
3045 Restricted SB 40 Tax Fund Balances:Traditional Medicaid Match	(790)	
3050 Restricted SB 40 Tax Fund Balances:Partnership for Hope Match	934	



3555 Restricted Services Fund Balances:Building/Remodeling/Expansion		9,081
3565 Restricted Services Fund Balances:Legal		6,166
3900 Unrestricted Fund Balances	(73,835)	(16,481)
Net cash provided by financing activities	(73,852)	(1,234)
Net cash increase for period	389,478	(5,338)
Cash at beginning of period	722,474	207,792
Cash at end of period	1,111,952	202,454

## Check Detail

April 2018

1025 SB 40 Tax - Bank of Sullivan

Date	Transaction Type	Num	Name	Amount
04/05/2018	Bill Payment (Check)	4591	Camden County Senate Bill 40 Board	(28,728.00)
04/05/2018	Bill Payment (Check)	4592	Skillset LLC	(1,522.69)
04/05/2018	Bill Payment (Check)	4593	Childrens Learning Center	(13,293.80)
04/05/2018	Bill Payment (Check)	4594	Lake Area Industries	(14,386.90)
04/05/2018	Bill Payment (Check)	4595	Camdenton Apartments dba Lauren's Place	(112.00)
04/05/2018	Bill Payment (Check)	4596	DMH Local Tax Matching Fund	(3,404.56)
04/05/2018	Bill Payment (Check)	4597	Camdenton Apartments dba Lauren's Place	(112.00)
04/06/2018	Bill Payment (Check)	4598	Rodeway Inn	(199.00)
04/13/2018	Bill Payment (Check)	4599	Missouri Ozarks Community Action, Inc.	(125.00)
04/13/2018	Bill Payment (Check)	4600	MO HealthNet	(16.00)
04/13/2018	Bill Payment (Check)	4601	MO HealthNet	(40.00)
04/13/2018	Bill Payment (Check)	4602	MO HealthNet	(40.00)
04/13/2018	Bill Payment (Check)	4603	MO HealthNet	(47.00)
04/13/2018	Bill Payment (Check)	4604	MO HealthNet	(216.00)
04/13/2018	Bill Payment (Check)	4605	MO HealthNet	(223.00)
04/13/2018	Bill Payment (Check)	4606	MO HealthNet	(232.00)
04/13/2018	Bill Payment (Check)	4607	MO HealthNet	(241.00)
04/13/2018	Bill Payment (Check)	4608	MO HealthNet	(258.00)
04/13/2018	Bill Payment (Check)	4609	MO HealthNet	(292.00)
04/13/2018	Bill Payment (Check)	4610	MO HealthNet	(321.00)
04/13/2018	Bill Payment (Check)	4611	MO HealthNet	(480.00)
04/13/2018	Bill Payment (Check)	4612	MO HealthNet	(642.00)
04/13/2018	Bill Payment (Check)	4613	MO HealthNet	(906.00)
04/13/2018	Bill Payment (Check)	4614	MO HealthNet	(617.00)
04/16/2018	Bill Payment (Check)	4615	Rodeway Inn	(199.00)
04/19/2018	Bill Payment (Check)	4616	Brookview Apartments of Camdenton	(100.00)
04/19/2018	Bill Payment (Check)	4617	Camden Manors, Inc.	(100.00)
04/19/2018	Bill Payment (Check)	4618	Camdenton Apartments dba Lauren's Place	(126.00)
04/19/2018	Bill Payment (Check)	4619	Darryll Euler	(421.00)
04/19/2018	Bill Payment (Check)	4620	David A Schlenfort	(689.00)
04/19/2018	Bill Payment (Check)	4621	Glen Donnach, LLC	(250.00)

04/19/2018	Bill Payment (Check)	4622	Jacob and/or Lana Kentner	(1,269.00)
04/19/2018	Bill Payment (Check)	4623	Maryann VanCleave	(652.00)
04/19/2018	Bill Payment (Check)	4624	Revelation Construction & Development, LLC	(375.00)
04/19/2018	Bill Payment (Check)	4625	Steve Weisenfelder	(681.00)
04/19/2018	Bill Payment (Check)	4626	Twenter Properties	(100.00)
04/19/2018	Bill Payment (Check)	4627	Camden Manors, Inc.	(100.00)
04/19/2018	Bill Payment (Check)	4628	Camdenton Apartments dba Lauren's Place	(254.00)
04/19/2018	Bill Payment (Check)	4629	Revelation Construction & Development, LLC	(745.00)
04/19/2018	Bill Payment (Check)	4630	Steve Weisenfelder	(695.00)
04/19/2018	Bill Payment (Check)	4631	Brookview Apartments of Camdenton	(100.00)
04/19/2018	Bill Payment (Check)	4632	Revelation Construction & Development, LLC	(849.00)
04/23/2018	Bill Payment (Check)	4633	Rodeway Inn	(199.00)
04/27/2018	Bill Payment (Check)	4634	MO HealthNet	(20.00)
04/27/2018	Bill Payment (Check)	4635	Missouri Ozarks Community Action, Inc.	(250.00)
04/27/2018	Bill Payment (Check)	4636	Revelation Construction & Development, LLC	(179.80)
04/27/2018	Bill Payment (Check)	4637	MO HealthNet	(194.00)
04/27/2018	Bill Payment (Check)	4638	MO HealthNet	(645.00)
04/27/2018	Bill Payment (Check)	4639	MO HealthNet	(645.00)
04/27/2018	Bill Payment (Check)	4640	MO HealthNet	(677.00)
04/27/2018	Bill Payment (Check)	4641	MO HealthNet	(348.00)
04/30/2018	Bill Payment (Check)	4642	Rodeway Inn	(199.00)

**1055 Services Account - 1st Nat'l Bank**

Date	Transaction Type	Num	Name	Amount
04/01/2018	Expense	APR -ACH FEE	First National Bank	(24.95)
04/05/2018	Bill Payment (Check)	8151	Annie Meyer	(149.64)
04/05/2018	Bill Payment (Check)	8152	Connie L Baker	0.00
04/05/2018	Bill Payment (Check)	8153	Jennifer Lyon	(185.19)
04/05/2018	Bill Payment (Check)	8154	Linda Simms	(199.63)
04/05/2018	Bill Payment (Check)	8155	Reasbeck Construction, Inc.	(34,695.00)
04/05/2018	Bill Payment (Check)	8156	Schrieffer's Office Equipment Inc	(219.00)
04/05/2018	Bill Payment (Check)	8157	Delta Voice & Data Technologies, LLC	(202.62)
04/05/2018	Bill Payment (Check)	8158	Direct Service Works	(795.00)
04/05/2018	Bill Payment (Check)	8159	Aflac	(869.66)
04/05/2018	Bill Payment (Check)	8160	Camden County PWSD #2	(59.98)
04/05/2018	Bill Payment (Check)	8161	Julie Williamson	(225.00)
04/05/2018	Bill Payment (Check)	8162	MSW Interactive Designs LLC	(268.95)
04/05/2018	Bill Payment (Check)	8163	WCA Waste Corporation	(22.00)
04/05/2018	Bill Payment (Check)	8164	Linda Simms	(1,371.37)
04/06/2018	Expense	152246	Connie L Baker	(1,003.69)
04/06/2018	Expense	152247	Rachel K Baskerville	(1,155.64)
04/06/2018	Expense	152248	Myrna Blaine	(1,543.18)
04/06/2018	Expense	152249	Jeanna K Booth	(1,134.37)
04/06/2018	Expense	152250	Cynthia Brown	(1,194.68)
04/06/2018	Expense	152251	Lori Cornwell	(924.07)
04/06/2018	Expense	152252	Dawn R Evans	(696.43)
04/06/2018	Expense	152253	Linda Gifford	(891.70)

04/06/2018	Expense	152254	Sharla Jenks	(913.89)
04/06/2018	Expense	152255	Ryan Johnson	(1,101.72)
04/06/2018	Expense	152256	Jennifer Lyon	(1,035.99)
04/06/2018	Expense	152257	Annie Meyer	(1,106.64)
04/06/2018	Expense	152258	Sylvia M Santon	(440.38)
04/06/2018	Expense	152259	Eddie L Thomas	(2,414.06)
04/06/2018	Expense	152260	Marcie L. Vansyoc	(1,460.93)
04/06/2018	Expense	152261	Nicole M Whittle	(1,091.07)
04/06/2018	Expense	04/06/2018	Edward Jones	(100.00)
04/06/2018	Expense	04/06/2018	Internal Revenue Service	(5,480.64)
04/06/2018	Bill Payment (Check)	8165	Connie L Baker	(56.72)
04/06/2018	Bill Payment (Check)	8166	Lorraine Schleigh	(70.00)
04/06/2018	Bill Payment (Check)	8167	TruClean	(120.00)
04/13/2018	Bill Payment (Check)	8168	Ryan Johnson	(44.09)
04/13/2018	Bill Payment (Check)	8169	Sharla Jenks	(125.40)
04/13/2018	Bill Payment (Check)	8170	Ameren Missouri	(418.82)
04/13/2018	Bill Payment (Check)	8171	AT&T	(82.32)
04/13/2018	Bill Payment (Check)	8172	AT&T TeleConference Services	(8.77)
04/13/2018	Bill Payment (Check)	8173	Ezard's, Inc.	(87.91)
04/13/2018	Bill Payment (Check)	8174	LaClede Electric Cooperative	(415.58)
04/13/2018	Bill Payment (Check)	8175	Lake Regional Occupational Medicine Clinic	(48.00)
04/13/2018	Bill Payment (Check)	8176	Lakeside Office Supply	(34.78)
04/13/2018	Bill Payment (Check)	8177	Mo Department Of Revenue	(2.88)
04/13/2018	Bill Payment (Check)	8178	Office Business Equipment	(70.28)
04/13/2018	Bill Payment (Check)	8179	Refills Ink	(149.99)
04/13/2018	Bill Payment (Check)	8180	Scott's Heating & Air	(782.00)
04/13/2018	Bill Payment (Check)	8181	TruClean	(230.00)
04/13/2018	Bill Payment (Check)	8182	Cynthia Brown	(101.16)
04/13/2018	Bill Payment (Check)	8183	Mo State Highway Patrol	(32.00)
04/20/2018	Expense	04/20/2018	Edward Jones	(100.00)
04/20/2018	Expense	152263	Connie L Baker	(1,049.41)
04/20/2018	Expense	152264	Rachel K Baskerville	(1,190.31)
04/20/2018	Expense	152265	Myrna Blaine	(1,543.16)
04/20/2018	Expense	152266	Jeanna K Booth	(1,027.79)
04/20/2018	Expense	152267	Cynthia Brown	(1,200.38)
04/20/2018	Expense	152268	Lori Cornwell	(935.28)
04/20/2018	Expense	152269	Linda Gifford	(927.57)
04/20/2018	Expense	152270	Sharla Jenks	(873.34)
04/20/2018	Expense	152271	Ryan Johnson	(1,114.21)
04/20/2018	Expense	152272	Jennifer Lyon	(1,037.19)
04/20/2018	Expense	152273	Annie Meyer	(1,247.74)
04/20/2018	Expense	152274	Sylvia M Santon	(518.85)
04/20/2018	Expense	152275	Eddie L Thomas	(2,414.06)
04/20/2018	Expense	152276	Marcie L. Vansyoc	(1,481.51)
04/20/2018	Expense	152277	Nicole M Whittle	(1,014.70)
04/20/2018	Bill Payment (Check)	8184	Ezard's, Inc.	(1,800.00)

04/20/2018	Bill Payment (Check)	8185	Refills Ink	(199.97)
04/20/2018	Bill Payment (Check)	8186	Springfield News Leader	(1,164.00)
04/20/2018	Bill Payment (Check)	8187	TECHIMPACT	(688.78)
04/20/2018	Bill Payment (Check)	8188	Bankcard Center	(3,605.70)
04/20/2018	Bill Payment (Check)	8189	Conaway Contracting	(150.00)
04/20/2018	Bill Payment (Check)	8190	Edward J. Rice Co., Inc.	(212.27)
04/20/2018	Bill Payment (Check)	8191	Lake Sun Leader	(190.01)
04/20/2018	Bill Payment (Check)	8192	KMB Technical Group, Inc.	(420.00)
04/20/2018	Bill Payment (Check)	8193	Linda Simms	(1,330.18)
04/20/2018	Bill Payment (Check)	8194	Missouri Dept of Revenue	(1,751.00)
04/20/2018	Bill Payment (Check)	8195	Mo Division Of Employment Security	(1,589.13)
04/20/2018	Bill Payment (Check)	8196	US Department of Education - Tracking # 1017780285	(499.43)
04/20/2018	Expense	04/20/2018	Internal Revenue Service	(5,380.35)
04/27/2018	Bill Payment (Check)	8197	Mo Consolidated Health Care	(10,620.28)
04/27/2018	Bill Payment (Check)	8198	Charter Business	(544.87)
04/27/2018	Bill Payment (Check)	8199	City Of Camdenton	(49.44)
04/27/2018	Bill Payment (Check)	8200	Myrna Blaine	(185.65)
04/27/2018	Bill Payment (Check)	8201	Naught-Naught Agency	(9,379.00)
04/27/2018	Bill Payment (Check)	8202	Principal Life Ins	(224.64)
04/27/2018	Bill Payment (Check)	8203	Staples Advantage	(223.74)
04/27/2018	Bill Payment (Check)	8204	WCA Waste Corporation	(22.00)
04/27/2018	Bill Payment (Check)	8205	AT&T	(97.05)
04/27/2018	Bill Payment (Check)	8206	Conaway Contracting	(1,850.00)
04/27/2018	Bill Payment (Check)	8207	Eddie L Thomas	0.00
04/27/2018	Bill Payment (Check)	8208	Linda Gifford	(156.20)
04/27/2018	Bill Payment (Check)	8209	Lori Cornwell	(186.00)
04/27/2018	Bill Payment (Check)	8210	TruClean	(120.00)
04/27/2018	Bill Payment (Check)	8211	Delta Dental of Missouri	(368.42)
04/30/2018	Bill Payment (Check)	8212	Eddie L Thomas	(234.91)

**March 2018**

**Credit Card Statement**

CENTRAL BANK

BL ACCT 00000256-10000000  
CAMDEN CO DD RES  
Account Number: ##### 5386  
Page 1 of 4



SCORECARD

Bonus Points  
Available  
63,756

## Account Summary

Billing Cycle	04/04/2018
Days In Billing Cycle	31
Previous Balance	\$1,457.42
Purchases	+ \$3,606.17
Cash	+ \$0.00
Special	+ \$0.00
Credits	- \$0.47
Payments	- \$1,457.42
Other Charges	+ \$0.00
Finance Charges	+ \$0.00

**NEW BALANCE \$3,605.70**

## Credit Summary

Total Credit Line	\$10,000.00
Available Credit Line	\$6,394.30
Available Cash	\$6,000.00
Amount Over Credit Line	\$0.00
Amount Past Due	\$0.00
Disputed Amount	\$0.00

## Account Inquiries



Call us at: (800) 445-9272  
Lost or Stolen Card: (866) 839-3485



Go to [www.bankcardcenter.net](http://www.bankcardcenter.net)



Write us at PO BOX 779, JEFFERSON CTY, MO  
65102-0779

## Payment Summary

NEW BALANCE	\$3,605.70
MINIMUM PAYMENT	\$109.00
PAYMENT DUE DATE	05/02/2018

*NOTE: Grace period to avoid a finance charge on purchases, pay entire new balance by payment due date. Finance charge accrues on cash advances until paid and will be billed on your next statement.*

## Corporate Activity

TOTAL CORPORATE ACTIVITY				\$1,457.42-
Trans Date	Post Date	Reference Number	Transaction Description	Amount
03/26	03/26	00601101	PAYMENT - THANK YOU	\$1,457.42-

## Cardholder Account Summary

MYRNA BLAINE ##### 6176	Payments & Other Credits \$0.00	Purchases & Other Charges \$2,702.13	Cash Advances \$0.00	Total Activity \$2,702.13
----------------------------	---------------------------------------	--	-------------------------	------------------------------

## Cardholder Account Detail

Trans Date	Post Date	Plan Name	Reference Number	Description	Amount
03/06	03/07	PBUS01	55429508065894749185937	VERSARE SOLUTIONS 8008300210 MN	\$856.00 ✓
03/21	03/22	PBUS01	05436848081500138134024	OFFICEMAX/OFFICEDEPT#6 800-463-3768 KS	\$168.75 ✓
03/23	03/26	PBUS01	05436848083200044183219	OFFICEMAX/OFFICEDEPT#6 800-463-3768 KS	\$701.39 ✓
03/27	03/28	PBUS01	55131588086400811128791	MSFT * E01005J6KW 08006427676 WA	\$360.00 ✓

PLEASE DETACH COUPON AND RETURN PAYMENT USING THE ENCLOSED ENVELOPE - ALLOW UP TO 7 DAYS FOR RECEIPT

CENTRAL BANK  
PO BOX 779  
JEFFERSON CTY MO 65102-0779

Account Number

##### 5386

Check box to indicate  
name/address change  
on back of this coupon ☐

AMOUNT OF PAYMENT ENCLOSED

Closing Date	New Balance	Total Minimum Payment Due	Payment Due Date
04/04/18	\$3,605.70	\$109.00	05/02/18

\$

BL ACCT 00000256-10000000  
CAMDEN CO DD RES  
PO BOX 722  
CAMDENTON MO 65020-0722



MAKE CHECK PAYABLE TO:

BANKCARD SERVICES  
PO BOX 8000  
JEFFERSON CTY MO 65102-8000

5475780000055386 00010900 00360570



**Cardholder Account Detail Continued**

Trans Date	Post Date	Plan Name	Reference Number	Description	Amount
04/02	04/03	PBUS01	55429508092894806975516	VERSARE SOLUTIONS 8008300210 MN	\$388.00 ✓
04/03	04/03	PBUS01	55432868093200868915774	NCS PEARSON 800-843-0019 MN	\$60.00 ✓
04/03	04/04	PBUS01	05436848094500143671924	OFFICEMAX/OFFICEDEPT#6 800-463-3768 KS	\$167.99 ✓

**Cardholder Account Summary**

EDDIE THOMAS ##### 0953	Payments & Other Credits \$0.00	Purchases & Other Charges \$50.00	Cash Advances \$0.00	Total Activity \$50.00
----------------------------	---------------------------------------	---	-------------------------	---------------------------

**Cardholder Account Detail**

Trans Date	Post Date	Plan Name	Reference Number	Description	Amount
03/04	03/05	PBUS01	55432868063200390811042	INTUIT *QB ONLINE 800-286-6800 CA	\$50.00 ✓

**Cardholder Account Summary**

LINDA SIMMS ##### 0961	Payments & Other Credits \$0.00	Purchases & Other Charges \$697.08	Cash Advances \$0.00	Total Activity \$697.08
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**Cardholder Account Detail**

Trans Date	Post Date	Plan Name	Reference Number	Description	Amount
03/08	03/09	PBUS01	55546508067602564030625	CENTRALMISSOURINEWSPAP 05737610283 MO	\$52.00 ✓
03/08	03/09	PBUS01	05436848068000323383780	USPS PO 2860360829 OSAGE BEACH MO	\$6.70 ✓
03/10	03/12	PBUS01	05436848070400059976441	WM SUPERCENTER #89 CAMDENTON MO	\$75.84 ✓
03/12	03/13	PBUS01	05436848072000353170804	USPS PO 2860360829 OSAGE BEACH MO	\$6.70 ✓
03/19	03/20	PBUS01	25204678078003769010401	EL CAPORAL MEXICAN RES CAMDENTON MO	\$41.60 ✓
03/19	03/21	PBUS01	05140488079710025566875	WOODS MARKET 2068 OSAGE BEACH MO	\$14.17 ✓
03/20	03/21	PBUS01	05436848080000347269357	USPS PO 2860360829 OSAGE BEACH MO	\$92.60 ✓
03/22	03/25	PBUS01	05140488082710028753822	WOODS MARKET 2068 OSAGE BEACH MO	\$19.62 ✓
03/23	03/26	PBUS01	85369438084904100503879	PAPPO S PIZZA OSAGE BEACH MO	\$51.85 ✓
03/25	03/26	PBUS01	15270218084000085750725	FACEBK L7VMLE2H92 Menlo Park CA	\$50.00 ✓
03/28	03/29	PBUS01	05436848088000372370544	USPS PO 2860360829 OSAGE BEACH MO	\$12.40 ✓
03/29	03/30	PBUS01	05436848089000375654885	USPS PO 2860360829 OSAGE BEACH MO	\$20.10 ✓
03/30	04/01	PBUS01	05436848090000405332186	USPS PO 2860360829 OSAGE BEACH MO	\$33.50 ✓
04/01	04/02	PBUS01	55432868091200677470518	GOOGLE *SVCSAPPS_ccddr cc@google.com CA	\$220.00 ✓

**Cardholder Account Summary**

CONNIE L BAKER ##### 1859	Payments & Other Credits \$0.47-	Purchases & Other Charges \$156.96	Cash Advances \$0.00	Total Activity \$156.49
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**Cardholder Account Detail**

Trans Date	Post Date	Plan Name	Reference Number	Description	Amount
03/02	03/05	PBUS01	05410198063685097403254	CENEX LAKERS E07083850 CAMDENTON MO	\$40.00 ✓
03/14	03/15	PBUS01	25536068074105002573223	BREAK TIME 3001 COLUMBIA MO	\$47.43 ✓
03/16	03/16	PBUS01	05587458075000000310698	RBT BREAK TIME 3001 EasySavings NY	\$0.47- ✓
03/21	03/22	PBUS01	05436848081000312976258	USPS PO 2812420020 CAMDENTON MO	\$6.70 ✓
03/26	03/27	PBUS01	05436848086400037376799	WM SUPERCENTER #89 CAMDENTON MO	\$31.80 ✓
03/26	03/28	PBUS01	05410198086685099802852	CENEX LAKERS E07083850 CAMDENTON MO	\$31.03 ✓

**Additional Information About Your Account**

MANAGE YOUR CARD ACCOUNT ONLINE. IT'S FREE! IT'S EASY! SIMPLY GO TO [WWW.BANKCARDCENTER.NET](http://WWW.BANKCARDCENTER.NET) AND ENROLL IN OUR ONLINE SERVICE. YOU CAN REVIEW ACCOUNT INFORMATION, TRACK SPENDING, SET ALERT NOTIFICATIONS, DOWNLOAD FILES, AND MUCH MORE. MANAGING YOUR ACCOUNT IS FAST, SECURE AND EASY. ENROLL TODAY!

6'  
Width  
4'  
Material  
Fabric  
Material Color  
Powder Blue  
# of Panels (6' H)  
3  
# of Posts (6' H)  
3

Subtotal	\$856.00
Shipping & Handling	\$0.00
Total	\$856.00

Bill to:

Myrna Blaine  
Camden County Developmental Disability Resources.,  
P.O. Box 722  
100 Third St.  
Camdenton, Missouri, 65020  
United States  
T: 5733179233

Ship to:

Myrna Blaine  
Camden County Developmental Disability Resources.,  
P.O. Box 722  
100 Third St.  
Camdenton, Missouri, 65020  
United States  
T: 5733179233

Shipping method:

Free Shipping (Contiguous US Only) - Free

Payment method:

Credit Card  
Credit Card Type  
MasterCard  
Credit Card Number  
xxxx-6176  
Payer Email  
myrna@ccddr.org

Thank you, Versare!



Order Date: 03/20/2018  
 Customer Name: MYRNA BLAINE  
 Account #: 03624473  
 Payment info: MasterCard, last 4 digits: 6176

Tracking: N/A  
 Shipping to: MYRNA BLAINE  
 100 3RD ST  
 P O BOX 722  
 CAMDENTON, MO 65020-7336  
 Delivery Method: Standard Shipping

Comments:

ITEM DESCRIPTION	QTY	AVAILABLE	B/O Qty	UNIT PRICE	UM	EXTENDED PR
Bush Business Furniture Components 2 Drawer Mobile File Cabinet, Hansen Cherry/Graphite Gray, Standard Delivery (203592)	1	1	0	\$159.990	each	\$159

LEGEND

QTY: Original Quantity Ordered  
 AVAILABLE: Ordered Quantity - Backorder Quantity  
 B/O Qty: Backorder Quantity  
 UNIT PRICE: Price per Individual Unit  
 UM: Unit of Measure  
 EXTENDED PRICE: Ordered Quantity x Unit Price

Subtotal: 159  
 Tax: 8  
 Delivery Fee: 0  
 Misc.: 0

**Total: \$168.75**



You can now track delivery of your order online. Enter the order number shown in this email, or go to Order Tracking at OfficeDepot.com and log in to track delivery of your order by entering your order number in combination with either your telephone number or account number

Normal deliveries to business addresses are made between the hours of 8:30 AM and 5:00 PM, and to residential addresses between 8:30 AM and 7:00 PM

Got a question? We're taking care of business every day, and we are ready to help. Call 800-463-3768 or email us and our Customer Service Specialists will provide prompt answers to all your questions

## Thank you for your order! Your order is on hold.

Your order has been temporarily placed on hold. Once your order is released we will send you an email confirmation with details of your order. For more information on the hold, please contact customer service at 1-800-GO-DEPOT (1-800-463-3768)

For your reference, below is a summary of your order:

### Shipment 1 Expected delivery date: 03/27/2018

Order Number:	117670548-001	Status:	Need Billing Address
Order Date:	03/20/2018	Tracking:	N/A
Customer Name:	MYRNA BLAINE	Shipping to:	MYRNA BLAINE
Account #:	03624473		100 3RD ST
Payment info:	MasterCard, last 4 digits: 6176		P O BOX 722
			CAMDENTON, MO 65020-7336
Comments:		Delivery Method:	Standard Shipping

ITEM DESCRIPTION	QTY	AVAILABLE	B/O Qty	UNIT PRICE	UM	EXTENDED PR
Bush Components Professional Desking Solution, 60"W x 43"D, Right Handed, Hansen Cherry, Standard Delivery (851913)	1	1	0	\$543.990	bundle	\$543

Bush Business Furniture Multi-purpose Drawer With Drop Front, Black, Standard Delivery (587817)	1	1	0	\$120.990	each	\$120
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#### LEGEND

QTY: Original Quantity Ordered  
AVAILABLE: Ordered Quantity - Backorder Quantity  
B/O Qty: Backorder Quantity  
UNIT PRICE: Price per Individual Unit  
UM: Unit of Measure  
EXTENDED PRICE: Ordered Quantity x Unit Price

Subtotal: 664.  
Tax: 36  
Delivery Fee: 0  
Misc.: 0

**Total: \$701.39**



11767054800

### Shipment 2 Expected delivery date: 03/21/2018 8:30 AM - 5:00 PM

Order Number:	117670616-001	Status:	In Process
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# Invoice

March 2018

Invoice Date: 03/27/2018

Invoice Number: E01005J6KW

Due Date: 04/26/2018

**360.00 USD**

## Sold-To

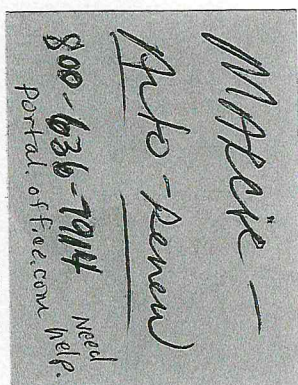
Camden County Developmental Disability Resources  
100 Third St.  
P.O. Box 722  
Camdenton MO 65020  
United States

## Bill-To

Camden County Developmental Disability Resources  
100 Third St.  
P.O. Box 722  
Camdenton MO 65020  
United States

## Service Usage Address

Camden County Developmental Disability Resources  
100 Third St.  
P.O. Box 722  
Camdenton MO 65020  
United States



## Order Details

### Product:

Online Services

### Customer PO Number:

dfd50987-a0e6-4f45-99b5-eac21ebdb614

### Order Number:

02/03/2018 - 03/26/2018

### Billing Period:

Net 30

### Payment Terms:

04/26/2018

## Payment Instructions

Please DO NOT PAY. You will be charged the amount due through your selected method of payment.

## Billing Summary

Charges:	360.00
Discounts:	0.00
Credits:	0.00
Tax:	0.00
<b>Total:</b>	<b>360.00</b>

Billing or service question? Call 1-800-865-9408 or visit <https://aka.ms/Office365Billing>.

Microsoft Corporation, One Microsoft Way Redmond, WA 98052 United States

US FEIN 91-1144442

6'  
Width  
2'  
Material  
Fabric  
Material Color  
Powder Blue  
# of Panels (6' H)  
1  
# of Posts (6' H)  
1

CUBICLE PARTITION

Subtotal	\$388.00
Shipping & Handling	\$0.00
Total	\$388.00

Bill to:

Myrna Blaine  
Camden County Developmental Disability Resources  
100 Third St  
P.O. Box 722  
Camdenton, Missouri, 65020  
United States  
T: 5733179233

Ship to:

Myrna Blaine  
Camden County Developmental Disability Resources  
100 Third St  
P.O. Box 722  
Camdenton, Missouri, 65020  
United States  
T: 5733179233

Shipping method:

Free Shipping (Contiguous US Only) - Free

Payment method:

Credit Card  
Credit Card Type  
MasterCard  
Credit Card Number  
xxxx-6176  
Payer Email  
myrna@ccddr.org

Thank you from Versare

**We Have Received Your Order!**

[Privacy Policy](#) | [Safe Shopping Promise](#)  
[Ordering and Shipping Information](#)

**Order Date:** Apr 2, 2018  
**Order Number:** WEB559847330595  
 47325437

Please print or bookmark this page for your records. You will also receive a receipt via e-mail. This page will continue to be updated as your order is fulfilled. If you have questions about this order, please contact customer service at [customer-service@informit.com](mailto:customer-service@informit.com). **Please include your order number with your inquiry.**

**Billing Address**

Nicole Whittle  
 100 3RD ST  
 CAMDENTON, MO 65020  
 United States  
 5733179233  
[nicole@ccddr.org](mailto:nicole@ccddr.org)

**Shipping Address**

Nicole Whittle  
 100 3RD ST  
 CAMDENTON, MO 65020  
 United States  
 5733179233

**Payment Method**

Credit Card: Master Card | Last 4 digits: 6176

**Item Description**

Qty

Unit Price

Total Price

Status



**Vineland-3 Q-global Scoring 1-Year Subscription**

1

\$60.00

\$60.00

**Delivered  
electronically**  
 Apr 2, 2018

**Payment Information**

Item(s) Subtotal

\$60.00

Shipping: Shipping

\$0.00

Tax

\$0.00

**Total****\$60.00**



QTY: Original Quantity Ordered  
AVAILABLE: Ordered Quantity - Backorder Quantity  
B/O Qty: Backorder Quantity  
UNIT PRICE: Price per Individual Unit  
UM: Unit of Measure  
EXTENDED PRICE: Ordered Quantity x Unit Price

Total: \$559.



**Shipment 2** Expected delivery date: **04/03/2018 8:30 AM - 5:00 PM**

Order Number: 122125153-001  
Order Date: 04/02/2018  
Customer Name: MYRNA BLAINE  
Account #: 03624473  
Payment info: MasterCard, last 4 digits: 6176

Status: In Process  
Tracking: N/A  
Shipping to: MYRNA BLAINE  
100 3RD ST  
P O BOX 722  
CAMDENTON, MO 65020-7336  
Delivery Method: Standard Shipping

Comments:

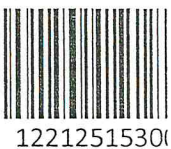
ITEM DESCRIPTION	QTY	AVAILABLE	B/O Qty	UNIT PRICE	UM	EXTENDED PR
Bush Business Furniture Components 2 Drawer Mobile File Cabinet, Hansen Cherry/Graphite Gray, Standard Delivery (203592)	1	1	0	\$167.990	each	\$167

LEGEND

QTY: Original Quantity Ordered  
AVAILABLE: Ordered Quantity - Backorder Quantity  
B/O Qty: Backorder Quantity  
UNIT PRICE: Price per Individual Unit  
UM: Unit of Measure  
EXTENDED PRICE: Ordered Quantity x Unit Price

Subtotal: 167  
Tax: 0  
Delivery Fee: 0  
Misc.: 0

Total: \$167.



You can now [track delivery](#) of your order online. Enter the order number shown in this email, or go to [Order Tracking](#) at OfficeDepot.com and log in to track delivery of your order by entering your order number in combination with either your telephone number or account number

- Company ID: 4642 4099 5
- QuickBooks Subscription status: Subscribed
- 

Plan details: QuickBooks Plus:

\$50.00 / month <sup>Acct.</sup> 5567

Next Charge: 10/04/2017

Payment method

MasterCard ending 0961 expires 11/18 Edit



# Classified Proof

LINDA CREDIT CARD 3-7-18

Client	33624 - Camden County Developmental Disability	Phone	(573) 317-9233
Address	P.O. Box 722, Attn: Linda S. Camdenton, MO, 65020	E-Mail	linda@cddr.org
		Fax	

Order#	530705	Requested By		Order Price	\$52.00
Classification	1700 - Help Wanted	PO #		Tax 1	\$0.00
Start Date	03/11/2018	Created By	BRENDA	Tax 2	\$0.00
End Date	03/11/2018	Creation Date	03/06/2018, 04:07:44 pm	Total Net	\$52.00
Run Dates	2			Payment	\$52.00
Publication(s)	Internet News Tribune, News Tribune	Dimensions	1.7500 " X 1.6667 "		

Sales Rep	BP - Brenda Perkins	Phone	(573) 761-0273
		E-Mail	brenda@newstribune.com
		Fax	(573) 634-7433

## TCM Support Coordinator

CCDDR is now accepting resumes for TCM Support Coordinator. College degree and experience required.

See job description at [www.cddr.org](http://www.cddr.org) website. Send resume with a cover letter and 3 references to CCDDR, P.O. Box 722, Camdenton, MO 65020, or you may e-mail to [info@cddr.org](mailto:info@cddr.org), no later than Friday, March 30, 2018 at 5:00 p.m. Great Benefits  
CCDDR is an equal opportunity employer.



=====

OSAGE BEACH  
5545 OSAGE BEACH PKWY  
OSAGE BEACH  
MO  
65065-9998  
2860360829  
03/08/2018 (800)275-8777 4:34 PM

=====

Product Description	Sale Qty	Final Price
First-Class Mail Letter (Domestic) (LINN CREEK, MO 65052) (Weight:0 Lb 0.50 Oz) (Estimated Delivery Date) (Saturday 03/10/2018)	1	\$0.50
Certified (@@USPS Certified Mail #) (70171450000025351368)	1	\$3.45
Return Receipt (@@USPS Return Receipt #) (9590940236077305430712)	1	\$2.75

Total \$6.70

Credit Card Remitd \$6.70  
(Card Name:MasterCard)  
(Account #:XXXXXXXXXXXX0961)  
(Approval #:64280E)  
(Transaction #:859)

Text your tracking number to 28777  
(2USPS) to get the latest status.  
Standard Message and Data rates may  
apply. You may also visit USPS.com  
USPS Tracking or call 1-800-222-1811.

All sales final on stamps and postage  
Refunds for guaranteed services only  
Thank you for your business

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TELL US ABOUT YOUR RECENT  
POSTAL EXPERIENCE

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<https://postalexperience.com/Pos>

840-5630-0700-002-00018-83556-02

or scan this code with  
your mobile device:



2 MOUSE  
SPARE  
AAA BATTERIES  
CLOROX WIPES  
PAPER TOWELS  
TOILET TISSUE

OB OFFICE

See back of receipt for your chance  
to win \$1000

ID #: 7M2P96YZSL

**Walmart**   
Save money. Live better.

( 573 ) 346 - 3588  
MANAGER PAUL GARDNER  
94 CECIL ST.  
CAMDENTON MO 65020

ST# 00089	OP# 001999	TE# 04	TR# 03531
48CT USA SV	071496314796		5.93 0
CLOROX WIPES	004460030112		6.48 0
DUR ALK AA16	004133370464		11.78 0
MOUSE	009785506659		19.97 0
MOUSE	009785506623		14.97 0
SPKL 6 BIG	003040021738		5.74 0
AS 24 DBL	003040077387		10.97 0
	SUBTOTAL		75.84
	TOTAL		75.34

VOIDED BANKCARD TRANSACTION

MasterCard \*\*\*\* \* 0961 I 22

P D A0000000041010

AAC 275E020629F4C06

RMINAL # SC010127

DECLINED

03/10/18 11:45:10

MCARD TEND 75.84

MasterCard \*\*\*\* \* 0961 I 22

APPROVAL # 65464E

REF # 1042000314

AID A0000000041010

TC A9F25C9EA3913B14

TERMINAL # SC010127

\*Signature Verified

03/10/18 11:46:02

CHANGE DUE 0.00

# ITEMS SOLD 7

TC# 5126 5746 3731 0800 8363



03/10/18 11:46:14

\*\*\*CUSTOMER COPY\*\*\*

Use Walmart Pay to save your receipts.



ID#  
340415

=====

OSAGE BEACH  
5545 OSAGE BEACH PKWY  
OSAGE BEACH  
MO  
65065-9998  
2860360829  
03/12/2018 (800)275-8777 2:30 PM

=====

Product Sale Final  
Description Qty Price

First-Class 1 \$0.50  
Mail  
Letter

(Domestic)  
(ROACH, MO 65787)  
(Weight:0 Lb 0.50 Oz)  
(Estimated Delivery Date)  
(Wednesday 03/14/2018)

Certified 1 \$3.45

(@@USPS Certified Mail #)  
(70171450000025351375)

Return 1 \$2.75

Receipt

(@@USPS Return Receipt #)  
(9590940226146336165498)

Total \$6.70

Credit Card Remitd \$6.70

(Card Name:MasterCard)  
(Account #:XXXXXXXXXX0961)  
(Approval #:60514E)  
(Transaction #:878)

Text your tracking number to 28777  
(2USPS) to get the latest status.  
Standard Message and Data rates may  
apply. You may also visit USPS.com  
USPS Tracking or call 1-800-222-1811.

All sales final on stamps and postage  
Refunds for guaranteed services only  
Thank you for your business

HELP US SERVE YOU BETTER

TELL US ABOUT YOUR RECENT  
POSTAL EXPERIENCE

Go to:  
<https://postalexperience.com/Pos>

840-5637 1-800-900-0900 1-800-88882-02



Food for  
3-19-18  
Board Meeting

EL CAPORAL MEXICAN RESTAURANT  
323 E 54 HWY STE 101  
CAMDENTON MO 65020  
573-317-1500

Terminal ID: \*\*\*\*\*437 \*\*\*6  
3/19/18 3:16 PM  
SERVER #: 9  
MasterCard - INSERT  
AID: A0000000041010  
ACCT #: \*\*\*\*\*0961  
CREDIT SALE  
UID: 807824465060 REF #: 4144  
BATCH #: 576 AUTH #: 66385E  
AMOUNT \$41.60

TIP \$ \_\_\_\_\_

TOTAL \$ \_\_\_\_\_

APPROVED

ARQC - D2A2529AC29C0B59  
THANK YOU  
CUSTOMER COPY

Food for  
3-19-18 board  
meeting



OSAGE BEACH, MO 665065  
(573) 348-2591  
VISIT US AT  
WOODSSUPERMARKET.COM  
Store:2068

Cashier: KIMBERLY

03/19/18

13:14:12

BEGIN DUPLICATE RECEIPT  
Store:2068

Cashier: KIMBERLY

03/19/18

13:13:08

**GROCERY**

Pepsi 2 Liter 1200000230 2.19 TF  
TAX EXEMPT SLIP 999900 .00 TF

**PRODUCE**

Small Veggie T 70935188899 7.99 TF

**BAKERY**

OL CRNBRYORG SL 7987940032 3.99 TF

SUBTOTAL 14.17  
TOTAL TAX .00

TOTAL 14.17

MasterCard TENDER

Acct:xxxxxxxxxxxx0961

APPRVL CODE 64182E

Cash CHANGE .00

NUMBER OF ITEMS 4

EXEMPT TAX ID 01  
T1 ITEM VALUE EXEMPTED 14.17  
T1 TAX EXEMPTED .63  
T2 ITEM VALUE EXEMPTED .00  
T2 TAX EXEMPTED .00  
T3 ITEM VALUE EXEMPTED .00  
T3 TAX EXEMPTED .00  
T4 ITEM VALUE EXEMPTED .00  
T4 TAX EXEMPTED .00

Trx:210 Term:1 Store:2068 13:14:00

THANK YOU FOR SHOPPING AT WOODS!

DEVIN, STORE MANAGER  
END DUPLICATE RECEIPT

STAMP  
Roll 500  
3 SHTS 714  
O B OFFICE

=====

OSAGE BEACH  
5545 OSAGE BEACH PKWY  
OSAGE BEACH  
MO  
65065-9998  
2860360829  
03/20/2018 (800)275-8777 4:14 PM

=====

Product Description	Sale Qty	Final Price
US Flag Coil/1 00	1	\$50.00
(Unit Price:\$50.00)		
Tailed-Blue Btfly	60	\$42.60
(Unit Price:\$0.71)		

Total \$92.60

Credit Card Remitd \$92.60  
 (Card Name:MasterCard)  
 (Account #:XXXXXXXXXXXX0961)  
 (Approval #:64368E)  
 (Transaction #:529)  
 (Entry Mode:Chip)  
 (AID:A0000000041010)  
 (Application Label:MasterCard)  
 (PIN:PIN Not Required)  
 (Cryptogram:C72867E85DA0940C)  
 (ARC:00)  
 (CVR:1E0300)  
 (IAD:0110607001220000EA1A000000000  
 00000FF)  
 (TSI:E800)  
 (TVR:0000008000)

All sales final on stamps and postage  
 Refunds for guaranteed services only  
 Thank you for your business

HELP US SERVE YOU BETTER

TELL US ABOUT YOUR RECENT  
 POSTAL EXPERIENCE

Go to:  
<https://postalexperience.com/Pos>

840-5630-0700-001-00023-10004-01

or scan this code with  
 your mobile device:





3-23-18  
UMKC  
RESULTS OF  
COMMUNITY EMPLOYMENT  
SURVEY MEETING  
KEYSTONE



OSAGE BEACH, MO 665065  
(573) 348-2591  
VISIT US AT  
WOODSSUPERMARKET.COM  
Store:2068

Cashier: LANE

03/22/18

13:20:13

BEGIN DUPLICATE RECEIPT  
Store:2068

Cashier: LANE

03/22/18

13:18:52

Woods Reward Card 49663172267

**GROCERY**

Bst Ch Drnk Wtr 7003861311 2.85 TF  
TAX EXEMPT SLIP 999900 .00 TF

**BAKERY**

COOKIE TRAY 70897192659 7.99 TF  
Rc Choc Thmbprn 4980016279 4.79 TF  
Wht Choc Macda 23099200000 3.99 TF

SUBTOTAL 19.62  
TOTAL TAX .00

TOTAL 19.62

MasterCard TENDER 19.62

Acct:xxxxxxxxxxxx0961

APPRVL CODE 69461E

Cash CHANGE .00

NUMBER OF ITEMS 5

EXEMPT TAX ID 01  
T1 ITEM VALUE EXEMPTED 19.62  
T1 TAX EXEMPTED .88  
T2 ITEM VALUE EXEMPTED .00  
T2 TAX EXEMPTED .00  
T3 ITEM VALUE EXEMPTED .00  
T3 TAX EXEMPTED .00  
T4 ITEM VALUE EXEMPTED .00  
T4 TAX EXEMPTED .00

Trx:158 Term:1 Store:2068 13:19:56

THANK YOU FOR SHOPPING AT WOODS!

DEVIN, STORE MANAGER  
END DUPLICATE RECEIPT

Lunch  
OATS

Pappo's Pizzeria & Pub  
4705 Osage Beach Parkway  
Osage Beach, MO 65065  
ph (573) 693-1092

TABLE: Delivery 1 - 1 Guest  
Server: BARTENDER  
3/23/2018 11:20:19 AM  
Sequence #: 0000001  
ID #: 0249213

ITEM	QTY	PRICE
14" Deluxe Pizza	1	\$19.75
- Thin Crust		
- TO GO TO GO		
14" Margherita Pizza	1	\$19.75
- Thin Crust		
- TO GO TO GO		
6 Wings	(2@ \$0.00)	\$0.00
- SCHOOL CARD		(\$13.98)
- 2 As App		
- 2 Wing Sauce Hot Sauce		
- 2 TO GO TO GO		
- 2 Ranch		
Cookies	1	\$6.39
- TO GO TO GO		
**Delivery Charge**	1	\$2.50
Subtotal		\$48.39
Tkt. Discounts		(\$13.98)
Total Taxes		\$3.46
Grand Total		\$51.85

Credit Purchase  
CC Type : MasterCard  
CC Num : xxxx xxxx xxxx 0961  
Approval : 60078E  
Server : BARTENDER  
Ticket Name : Delivery 1

Payment Amount: \$51.85

Tip: \_\_\_\_\_

Total: \_\_\_\_\_

X \_\_\_\_\_  
TSYS  
CUSTOMER COPY  
I agree to pay the amount shown above.

Thank you for visiting PaPPos!  
Come back soon!





## Your Facebook Ads Receipt (Account ID: 64546591)

1 message

Facebook Ads Team <advertise-noreply@support.facebook.com>

Sun, Mar 25, 2018 at 7:46 AM

Reply-to: noreply <noreply@facebookmail.com>

To: Jeanna Booth <jeannacupp@gmail.com>



Receipt for Camden County Developmental Disability Resources (Account ID: 64546591)

### Summary

AMOUNT BILLED

**\$50.00 USD**

BILLING REASON

You're being billed because you reached your \$50.00 billing threshold.

DATE RANGE

Mar 20, 2018 8:00am - Mar 25, 2018 5:30am

PRODUCT TYPE

Facebook Ads

PAYMENT METHOD

MasterCard\*0961

REFERENCE NUMBER ⓘ

L7VMLE2H92

CAMPAIGN

*AD FOR*  
Job: Support Coordinator

RESULTS

**4,009**  
Impressions

AMOUNT

**\$50.00**

Transaction ID: 1507359759375922-3366900

Thanks,  
The Facebook Ads Team

[Manage Your Ads](#)

[See Full Receipt](#)

This is an automated message. Please do not reply. If you have questions about ads, you can get help. You can also manage your email notification settings for this ad account.

Facebook, Inc., Attention: Community Support, 1 Hacker Way, Menlo Park, CA 94025



=====

OSAGE BEACH  
 5545 OSAGE BEACH PKWY  
 OSAGE BEACH  
 MO  
 65065-9998  
 2860360829  
 03/28/2018 (800)275-8777 4:24 PM

=====

OSAGE BEACH

Product Description	Sale Qty	Final Price
First-Class Mail Letter (Domestic) (CAMDENTON, MO 65020) (Weight:0 Lb 0.50 Oz) (Estimated Delivery Date) (Friday 03/30/2018)	1	\$0.50
Certified (@@USPS Certified Mail #) (7017145000025351382)	1	\$3.45
Return Receipt (@@USPS Return Receipt #) (9590940226146336165481)	1	\$2.75
Affixed Postage (Affixed Amount:\$0.50)	1	(\$0.50)
First-Class Mail Letter (Domestic) (CAMDENTON, MO 65020) (Weight:0 Lb 0.50 Oz) (Estimated Delivery Date) (Friday 03/30/2018)	1	\$0.50
Certified (@@USPS Certified Mail #) (70160910000210304538)	1	\$3.45
Return Receipt (@@USPS Return Receipt #) (9590940226146336165474)	1	\$2.75
Affixed Postage (Affixed Amount:\$0.50)	1	(\$0.50)

Total \$12.40

Credit Card Remitd \$12.40

(Card Name:MasterCard)  
 (Account #:XXXXXXXXXX0961)  
 (Approval #:64288E)  
 (Transaction #:624)  
 (Entry Mode:Chip)  
 (AID:A0000000041010)  
 (Application Label:MasterCard)  
 (PIN:PIN Not Required)  
 (Cryptogram:BOEA0AA7E2E66C0B)  
 (ARC:00)  
 (CVR:1E0300)  
 (IAD:011060700122000066B40000000000000000FF)  
 (TSI:E800)  
 (TVR:000000030000)



=====

OSAGE BEACH  
OSAGE BEACH PKWY  
OSAGE BEACH  
MO  
65065-9998  
2860360829  
03/29/2018 (800)275-8777 4:12 PM

=====

Product Description	Sale Qty	Final Price
---------------------	----------	-------------

First-Class Mail Letter	1	\$0.50
-------------------------	---	--------

(Domestic)  
(CAMDENTON, MO 65020)  
(Weight:0 Lb 0.50 Oz)  
(Estimated Delivery Date)  
(Saturday 03/31/2018)

Certified	1	\$3.45
-----------	---	--------

(@@USPS Certified Mail #)  
(70171450000025351405)

Return Receipt	1	\$2.75
----------------	---	--------

(@@USPS Return Receipt #)  
(9590940236077305430699)

First-Class Mail Letter	1	\$0.50
-------------------------	---	--------

(Domestic)  
(CAMDENTON, MO 65020)  
(Weight:0 Lb 0.50 Oz)  
(Estimated Delivery Date)  
(Saturday 03/31/2018)

Certified	1	\$3.45
-----------	---	--------

(@@USPS Certified Mail #)  
(70171450000025351399)

Return Receipt	1	\$2.75
----------------	---	--------

(@@USPS Return Receipt #)  
(9590940236077305430682)

First-Class Mail Letter	1	\$0.50
-------------------------	---	--------

(Domestic)  
(OSAGE BEACH, MO 65065)  
(Weight:0 Lb 0.50 Oz)  
(Estimated Delivery Date)  
(Saturday 03/31/2018)

Certified	1	\$3.45
-----------	---	--------

(@@USPS Certified Mail #)  
(70160910000210304521)

Return Receipt	1	\$2.75
----------------	---	--------

(@@USPS Return Receipt #)  
(9590940236077305430705)

Total	\$20.10
-------	---------

Credit Card Remitd	\$20.10
--------------------	---------

(Card Name:MasterCard)  
(Account #:XXXXXXXXXX0961)  
(Approval #:62095E)  
(Transaction #:640)  
(Entry Mode:Chip)  
(AID:A0000000041010)  
(Application Label:MasterCard)  
(PIN:PIN Not Required)  
(Cryptogram:BCD552A7063A10C7)  
(ARC:00)  
(CVR:1E0300)  
(IAD:011060700122000084850000000000000000FF)  
(TSI:E800)  
(TVR:0000008000)

Text your tracking number to 28777  
(2USPS) to get the latest status.  
Standard Message and Data rates may  
apply. You may also visit USPS.com  
USPS Tracking or call 1-800-222-1811.

First-Class	1	\$0.50
Mail		
Letter		
(Domestic)		
(CAMDENTON, MO 65020)		
(Weight:0 Lb 0.50 Oz)		
(Estimated Delivery Date)		
(Monday 04/02/2018)		
Certified	1	\$3.45
(@@USPS Certified Mail #)		
(70160910000210304514)		
Return	1	\$2.75
Receipt		
(@@USPS Return Receipt #)		
(9590940304525169117480)		
First-Class	1	\$0.50
Mail		
Letter		
(Domestic)		
(SUNRISE BEACH, MO 65079)		
(Weight:0 Lb 1.00 Oz)		
(Estimated Delivery Date)		
(Monday 04/02/2018)		
Certified	1	\$3.45
(@@USPS Certified Mail #)		
(70171450000025351412)		
Return	1	\$2.75
Receipt		
(@@USPS Return Receipt #)		
(9590940236077305430675)		
First-Class	1	\$0.50
Mail		
Letter		
(Domestic)		
(CAMDENTON, MO 65020)		
(Weight:0 Lb 0.50 Oz)		
(Estimated Delivery Date)		
(Monday 04/02/2018)		
Certified	1	\$3.45
(@@USPS Certified Mail #)		
(70171450000025351429)		
Return	1	\$2.75
Receipt		
(@@USPS Return Receipt #)		
(9590940239188060540497)		
First-Class	1	\$0.50
Mail		
Letter		
(Domestic)		
(CAMDENTON, MO 65020)		
(Weight:0 Lb 0.50 Oz)		
(Estimated Delivery Date)		
(Monday 04/02/2018)		
Certified	1	\$3.45
(@@USPS Certified Mail #)		
(70171450000025351436)		
Return	1	\$2.75
Receipt		
(@@USPS Return Receipt #)		
(9590940239188060540473)		
First-Class	1	\$0.50
Mail		
Letter		
(Domestic)		
(USAGE BEACH, MO 65065)		
(Weight:0 Lb 0.50 Oz)		
(Estimated Delivery Date)		
(Monday 04/02/2018)		
Certified	1	\$3.45
(@@USPS Certified Mail #)		
(70171450000025351443)		
Return	1	\$2.75
Receipt		
(@@USPS Return Receipt #)		
(9590940239188060540480)		

Total \$33.50

Credit Card Remitd

\$33.50





Google LLC  
1600 Amphitheatre Pkwy  
Mountain View, CA 94043  
United States  
Federal Tax ID: 77-0493581

# Invoice

**Bill to**

dba Camden Co Develpmntal Disability Resources  
Camden County Senate Bill 40 Board  
PO Box 722  
100 Third Street  
Camdenton, MO 65020  
United States

**Details**

Invoice number: 3441532958  
Issue date: Mar 31, 2018  
Payment terms: Due immediately  
Billing ID: 9176-0235-3316  
Billing Account Number: 3543-8551-1772-0210

**Google Cloud - GSuite****Mar 1, 2018 - Mar 31, 2018****Account ID:** ccddr.org

Description	Interval	Quantity	Amount (\$)
G Suite Business: Usage	Mar 1 - Mar 31	22	220.00
Subtotal in USD:			220.00
State sales tax (0%):			0.00
Amount due in USD:			220.00

Bill to: Camden County Senate Bill 40 Board  
Billing ID: 9176-0235-3316  
Invoice number: 3441532958

<b>Amount due in USD:</b>	<b>\$220.00</b>
---------------------------	-----------------

You will be automatically charged for the amount due. No action is required on your part.

ON 201

gas for  
Van

GIER OIL #18 535  
EAST US HWY 54  
CAMDENTON, MO 65020

Gier oil  
GP1078708385501  
535 E US Hwy 54  
Camdenton, Mo  
65020

03/02/2018 806832912  
01:03:21 PM

XXXXXXXXXXXX1859  
MasterCard  
INVOICE 09750325  
AUTH 68506C

REPRINT \*\*\* REPRINT  
PUMP# 11  
REGULAR 18.525G  
PRICE/GAL \$2.159

FUEL TOTAL \$ 40.00

Total = \$ 40.00  
REPRINT \*\*\* REPRINT

CREDIT  
SWIPE  
TRAN 33.11

\$ 40.00

ON  
LAA

Connie's  
Card  
gas for van

Thank You For  
Choosing Break Time

Store 3001  
900 Conley Road  
Columbia MO 65201  
573-449-5344

3/14/2018 2:28:19 P

Transaction #: 496083  
Unleaded  
Pump Number: 07  
Gallons: 20.995  
Price: \$2.259  
Total Fuel: \$47.43

Mer#:  
542929800752354  
Terminal : 00280405  
Dev : G  
Appr: 64529C  
MasterCard  
Swiped  
Type:  
Completion/Force  
Sale  
Resp: APPROVED  
Card Num :  
XXXXXXXXXXXX1859  
Bat#: 20180314183  
Seq#: 7136  
Ref :  
8073190814170314MCBC  
7EYLY  
5 00474300 A

03/14/2018 14:24:21

I agree to pay the  
above Total Amount  
according to Card  
Issuer Agreement.  
MAKE YOUR DAY BETTER  
JOIN MYTIME  
REWARDS!!!



.47 CREDIT

FOR  
USINA CREDIT CARD  
AT BREAK TIME  
FOR GAS - VAN



Connie's Card  
30 Day  
Letter

=====

CAMDENTON  
625 W US HIGHWAY 54  
CAMDENTON  
MO  
65020-9998  
2812420020

03/21/2018 (800)275-8777 4:17 PM

=====

Product Description	Sale Qty	Final Price
First-Class Mail Letter (Domestic) (CAMDENTON, MO 65020) (Weight:0 Lb 0.70 Oz) (Estimated Delivery Date) (Friday 03/23/2018)	1	\$0.50
Certified (@@USPS Certified Mail #) (70162070000031811289)	1	\$3.45
Return Receipt (@@USPS Return Receipt #) (9590940226316336911580)	1	\$2.75

=====

Total \$6.70

Credit Card Remitd \$6.70

(Card Name:MasterCard)  
(Account #:XXXXXXXXXXXX1859)  
(Approval #:67074C)  
(Transaction #:607)  
(Entry Mode:Chip)  
(AID:A0000000041010)  
(Application Label:MasterCard)  
(PIN:PIN Not Required)  
(Cryptogram:02DEFBF3989FE083)  
(ARC:00)  
(CVR:1E0300)  
(IAD:01106070012200008D8E00000000000000FF)  
(TSI:E800)  
(TVR:0000008000)

Text your tracking number to 28777  
(2USPS) to get the latest status.  
Standard Message and Data rates may  
apply. You may also visit USPS.com  
USPS Tracking or call 1-800-222-1811.

All sales final on stamps and postage  
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HELP US SERVE YOU BETTER

TELL US ABOUT YOUR RECENT  
POSTAL EXPERIENCE

Go to:  
<https://postalexperience.com/Pos>  
840-5630-0916 002 00014-28147-02

or scan this code with  
your mobile device:

U.S. Postal Service™  
**CERTIFIED MAIL® RECEIPT**  
Domestic Mail Only

For delivery information, visit our website at [www.usps.com](http://www.usps.com).

CAMDENTON, MO 65020

**OFFICIAL USE**

0020 CAMDENTON MO 65020 MAR 21 2018 Postmark Here

Certified Mail Fee	\$3.45
Extra Services & Fees (check box, add fee as appropriate)	\$2.75
<input type="checkbox"/> Return Receipt (hardcopy)	\$0.00
<input type="checkbox"/> Return Receipt (electronic)	\$0.00
<input type="checkbox"/> Certified Mail Restricted Delivery	\$0.00
<input type="checkbox"/> Adult Signature Required	\$0.00
<input type="checkbox"/> Adult Signature Restricted Delivery	\$0.00
Postage	\$0.50
Total Postage and Fees	\$6.70
Sent To	[REDACTED]
Street	[REDACTED]
City, State, ZIP+4®	CAMDENTON, MO 65020

PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions

Connie's Card  
Office  
Supplies

See back of receipt for your chance  
to win \$1000

ID #: 7M2ROGZ37D

**Walmart**   
Save money. Live better.

( 573 ) 346 - 3588  
MANAGER PAUL GARDNER  
94 CECIL ST  
CAMDENTON MO 65020  
ST# 00089 OP# 004723 TE# 55 TR# 06842  
JAR CANDLE 072136601937 3.94 0  
LYSOL CLING 001920076878 1.97 0  
LYSOL CLING 001920076878 1.97 0  
GV EDY 18 MG 007874220841 11.96 0  
GV EDY 18 MG 007874220841 11.96 0  
SUBTOTAL 31.80  
TOTAL 31.80  
MCARD TEND 31.80  
MasterCard \*\*\*\* \* 1859 1 21  
APPROVAL # 66325C  
REF # 1042000314

AID A0000000041010  
TC 24403BDF8B08409D  
TERMINAL # SC010102  
\*NO SIGNATURE REQUIRED

03/26/18 12:16:35  
CHANGE DUE 0.00  
# ITEMS SOLD 5

TC# 9676 5800 3461 0300 6353



03/26/18 12:16:36  
\*\*\*CUSTOMER COPY\*\*\*



Gas for Van *on loc*

GIER OIL #18 535  
EAST US HWY 54  
CAMDENTON, MO 65020

Gier oil  
GP1078708385501  
535 E US Hwy 54  
Camdenton, Mo  
65020

03/26/2018 806855617  
01:44:33 PM

XXXXXXXXXXXX1859  
MasterCard  
INVOICE 09990285  
AUTH 67151C

PUMP# 11  
REGULAR 13.736G  
PRICE/GAL \$2.259

FUEL TOTAL \$ 31.03

Total = \$ 31.03

CREDIT \$ 31.03

SWIPE  
TRAN TYPE: SALE

THANKS FOR SHOPPING  
AT EAGLE STOP!!!

Resolutions 2018-16,  
2018-17, 2018-18,  
2018-19, 2018-20,  
2018-21 & 2018-22





*CAMDEN COUNTY SB40 BOARD OF DIRECTORS*  
***RESOLUTION NO. 2018-16***

**Approval to Enter Lease Agreement for Office Space**

**WHEREAS**, Sections 205.968-205.972 RSMo and subsequent passage by Camden County voters of the Senate Bill 40 enabling legislation in August of 1980 allows for the business, property, affairs, administrative control, and management to rest solely with the Camden County SB40 Board of Directors (dba Camden County Developmental Disability Resources).

**WHEREAS**, the Camden County SB 40 Board (dba Camden County Developmental Disability Resources) is currently seeking to expand Targeted Case Management services to a rapidly growing population of consumers and is constrained from doing so due to a lack of office space.

**NOW, THEREFORE, BE IT RESOLVED:**

1. That the Camden County Senate Bill 40 Board (dba Camden County Developmental Disability Resources), hereafter referred to as the "Board", hereby acknowledges the continued need for space devoted to administrative functions and desires a continued established presence in Osage Beach.
2. That the Board hereby authorizes the Executive Director to sign the lease agreement in Attachment "A" hereto so that space can be made available for administrative purposes, which ensures organizational growth, and to continue an established presence in Osage Beach.
3. A quorum has been established for vote on this resolution, this resolution has been approved by a majority Board vote as defined in the Board bylaws, and this resolution shall remain in effect until otherwise amended or changed.

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Date

\_\_\_\_\_  
Secretary, Vice Chairman, or Treasurer

\_\_\_\_\_  
Date

Attachment “A” to Resolution  
2018-16

LEASE

THIS LEASE AND AGREEMENT is made and entered into this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, by and between EZARD'S, INC., a Missouri Corporation, of Camden County, Missouri, hereinafter referred to as "Lessor", and CAMDEN COUNTY SENATE BILL 40 BOARD of CAMDEN County, MISSOURI, hereinafter referred to as "Lessee".

**WITNESSETH:**

1. That the Lessor for an in consideration of the rents, covenants and agreements hereinafter mentioned and hereby agreed to be paid, kept and performed by said Lessee, has leased and by these presents does lease the premises situated in Camden County, Missouri, described as set forth on Exhibit A, attached hereto and made a part hereof, the same as if more fully set out herein, said premises being located in the Parkway Center, a shopping center in Osage Beach, Missouri, located adjacent to U.S. Highway 54 West, and being further identified on Exhibit A.

2. For the term of THREE (3) year(s) commencing on JULY 1, 2018, and terminating on JUNE 30, 2021, unless sooner terminated as hereinafter provided. Lessee shall further have the right to renew this Lease for two (2) additional terms of THREE (3) year(s) each, with the rent for said renewal periods to be agreed upon by the parties.

3. The premises shall be used and occupied only for the operation of CAMDEN COUNTY SENATE BILL 40 BOARD and for no other use and occupancy, except as may be permitted in writing by the Lessor, which writing shall in each case specify the particular additional use permitted by the Lessor.

4. The Lessee shall pay as rent therefor the sum of Forty Six THOUSAND EIGHT HUNDRED Dollars (\$46,800<sup>00</sup>) payable at the rate of THIRTEEN HUNDRED Dollars (\$1300<sup>00</sup>) per month, during the first term of this Lease, and such monthly sums shall be due and payable in advance on the first (1st) day of each month during said term. Rent shall be payable without demand therefore, at the office of the Lessor or at such other place as the Lessor from time to time may designate in writing. The Lessor acknowledges receipt of the first month's rent upon execution of this Lease.

5. It is understood and agreed by and between the parties hereto that the Lessee may not assign this Lease or sublease a portion of the demised premises; absent the Lessee obtaining the written permission of the Lessor prior to said assignment or sublease.

6. If during the term of this Lease, Lessee shall file a voluntary petition bankruptcy, or if any involuntary petition in bankruptcy shall be filed against Lessee and Lessee is adjudicated bankrupt, or if Lessee makes an assignment for the benefit of Lessee's creditors, or if a receiver



be appointed to take charge of Lessee's effects whatsoever, or if the Lessee shall, without the consent of the Lessor, make or endeavor to make a sale in bulk of its merchandise and stock in trade, then upon any of the evidence above set forth, this Lease shall terminate forthwith and the Lessor shall be entitled to immediate possession of the leased premises.

7. In the event of a termination by the Lessee, Lessee agrees forthwith to pay unto Lessor as liquidated damages for breach of Lessee's agreement to pay rent, the difference between the value of the rent reserved in this Lease at the time of such termination, and the fair rental value of the demised premises for the residue of said term.

8. The Lessor and Lessor's agent may enter the premises at reasonable hours to inspect and examine the same to do anything Lessor may be required or permitted to do hereunder, or which Lessee may be required to do and fail to do; and during the last thirty (30) days of this Lease, Lessor may display a "For Rent" sign on and show the premises.

9. The Lessee shall furnish and pay for all its own electricity and agrees to pay and reimburse the Lessor the Lessee's pro-rata share of the electricity to operate the parking lot lights. In addition the Lessee will pay its pro-rata share to maintain the common parking and sidewalk areas of the Parkway Center Shopping Center. Maintenance shall include the cleaning and snow removal of the parking and sidewalk area. It shall not include the cost of repair to the parking lot area. If such reimbursement is not paid by the Lessee within thirty (30) days of receipt of billing, such non-payment shall be treated as a non-payment of rent and the provisions of paragraph 22 shall apply. Lessee further acknowledges that the demised premises are furnished water and sewer by the City of Osage Beach, Missouri, and Lessee covenants and agrees to pay the appropriate pro-rata amount of the water and sewer expense incurred at the Parkway Center. If such expense is not paid by the Lessee within thirty (30) days of receipt of billing, such non-payment shall be treated as a non-payment of rent and the provisions of paragraph 22 shall apply.

10. The parties agree to the following regarding the property taxes:

a. All property taxes and assessments, general and special, of every kind and nature that shall be assessed, levied or imposed by any government power of authority whatsoever during the term of this Lease, and which shall become a lien and charge upon the tract of land herein described and that improvements thereon occupied in part by the Lessee hereunder, shall be paid by the Lessor.

b. The Lessee shall pay before delinquent date, any and all taxes and assessments of every kind of nature assessed, levied or imposed by any government power or authority during the term of this Lease upon the merchandise, fixtures, equipment or other personal property, tangible or intangible, owned or kept by the Lessee in the lease premises or upon the gross receipts, income or profits of the Lessee and said Lessee shall pay promptly when due, any and all sales taxes, excise taxes or monies withheld or due upon its payroll's withholding, social security, unemployment, insurance or any other tax levy not herein specified.

11. Subject to any obligations of the Lessor hereinafter provided; the Lessee shall take good care of the leased premises and appurtenances thereto, and keep them in good sanitary, tenantable condition and repair, free from overloading nuisance, danger of fire or explosion and in all respects and at all times conduct his business and use and maintain said premises to fully meet and comply with all laws, orders, ordinances, health and police regulations or other public requirements now or hereafter affecting the premises or use thereof, and shall return the same to the Lessor at the expiration of this Lease in as good condition as received by Lessee, usual wear and tear excepted.

12. The Lessee, shall at his own expense, keep and maintain in good condition and repair, the interior walls, floors, plumbing, sewers and drains, electrical system, wiring and fixtures, signs and shall keep interior of the building on the leased premises painted and decorated. If the Lessee fails to do anything required of him in this paragraph, or elsewhere in the Lease within a reasonable time after it should be done, the Lessor may at its option perform the same, and the Lessee shall repay the Lessor the actual cost thereof on demand. The Lessor shall, at his own expense, maintain and replace the heating and air conditioning equipment.

13. Lessee shall promptly pay and discharge all bills for labor and materials employed and used in the making of repairs and improvements upon the lease premises, or for installing equipment or partitions and shall not permit any mechanic's lien or liens to be filed therefore, Lessee shall within ten (10) days thereafter, at its own cost and expense cause such lien or liens to be discharged and provide Lessor with bond to indemnify and save harmless Lessor from any loss or expense therefrom in an amount and form acceptable to Lessor.

14. The Lessee will not alter the said premises and will not install any fixtures, equipment or machinery to be used in connection with the Lessee's business which may materially affect the leased premises without first having obtained the approval of Lessor to install such fixtures and equipment, and the manner of installation and location thereof in the premises. At the termination of this Lease, and provided Lessee has performed the covenants and agreements herein contained on his part to be performed, Lessee shall have the privilege of removing all moveable and unattached furniture, trade fixtures, equipment and machinery located on or installed in the premises by Lessee, Provided Lessee shall repair any damage or injury to the premises resulting from such installation or removal and restore said premises to its original condition, usual wear and use expected, Lessee shall not remove any permanent or attached furniture, trade fixtures, equipment, machinery, plumbing fixtures or pipes or electrical wiring or partitions, all of which shall become a part of the premises and belong to the Lessor upon termination of this Lease.

15. Lessee shall be allowed to erect a sign over the outside entrance to the leased premises; Provided However, Lessor reserves the right to approve the size of such sign and Lessee shall first obtain written approval of the Lessor before erecting said sign.

16. Lessee agrees that he will indemnify and save Lessor harmless from any and all liability, damage, expense, cause of actions, suits, claims or judgment arising from injury to person or property on the leased premises or upon the adjoining streets and sidewalks which arise out to the act, failure to act, or negligence of Lessee, his agents or employee. The Lessee



will at all times carry public liability insurance in limited of not less than One Million Dollars (\$1,000,000.00) for death or personal liability coverages per occurrence and One Hundred Thousand Dollars (\$100,000.00) for property damage and will provide evidence to Lessor that such insurance is in force with a solvent insurance company authorized to engage in business in the State of Missouri, and the premium paid thereof. Said policy or policies shall name the Lessor as an additional insured thereunder.

17. The Lessor shall not in any event be liable or responsible for any loss or damage to any property, including merchandise, furniture, fixtures, equipment, books, records, money, checks or documents in or about the premises, whether such property be owned by Lessee or any other person, however, same may be caused, and more particularly, not for any loss, damage or destruction from steam, gas, electricity, water, rain, snow or ice, leakage, breakage or overflow from plumbing, pipes or sewers, wherever originating.

18. The parties agree to the following regarding total or partial destruction of the leased premises:

a. If during the term of this Lease the building containing the leased premises is totally destroyed or Lessee's premises are totally destroyed, without fault of Lessee, this Lease shall become void.

b. In case the leased premises is damaged to the extent that it is wholly untenable by the Lessee, but the Lessee does not terminate this Lease and if such damage is repairable within ninety (90) days from the date of damages, Lessor shall repair any such building with all reasonable speed and for that purpose shall have the right to enter said premises.

c. In case the leased premises, without the fault of Lessee, is damaged to the extent that it is wholly untenable and if such damage is not repairable within ninety (90) days from the date of damage, Lessee may, at its option, terminate this Lease by giving Lessor written notice thereof within ten (10) days after such damage.

d. In case the leased premises, without the fault of Lessee, shall be destroyed or damaged, prepaid rent shall not be refunded or credited in whole or in part, but future rent shall abate in whole or in part as may be equitable under the circumstances.

19. In the event during the term of this Lease or any extension thereof, the entire leased premises are acquired by the exercise of the power of eminent domain, this Lease shall terminate at the time, possession must be delivered, and both parties hereto shall be relieved of all future obligations hereunder; any rent which has been paid in advance shall be prorated and the refund shall be made by the Lessor for any unexpired period for which the Lessee does not have possession, and for which rent has been paid in advance.

20. Lessor covenants and warrants that it is lawfully seized in fee of the entire premises and that Lessor has full right and authority to enter into this Lease for the full term hereof; Lessor further covenants that Lessee, upon paying specified rental and performing the covenants herein agreed by him to be performed, shall and may peaceably and quietly have, hold and enjoy the said premises for the terms specified.

21. It is mutually acknowledged and agreed by and between the parties that the Lessee will surrender the said leased premises at the end of the term of this Lease in as good condition as received, ordinary wear and tear expected and agree to pay double rent for each day the Lessee or anyone holding under him shall retain the leased premises after the termination of this Lease, whether by limitation or by forfeiture.

22. If default be made in the payment of the rent herein reserved, or any part thereof of any of the covenants and agreements herein contained to be kept by Lessee, it shall be lawful for Lessor, at its option, after first having given thirty (30) days notice to Lessee in writing, if such default continues beyond the thirty (30) days period of such notice, to declare said term ended and to reenter said leased premises or any part thereof, without such reentry working a forfeiture of rent and to remove and put out the said Lessee or any person or persons occupying the same, using such as may be necessary so as to repossess and enjoy the leased premises as before this demise, without prejudice to any remedies which might otherwise be used for arrears of rent or breach of covenants. Lessor may relet the premises at such price and upon such terms and for such duration of time as the Lessor may determine and receive the rent therefor, applying the same to the payment of rent due by these presents including expenses of re-rental, such as advertising, rental commission, decorating and repairs, and if the full rental herein provided shall not be realized, by the Lessor over and above such expenses and re-letting, the said Lessee shall pay any deficiency, and at all events shall remain liable for failure to comply with all the other terms, covenants and conditions of this Lease.

23. If this Lease terminates before the expiration date for reasons other than Lessee's default, monthly rental shall be prorated to the date of termination, and Lessor shall immediately repay to Lessee all monthly rental then prepaid and unearned.

24. All of the terms and provisions of this Lease agreement shall inure to and be binding upon the successors and assigns of the Lessor and Lessee, if any.

25. It is understood and agreed that each day of any continued breach of any of the covenants and agreements of this Lease shall be a separate and distinct breach, and no waiver of any breach or breaches of any of said covenants and agreements shall operate as a waiver of any subsequent breach of the same or other covenant. At the termination of this Lease, or by forfeiture as herein provided, the Lessee agrees to peaceably surrender and deliver the premises without process of law in its present condition, ordinary wear and tear, and loss by fire, not occasioned by negligence of Lessee, excepted.

26. That any notice of demand herein provided may be given by the party to be served by personal service or certified mail to the Lessor at its last known address or to Lessee at the premises herein leased.



27. Wherever the word "Lessor" is used it shall be construed to include the heirs, executors, administrators, successors or assigns or the legal representatives of Lessor; and the word "Lessee" shall be construed to include the heirs, executors, administrators, successors or assigns or the legal representatives of Lessee; and the words Lessor and Lessee shall include the singular and plural and the individual or business organization.

28. The Lease represents the entire agreement between the parties hereto and there are no collateral, oral agreements or understandings of any kind whatsoever; and further, neither party shall be bound by any statement or representations of any kind or nature whatsoever made by either party or their agents or representatives unless set forth in this Lease. This Lease may be modified only in writing. This Lease shall be interpreted and construed under the laws of the State of Missouri.

IN WITNESSES WHEREOF, the parties have hereunto executed this agreement in duplicate for the purposes aforesaid.

**LESSOR**

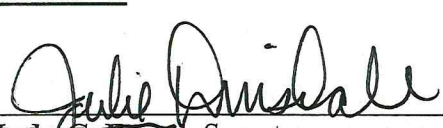
Ezard's, Inc.

Dated this 6 day of April, 2018.

By: 

William J. Ezard, President

**ATTEST:**

  
Julie P. Dinsdale, Secretary  
JULIE DINSDALE

**LESSEE**

Dated this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

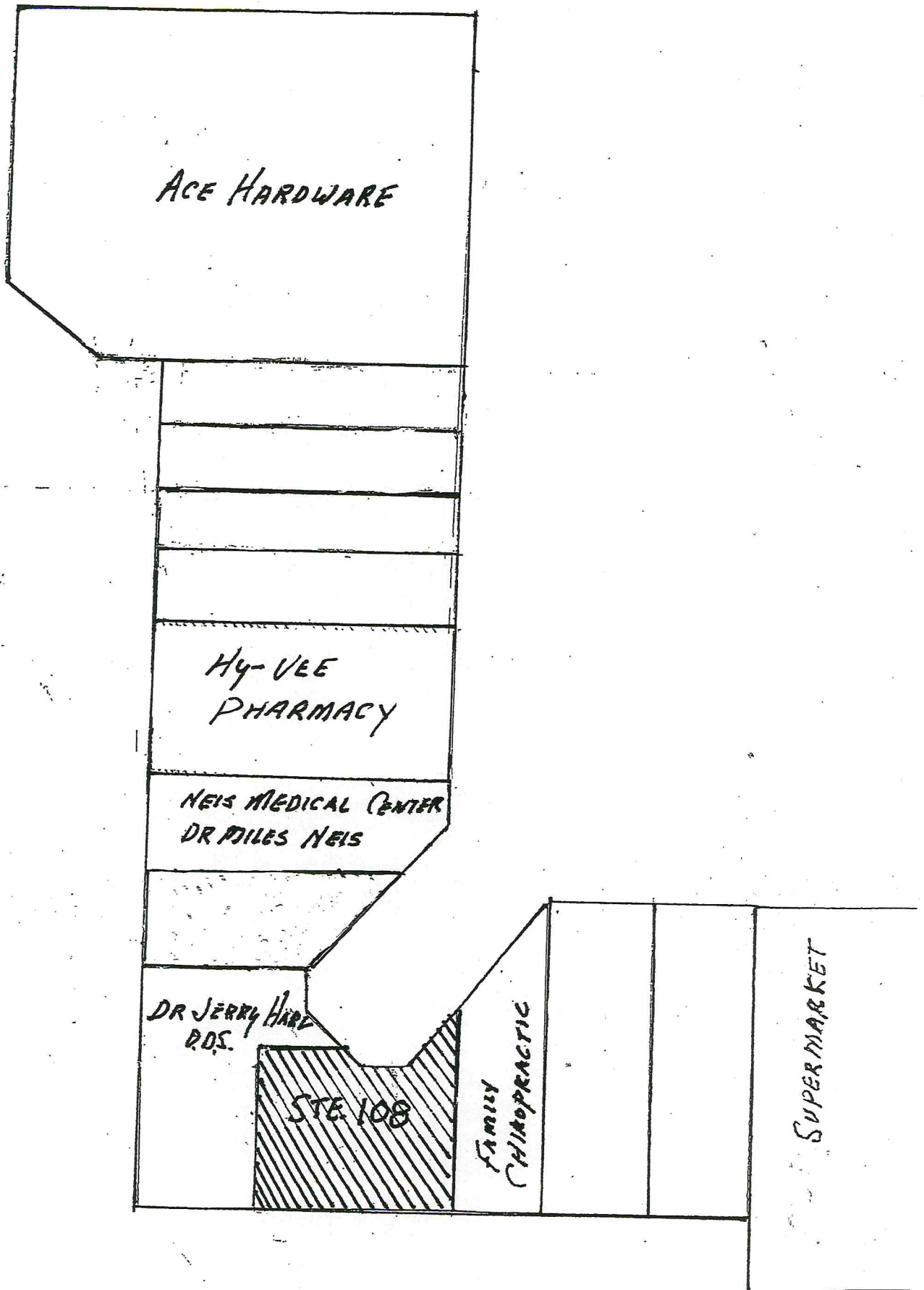
\_\_\_\_\_  
*Signature*

\_\_\_\_\_  
*Printed Name*

**EXHIBIT A**

The lease premises shall be an area approximately 2300 square feet being \_\_\_\_\_ Feet wide and \_\_\_\_\_ feet deep and is the shaded area described below and is more Particularly described as follows:

A tract of land lying in the Southwest quarter of Section 15 and the Southeast quarter of Section 16, all in Township 39 North Range 16 West, Camden County, Missouri, and more particularly described as follows: From the East quarter corner of said Section 16 run South along the section line 33.8 feet to the current Southeasterly right of way of U.S. Highway 54: thence South 40 degrees 38 minutes West (Highway plans = South 43 degrees 33 minutes West) along said right of way 150.0 feet to an iron pin for the point of beginning; thence departing said right of way South 49 degrees 22 minutes East 500.0 feet to an iron pin: thence South 40 degrees 38 minutes West 230.0 feet to an iron pin: thence North 49 degrees 22 minutes West 500.0 feet to an iron pin on the aforementioned current Southeasterly right of way of U.S. Highway 54; thence North 40 degrees 38 minutes East along said right of way 230.0 feet to the point of beginning.





*CAMDEN COUNTY SB40 BOARD OF DIRECTORS*  
***RESOLUTION NO. 2018-17***

<b>APPROVAL OF AMENDED POLICY #10</b>
---------------------------------------

**WHEREAS**, Sections 205.968-205.972 RSMo and subsequent passage by Camden Co. voters of the Senate Bill 40 enabling legislation in August of 1980 allows for the business, property, affairs, administrative control, and management to rest solely with the Camden County SB40 Board of Directors (dba Camden County Developmental Disability Resources).

**WHEREAS**, the Camden County SB 40 Board (dba Camden County Developmental Disability Resources) reviews, amends, and appeals its existing Bylaws, policies, plans, manuals, and job descriptions and creates new Bylaws, policies, plans, manuals, and job descriptions as needed to remain effective in its Agency administration and remain compliant with regulatory statutes.

**NOW, THEREFORE, BE IT RESOLVED:**

1. That the Camden County Senate Bill 40 Board (dba Camden County Developmental Disability Resources), hereafter referred to as the "Board", hereby acknowledges the need to amend Policy #10, Program Funding & Purchase of Services and/or Supports Agreements.
2. That the Board hereby amends and adopts Policy #10 (Attachment "A" hereto) as presented.
3. A quorum has been established for vote on this resolution, this resolution has been approved by a majority Board vote as defined in the Board bylaws, and this resolution shall remain in effect until otherwise amended or changed.

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Date

\_\_\_\_\_  
Secretary, Vice Chairman, or Treasurer

\_\_\_\_\_  
Date



# Attachment “A” to Resolution 2018-17



Policy Number:

10

Effective: August 1, 2007

Revised: November 21, 2016,  
December 18, 2017, May 21, 2018

Subject: Program Funding & Purchase of  
Services and/or Supports Agreements

## **PURPOSE:**

It is the policy of Camden County Developmental Disability Resources (“CCDDR”) to provide program funding and Purchase of Services and/or Supports Agreements (“POS Agreements”) to agencies in accordance with CCDDR’s mission, strategic planning objectives, and annual fiscal budget, and applicable Federal and Missouri laws. POS Agreements may include, but are not limited to, contracts, memorandums of understanding (MOU), or other related instruments used to convey funds.

## **POLICY:**

### **I. General Information**

- A. CCDDR is authorized by Sections 205.968 – 205.972 RSMo to serve persons with developmental disabilities, as defined in Sections 205.968 and 630.005 RSMo. The CCDDR Board of Directors (“Board”) may elect to directly provide programs or services for Camden County residents with developmental disabilities (“Eligible Persons”), contract with existing agencies to provide programs or services for Eligible Persons, or both. CCDDR shall award public funds to agencies for programs and services that are used effectively, efficiently, and appropriately.
- B. The following general principals shall apply to all program funding and POS Agreements awarded to agencies in serving Eligible Persons:
  - 1. Funding shall be appropriated for CCDDR’s fiscal year (calendar year) or for special projects. Program funding and POS Agreements are typically funded by CCDDR from Camden County property taxes received from the Camden County Treasurer or revenue from Targeted Case Management claims submitted to and paid by Medicaid. The total amount of funds available is determined by calculating the estimated funds to be received in a stated fiscal (calendar) year less the total amount of funds needed to sustain CCDDR sponsored programs or services, operational reserves or other restricted fund accounts, Medicaid Waiver match commitments with the Department of Mental Health, Targeted Case Management expenses, administrative expenses, building expenses, and various other CCDDR operational expenses.

2. CCDDR may be limited in the amounts, if any, it can award to agencies for any funding request.
  3. All agencies receiving program funding or a POS Agreement from CCDDR shall comply with all CCDDR funding Policies/Procedures and shall sign a funding agreement outlining the terms of CCDDR funding. CCDDR reserves the right to establish the conditions and requirements of the funding agreement(s).
  4. CCDDR will not provide program funding or POS Agreements to assist agencies in retiring their existing debts, to supplant an existing financial effort of the agency, or to establish endowment funds. All funds awarded by CCDDR must be utilized for a specific purpose in serving Eligible Persons in agreement with CCDDR's mission and Sections 205.968-205.972 RSMo.
  5. CCDDR shall only provide program funding or POS Agreements for services rendered/expenses incurred by an agency after the date of Board approval of the agency's application.
  6. An agency that fails to perform in accordance with CCDDR's funding agreement shall be considered to be in default of said funding agreement. Any agency's ineffective, inefficient, or inappropriate use of awarded funds or a portion thereof shall be subject to a recapture of said funds.
  7. Applicants of program funding or POS Agreements for partial funding of projects must demonstrate the availability and source of other funds for the development and/or continued operation of the proposed service or program.
- C. CCDDR may directly solicit, procure, or provide services and/or supports for Eligible Persons as deemed necessary.
- D. All agencies receiving funds from CCDDR are encouraged to attend the monthly Board meetings to discuss progress on programs funded by CCDDR and receive information on CCDDR activities and topics related to developmental disabilities.

## II. Annual Program Funding and POS Agreements

- A. Agencies requesting funds to sustain operations or continue to provide services and/or supports shall be required to submit a funding request annually to CCDDR.
- B. The annual funding request cycle will follow the following timelines:
1. Funding requests for the next CCDDR fiscal (calendar) year are due to CCDDR no later than October 15th of the current fiscal (calendar) year. Acceptable delivery methods to CCDDR shall include delivery via email,

United States Postal Service, third-party private service, or personal delivery.

2. The CCDDR Executive Director shall review all annual funding requests and will make recommendations to the Board at the November or December Board meeting. Certain circumstances may exist which require additional review by the Executive Director and/or the Board.
3. The Board generally approves the preliminary annual budget for the next fiscal (calendar) year in November; however, the Board may re-convene if needed in December to approve a final budget. No annual funding request shall be approved until the next fiscal (calendar) year budget is approved by the Board.

C. Agreements for funds awarded prior to the end of the current fiscal (calendar) year for the following fiscal (calendar) year are typically signed no later than December 31st.

1. If a decision about a funding request is made after December 31<sup>st</sup>, the funding agreement will be signed following any approval with the funds retroactive to January 1<sup>st</sup>.

### III. Special Program Funding and POS Agreements (New Program or One-Time Funds)

A. CCDDR will review special funding requests (new program or one-time funding applications) in situations including, but not limited to:

1. The health and/or safety of Eligible Persons is threatened;
2. The health and/or safety of persons providing support services for Eligible Persons is threatened;
3. Programs or services provided by an agency are threatened;
4. Amounts originally appropriated for an approved program or service are insufficient due to unanticipated growth in the program or service;
5. Unexpected/unanticipated funding opportunities arise;
6. A situation arises which presents an immediate financial hardship for an agency that cannot wait until the next budget cycle;
7. A new program, service, and/or support which will provide community inclusion, community employment, community transportation, housing, immediate care, or other services and/or supports which are not currently offered or improves the quality or environment of community living and/or an existing service and/or support;

8. Funds for one-time expenses such as acquisition of property, renovations, or equipment that have a useful life of one (1) or more years;
  9. Funds for one-time expenses related to new construction of or renovation to existing buildings; or
  10. Funds for the purchase of vehicles utilized in transporting Eligible Persons for participation in programs or community inclusion when other State and/or Federal transportation funding resources have been exhausted.
- B. Special funding requests must be submitted to CCDDR's Executive Director no less than 30 days prior to the next regularly scheduled Board meeting.
  - C. The CCDDR Executive Director shall review all special funding requests and will make recommendations to the Board at the next regularly scheduled Board meeting.
  - D. CCDDR's Executive Director and/or Board may request additional information, supporting documents, or other supportive information to support the special funding request before a decision is rendered.

#### IV. Eligibility Criteria

- A. Agencies applying for funds from CCDDR must utilize said funds to serve Eligible Persons.
- B. Eligible Persons participating in programs offered or receiving services and/or supports provided by agencies should be current or former clients of the Department of Mental Health, Division of Developmental Disabilities and/or CCDDR. If not a former or current client of the Department of Mental Health, Division of Developmental Disabilities and/or CCDDR, an intake eligibility application and supporting documentation may be requested to support client eligibility.
- C. The Board may, at its sole discretion, impose limitations with respect to community inclusive programs and services such as programs to be administered and services to be provided. Such limitations as determined by the Board may depend upon: the availability of funds; the appropriate, efficient, and effective use of funds; the needs of Eligible Persons to be served; and the needs within the community.

#### V. Agencies Eligible for Program Funding/POS Agreements

- A. CCDDR funds are available to agencies which are serving, or will serve upon implementation of a program, service, and/or support, Eligible Persons.

- B. POS Agreements and program funding may be awarded to for-profit agencies, and shall be dependent upon the needs of Eligible Persons and the availability of not-for-profit programs, services, and/or support agencies.
- C. CCDDR reserves the right to procure services and/or supports without a funding application or POS Agreement in instances involving Medicaid or Medicaid Waiver services and/or supports, where individual choice is a prerequisite, or in instances where the immediate procurement of such services and/or supports are deemed necessary.

## VI. Funding Application Requirements

### A. General Requirements:

- 1. Agencies shall submit current proof of insurance coverage for all programs, services, and assets. The agency shall maintain minimum insurance coverages as set forth in the CCDDR Funding Agreement. CCDDR shall be named as an additional insured on all liability insurance policies that cover the programs and services funded by CCDDR. The agency shall also maintain blanket fidelity coverage in an amount equal to or greater than awarded funds from CCDDR for all persons handling said funds.
- 2. Not-for-profit agencies shall be registered as a not-for-profit corporation in the State of Missouri and have a current Certificate of Good Standing from the Secretary of State's office, provide a current 501(c)3 determination letter from the Internal Revenue Service, and provide a copy of the most recent 990 filed with the Internal Revenue Service
  - a. If the agency has been in operation for less than two (2) agency fiscal years prior to the application for funds and the agency's first fiscal year has not ended or the agency's first fiscal year has ended but it has not been six (6) months since the end of the first fiscal year, the agency must submit the copy of the 990 by the end of the sixth (6th) month after the end of the agency's fiscal year.
- 3. For-profit agencies shall have a current Certificate of Good Standing from the Secretary of State's office for the programs and/or services/supports identified in the application.
- 4. If applicable, agencies must submit proof of good standing with regard to current licensure, certification, or accreditation from the appropriate Missouri and/or Federal authority having oversight responsibilities (i.e. DESE, DMH, etc.).



5. Agencies must be in good standing with regard to the successful implementation of previous services, programs, or projects funded by CCDDR.
6. Agencies must submit a business plan or detailed description of the program(s), service(s), project(s), etc. for which funds are to be used.
7. Agencies must submit a copy of their current strategic plan, Bylaws, Articles of Incorporation, financial management policy, financial management procedures, and other policies and procedures when submitting an application for the first time.
8. Agencies shall provide other documents as deemed necessary by CCDDR Executive Director and/or the Board.

B. The annual funding application requirements are as follows:

1. Agencies shall demonstrate fiscal viability by submitting:
  - a. a current year-to-date detailed balance sheet;
  - b. current year-to-date detailed statement of revenue and expenses vs. budgeted revenue and expenses for each program administered by the agency;
  - c. current year-to-date detailed cash flow statement;
  - d. detailed budget for the next 12 consecutive months or fiscal year for each program administered by the agency; and
  - e. the annual funding application.
2. Agencies that have been in operation for more than three (3) agency fiscal years prior to the application for funds must include in addition to the documents requested in Subsection (1):
  - a. the previous two agency fiscal year-ending detailed balance sheets;
  - b. detailed statements of revenue and expenses vs. budgeted revenue and expenses for each program administered by the agency;
  - c. detailed cash flow statements; and
  - d. third-party audit reports with the funding application.
3. Agencies that have been in operation for less than three (3) agency fiscal years prior to the application for funds must include in addition to the documents requested in Subsection (1):

- a. the agency's previous fiscal year-ending detailed balance sheet;
  - b. detailed statement of revenue and expenses vs. budgeted revenue and expenses for each program administered by the agency;
  - c. detailed cash flow statement; and
  - d. third-party audit report with the funding application.
4. Agencies that have been in operation for less than two (2) agency fiscal years prior to the application for funds must include in addition to the documents requested in Publication (1):
- a. a copy of the previous fiscal year-ending detailed balance sheet;
  - b. detailed statement of revenue and expenses vs. budgeted revenue and expenses for each program administered by the agency;
  - c. detailed cash flow statement; and
  - d. third-party audit report within six (6) months after the end of the agency's previous fiscal year if the first fiscal year has not ended at the time of the funding application, if the agency's first fiscal year has ended but it has not been six (6) months since the end of the first fiscal year, the agency must submit the third-party audit report by the end of the sixth (6th) month after the end of the agency's fiscal year.

C. The special funding application requirements are as follows:

- 1. For new program, service, and/or support applications, agencies shall provide detailed information about the program, service, and/or support including, but not limited to:
  - a. a business and/or strategic plan;
  - b. projected budget;
  - c. anticipated outcomes;
  - d. summary of how CCDDR funds will be utilized in program or service development identified in the application; and
  - e. current or past agency financial reports (if applicable).
- 2. For operational shortfall, capital improvement, equipment purchase, new construction, renovation, vehicle acquisition, or health and safety related

applications, agencies shall provide detailed information about the circumstances including, but not limited to:

- a. a business and/or strategic plan;
- b. projected budget;
- c. anticipated outcomes;
- d. summary of how CCDDR funds will be utilized; and
- e. current or past agency financial reports (if applicable).

VII. Programs, Services, and/or Supports Eligible for Funding

- A. Agencies applying for funds from CCDDR must utilize the funds for programs, services, and/or supports for Eligible Persons including, but not limited to the following:
  - 1. Sheltered employment programs, services, and/or supports;
  - 2. Community employment programs, services, and/or supports;
  - 3. Pre-vocational programs, services, and/or supports;
  - 4. Immediate care programs, services, and/or supports;
  - 5. Community inclusion programs, services, and/or supports;
  - 6. Residential programs, services, and/or supports; and
  - 7. “Related” programs, services, and/or supports defined as:
    - a. Programs designed toward enabling an Eligible Person to progress toward normal living and/or to develop his or her capacity, performance, or relationships with other persons;
    - b. Programs which provide services related to a place of residence or social centers for Eligible Persons; or
    - c. Programs which provide a controlled environment.
- B. Agencies applying for funds from CCDDR which fall within the area of “related” programs, services, and/or supports will be asked to show how the program, service, and/or support qualifies under the above definition.
- C. The Board shall, at its discretion, determine if the program, service, and/or support qualifies when evaluating all applications.

## VIII. Funding Categories

### A. Annual and Special Funding

#### 1. Program Funding

- a. CCDDR may provide program funding to sustain existing agency operations and/or to continue providing programs, services, and/or supports to Eligible Persons.
- b. Program funding may be utilized for direct operational costs, such as personnel, fringe benefits, supplies, travel, professional fees, etc.
- c. A percentage of indirect/overhead costs may also be funded.
- d. All funding will be based on program specific measures.

#### 2. POS Agreements

- a. POS Agreements with agencies shall provide direct services and/or supports for Eligible Persons during a specified period of time.
- b. A “unit” of service and a “rate per unit” is determined and approved by the Board.
- c. The agency then invoices CCDDR for the number of “units” provided by the agency.

### B. Special Funding

#### 1. New Programs

- a. CCDDR may provide one-time program funding or POS Agreements for new programs.
- b. Criteria to be used to review and evaluate all applications for new programs, services, and/or supports include, but is not limited to:
  - i. The extent to which the new program, service, and/or support has been identified by CCDDR as a need based upon needs survey results, waiting list data, or other viable sources;
  - ii. The extent to which other Federal, State, and local agencies or funding sources are mandated to fund the proposed new program, service, and/or support;
  - iii. The extent to which the new program, service, and/or support will contribute to the advancement of and/or

improvement of promoting Eligible Persons to progress toward normal living;

- iv. The availability of CCDDR revenues to sustain the new program, service, and/or support on an on-going basis;
  - v. The extent to which the new program, service, and/or support falls within Sections 205.968-205.972 RSMo in terms of eligible services to be funded;
  - vi. The extent to which the estimated cost for the new program, service, and/or support is reasonable and is cost-effective;
  - vii. The extent to which other available revenue sources have been investigated and accessed by the agency requesting CCDDR funds;
  - viii. The extent to which the new program, service, and/or support addresses the needs of Eligible Persons;
  - ix. The extent to which the agency is: certified or accredited by State and national bodies; program, service, or support personnel are well qualified by training and/or experience for their roles; and the applicant agency has adequate facilities and personnel;
  - x. The extent to which, insofar as practicable, the proposed program, service, and/or support, if well executed, is capable of attaining the proposed outcomes and goals;
  - xi. The strength of the program, service, and/or support plan for evaluation in terms of meeting stated outcomes/goals; and
  - xii. The agency's historical performance in other programs, services, and/or supports funded by CCDDR (if applicable) or historical performance in other programs, services, and/or supports not funded by CCDDR (references may be requested).
- c. CCDDR will evaluate each one-time program funding application as it relates to program feasibility or viability and its impact on Eligible Persons participating in the program.

2. Construction/Renovation Projects & Purchases of Property

- a. All new construction projects and renovation projects require development of uniform specifications for the work to be done, adherence to this Policy, adherence to CCDDR Procurement Policy #31, adherence to the Missouri Prevailing Wage Law when applicable, and adherence to all applicable Federal, Missouri, and local laws.
- b. The following information shall be submitted with the agency's program funding application when requesting funding for new construction, property purchase, or renovation projects:
  - i. Description of the project and benefits to persons served;
  - ii. Projected timeline for initiation and completion of project;
  - iii. Business plan and how proposed property acquisition, construction, or renovation will enhance the agency's business operations and/or mission in serving Eligible Persons;
  - iv. Land site and value (for proposed purchases of property that CCDDR is to fund, an Independent Appraisal of the property from a certified appraiser is required);
  - v. Architectural plans (if applicable); and
  - vi. Itemized cost breakdown for the entire project.
- c. For all buildings or residential facilities proposed to be purchased, constructed, or renovated exclusively with CCDDR funds, the Board reserves the right to retain ownership of the property and subsequently lease the property to the agency for a specific purpose, hold recorded interest in title to the property, or be recorded as the first-priority lien-holder.
- d. For all buildings or residential facilities proposed to be purchased, constructed, or renovated which are partially funded by CCDDR funds, the Board reserves the right to hold recorded interest in title to the property or be recorded as a lien-holder.
- e. Agencies awarded funds for the purchase, construction, or renovation of property shall maintain adequate insurance coverage for said items, and shall furnish CCDDR with evidence annually. For all buildings or residential facilities proposed to be purchased, constructed, or renovated exclusively with CCDDR funds,



CCDDR shall be named as additional insured and loss payee on such policy.

- f. Restricted covenants shall be recorded for the agency's use of CCDDR funds to: purchase property; purchase, construct, or renovate buildings; or purchase, construct, or renovate of residential facilities.

### 3. Vehicle Purchases

- a. CCDDR may provide one-time program funding to enable agencies to:
  - i. improve or replace their existing fleet of vehicles;
  - ii. purchase lift equipment or safety equipment, such as restraints; or
  - iii. to purchase new vehicles as part of an expansion of transportation services.
- b. All vehicle purchases require adherence to this Policy, adherence to CCDDR Procurement Policy #31, applicable sections contained within CCDDR Transportation Policy #36 as outlined in the Funding Agreement, and adherence to all applicable Federal, Missouri, and local laws
  - i. Agency purchases of vehicles at the State/Federal Surplus warehouse in Jefferson City or through the MoDOT Section 5309/5310/5311 program are not subject to the conditions outlined in CCDDR Procurement Policy #31.
- c. For vehicles purchased entirely with CCDDR funds, the vehicle must be titled with CCDDR listed as first-priority lien-holder, and CCDDR will physically hold title for the duration of the vehicle's service.
- d. Agencies awarded funds for the purchase of vehicles shall maintain adequate insurance coverage for said items, and shall furnish CCDDR with evidence of insurance annually.
- e. Agencies are required to provide CCDDR with vehicle serial numbers and other appropriate identifying information.
- f. The vehicle must be used in transporting Eligible Persons.

- g. Agencies must submit a request in writing for CCDDR's permission to dispose of, transfer, or sell a vehicle purchased with CCDDR funds.
  - i. CCDDR reserves the authority to determine a reasonable sale price and shall use the wholesale value of the vehicle as specified in The Official Bus Blue Book by Bus Solutions, in consideration with straight-line depreciation methods.
  - ii. CCDDR reserves the right to retain proceeds from sales of vehicles purchased exclusively with CCDDR funds.
  - iii. Where vehicles have been partially purchased with Federal or MoDOT funds (Section 5309/5310/5311), Federal or MoDOT property management standards shall prevail, with remittance of the sale price to the CCDDR equal to CCDDR's percentage match (typically 20%).
- h. CCDDR will not provide funding to replace vehicles unless the odometer reading of the vehicle to be replaced is above 100,000 miles.

#### 4. Operational Shortfall

- a. CCDDR may provide one-time program funding for program specific operational shortfalls, such as unanticipated expenses incurred as a result of changes in health and safety compliance standards, replacement or repairs of necessary equipment, or other unforeseen and uncontrollable circumstances affecting the successful operation of a program.
- b. Operational shortfalls will be heavily scrutinized by the Board.
- c. Mismanagement or management errors will not be considered legitimate reasons for one-time program funding requests.

#### 5. Health and Safety

- a. CCDDR may provide one-time program funding to an agency if the health and safety of an Eligible Person/Persons is/are threatened, and the agency is financially unable to accommodate a remedy to the health and safety issue.
- b. Health and safety concerns will be heavily scrutinized by the Board.

- c. If it has been determined mismanagement or management errors are the reason for the health and safety issue, additional investigatory authorities may be notified and continued funding of any other CCDDR funded agency program will be reviewed and reconsidered immediately.

IX. Monitoring of Funds Utilization

- A. All agencies receiving annual funding from CCDDR for the on-going sustainment of programs, services, and/or supports shall provide a verifiable, detailed accounting of funds utilized as identified in the funding agreement(s).
- B. All agencies receiving special funding from CCDDR must submit copies of actual invoices and checks for payment of the invoices for approved expenditures.
- C. For operational, operational shortfall, new program, and/or other special project or program funding, a verifiable, detailed accounting of how the funds were utilized is required.
- D. If the agency requests CCDDR to make a direct payment to the supplier or manufacturer rather than being remunerated by CCDDR, an invoice from the supplier or manufacturer for all approved expenditures is needed.
- E. Purchase of Assets
  - 1. Agencies awarded funds for the purchase of assets (equipment, furnishings, vehicles, property, etc.) in excess of \$1,000 for items with a useful life of over 1 year shall complete an asset inventory report annually for the depreciable period applicable to the item following the award.
  - 2. Agencies awarded funds for the purchase of assets shall maintain a loss control/risk management system to prevent damage or theft of such items.
  - 3. Any damage or theft of an asset in excess of \$1,000 purchased with CCDDR funds shall be properly investigated, with the appropriate reports/findings submitted for review to the Board.
  - 4. Agencies awarded funds for the purchase of assets in excess of \$1,000 shall maintain adequate property insurance coverage for said items, and shall furnish CCDDR with evidence of insurance annually for all such capital items.
  - 5. If purchased assets are found not to be used during a six-month consecutive period of time during the first three years of ownership, said items shall be made available to CCDDR for reassignment to another agency, to CCDDR for its own uses, or for resale by CCDDR, with proceeds returning to CCDDR.

6. If purchased assets are found not to be used for or by Eligible Persons, the agency shall repay CCDDR the undepreciated or market value of said items or make the items available to CCDDR for reassignment to another agency.
7. Agencies awarded funds for the purchase of an asset in excess of \$1,000 shall not sell, trade, or dispose of the item within a three-year period of time after the award unless prior approval has been obtained from CCDDR. If prior approval is not obtained, the agency shall repay CCDDR for the funding amount used to purchase the asset.
8. All purchased assets shall be depreciated in accordance with generally accepted accounting principles. The agency shall be expected to establish and fund a depreciation reserve account to replace the item when this becomes necessary.

F. Purchase of Property

1. If CCDDR provides program funding for the purchase of real property and the agency sells, trades, or ceases to use the property for the purposes indicated in its original proposal and/or program funding application within five years from the date of being awarded funds, all funds disbursed in the project shall be reimbursed to CCDDR.
  - a. If the agency continues to serve Eligible Persons, but uses the property for a different purpose than in the original proposal, a request must first be made in writing to the Board to utilize the property in a different manner.
  - b. If the request is denied, the agency shall repay CCDDR for the funding amount used to purchase the real property.
2. For all purchases of personal property in excess of \$5,000.00, the agency shall grant to CCDDR a first-priority security interest in the property and all proceeds thereof.
  - a. The agency will execute a security agreement for the benefit of CCDDR and will, from time to time, execute, deliver, file, and record any statement, assignment, instrument, document, or agreement or take any other action that may be necessary or desirable in order to create, preserve, perfect, or validate the line on such personal property.
  - b. The security agreement shall be in effect for 10 years or until property is disposed of.

X. Monitoring Agencies Receiving Funds

- A. As a publicly-supported entity, CCDDR places a premium on the accountability of its funds. This responsibility extends to those agencies funded by CCDDR.
- B. Agencies will be required to provide CCDDR with a full financial disclosure of all operations.
- C. Agencies shall establish internal controls, systems, and procedures for monitoring the fiscal position of their agency and the use of CCDDR funds.
- D. Agencies' financial management controls and record-keeping shall be in accordance with generally accepted accounting principles.
- E. All agencies that have funding agreements with CCDDR shall submit an organization-wide independent audit to CCDDR conducted by a Certified Public Accountant following Generally Accepted Auditing Standards for the period in which the funding was received.
  - 1. If this funding extends into a subsequent fiscal year(s) for the agency, a subsequent audit(s) will be required.
  - 2. The audit document shall include the auditor's Management Report and comments on compliance with accounting standards and internal controls.
  - 3. The audit is due in the CCDDR office within six (6) months of the close of the agency's fiscal year.
  - 4. The DESE Audit Analysis shall accompany the audit report for sheltered employment services.
  - 5. Copies of audits by any regulatory entity must also be submitted to CCDDR within thirty (30) days of the agency's receipt of the completed audit report from the regulatory entity.
  - 6. Agencies receiving \$10,000 or less annually or through a one-time program funding application which carries no ongoing funding obligation by CCDDR may request an exception to the audit requirement.
    - i. This audit exception request must be submitted to CCDDR in writing with the program funding application.
    - ii. Each audit exception request will be considered on a case-by-case basis, and each consideration will be made based on the funding application circumstances.
    - iii. If a waiver is approved, the exempted agency shall submit year-end financial statements or program specific financial



statements signed by their board treasurer, Certified Public Accountant, or authorized designee.

- F. Any modifications or changes to the strategic plan, Bylaws, Articles of Incorporation, policies, and procedures adopted by the agency during the term identified in the funding agreement must be submitted to CCDDR.
- G. Agencies will be required to comply with all terms and conditions set forth in the funding agreement(s).
- H. CCDDR reserves the right to conduct periodic site visits of funded programs, services, and/or supports.
- I. CCDDR may, at its own costs, procure the services of third parties to conduct assessments, audits, inspections, etc. of programs, services, supports, and/or facilities funded by CCDDR funds.



*CAMDEN COUNTY SB40 BOARD OF DIRECTORS*  
***RESOLUTION NO. 2018-18***

**APPROVAL OF AMENDED POLICY #27**

**WHEREAS**, Sections 205.968-205.972 RSMo and subsequent passage by Camden Co. voters of the Senate Bill 40 enabling legislation in August of 1980 allows for the business, property, affairs, administrative control, and management to rest solely with the Camden County SB40 Board of Directors (dba Camden County Developmental Disability Resources).

**WHEREAS**, the Camden County SB 40 Board (dba Camden County Developmental Disability Resources) reviews, amends, and appeals its existing Bylaws, policies, plans, manuals, and job descriptions and creates new Bylaws, policies, plans, manuals, and job descriptions as needed to remain effective in its Agency administration and remain compliant with regulatory statutes.

**NOW, THEREFORE, BE IT RESOLVED:**

- 1.** That the Camden County Senate Bill 40 Board (dba Camden County Developmental Disability Resources), hereafter referred to as the "Board", hereby acknowledges the need to amend Policy #27, Investment of Board Funds.
- 2.** That the Board hereby amends and adopts Policy #27 (Attachment "A" hereto) as presented.
- 3.** A quorum has been established for vote on this resolution, this resolution has been approved by a majority Board vote as defined in the Board bylaws, and this resolution shall remain in effect until otherwise amended or changed.

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Date

\_\_\_\_\_  
Secretary, Vice Chairman, or Treasurer

\_\_\_\_\_  
Date

# Attachment “A” to Resolution 2018-18



Policy Number:

27

Effective: October 20, 2008

Revised: April 19, 2010, September 17, 2012,  
October 16, 2017, May 21, 2018

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Subject: Investment of Board Funds

## **PURPOSE:**

All funds of Camden County Developmental Disability Resources (CCDDR) are held by the board in a fiduciary capacity. Therefore, all restricted and unrestricted funds of the organization are held by the organization as a steward for the sake of carrying out CCDDR's mission and objectives. The basic investment standards shall be those of a prudent investor as articulated in applicable state laws pertaining to political subdivisions of Missouri. The following instructions are to be understood and employed with that sense of stewardship in mind. Further, this investment policy is set forth in order that:

- There is a clear understanding of responsibilities and objectives of the board, its delegates, and chosen investment counselors
- The board will have a basis for evaluating the investment performance of each of the organization's investment funds

## **POLICY:**

### **I. Scope**

This policy applies to the investment of all operating funds of the Camden County Senate Bill 40 Board, dba Camden County Developmental Disability Resources (CCDDR).

#### **A. Pooling of Funds**

In an effort to maximize account holdings and investment earnings, investment income will be allocated to various fund accounts based on their intended purpose and in accordance with generally accepted accounting principles.

#### **B. External Management of Funds**

Investment through external programs, facilities and professionals operating in a manner consistent with this policy will constitute compliance.

### **II. General Objectives**

The primary objectives, in priority, of investments activities shall be safety, liquidity, and yield:

## A. Safety

Safety of principal is the foremost objective of the investment program. Investments shall be undertaken in a manner that seeks to ensure the preservation of capital in the overall portfolio. The objective will be to mitigate credit risk and interest rate risk.

### 1. Credit Risk

CCDDR will minimize credit risk, the risk of loss due to the failure of the security issuer or backer, by:

- Pre-qualifying the financial institutions, broker/dealers, intermediaries, and advisors with which CCDDR will do business
- Diversifying the portfolio so that potential losses on individual securities will be minimized

### 2. Interest Rate Risk

CCDDR will minimize the risk that the market value of securities in the portfolio will fall due to changes in general interest rates, by:

- Structuring the investment portfolio so that securities mature to meet cash requirements for ongoing operations, thereby avoiding the need to sell securities on the open market prior to maturity
- Investing operating funds primarily in shorter-term securities

## B. Liquidity

The investment portfolio/fund accounts shall remain sufficiently liquid to meet all operating requirements that may be reasonably anticipated. This is accomplished by structuring the portfolio/fund accounts so that securities mature concurrent with cash needs to meet anticipated demands (static liquidity). Furthermore, since all possible cash demands cannot be anticipated, the portfolio/fund accounts should consist largely of securities with active secondary or resale markets (dynamic liquidity). A portion of the portfolio/fund accounts also may be placed in bank deposits or repurchase agreements that offer same-day liquidity for short-term funds.

## C. Yield

The investment portfolio/fund accounts shall be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints, and liquidity needs. Return on investment is of secondary importance compared to the safety and liquidity objectives described above. The core of investments is limited to relatively low risk securities in anticipation of earning a fair return relative to the risk being assumed. Securities shall not be sold prior to maturity with the following exceptions:



- A security with declining credit may be sold early to minimize loss of principal
- A security swap would improve the quality, yield, or target duration in the portfolio
- Liquidity needs of the portfolio require that the security be sold

### III. Standards of Care

#### A. Prudence

The standard of care to be used by investment officials shall be the “prudent person” standard and shall be applied in the context of managing an overall portfolio/fund accounts. Investment officers acting in accordance with written procedures and this investment policy and exercising due diligence shall be relieved of personal liability for an individual security’s credit risk or market price changes, provided deviations from expectations are reported in a timely fashion to the governing body and the liquidity and the sale of securities are carried out in accordance with the terms of this policy.

Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the probable safety of their capital as well as the probable income to be derived.

#### B. Ethics and Conflicts of Interest

Officers and employees involved in the investment process shall refrain from personal business activity that could conflict with the proper execution and management of the investment program, or that could impair their ability to make impartial decisions. CCDDR employees, board members, and investment officials shall disclose any material interests in financial institutions with which they conduct business. They shall further disclose any personal financial/investment positions that could be related to the performance of the investment portfolio/fund accounts. Employees and officers shall refrain from undertaking personal investment transactions with the same individual with which business is conducted on behalf of CCDDR.

#### C. Delegation of Authority

Authority to manage the investment program is granted to the CCDDR Executive Director (hereinafter referred to as the Investment Officer) in consultation with an Investment Advisor employed at a local financial institution. Responsibility for the operation of the investment program is hereby delegated to the investment officer, who shall act in accordance with the established written procedures and internal controls for the operation of the investment program consistent with this Investment Policy, Financial Management Practices Policy, and the CCDDR Bylaws. Procedures should include references to: safekeeping, delivery vs. payment, investment accounting, repurchase agreements, wire transfer agreements, and collateral/depository agreements.

No person may engage in an investment transaction except as provided under the terms of this policy and the procedures established by the investment officer. The investment officer shall be responsible for all transactions undertaken and shall establish a system of controls to regulate the activities of subordinate officials.

#### IV. Investment Transactions

##### A. Authorized Financial Dealers and Institutions

Federally insured banks, credit unions, and other financial institutions are considered acceptable financial dealers and institutions. In the event Federally insured banks, credit unions, or other financial institutions are not intended to be used for investment purposes, a list of financial institutions, which are authorized to conduct and provide investment transactions and approved by the governing body, will be generated and maintained. In addition, a list of approved security broker/dealers selected by creditworthiness as determined by the investment officer and approved by the governing body will be generated and maintained. These may include “primary” dealers or regional dealers that qualify under Securities and Exchange Commission (SEC) Rule 15C3-1 (uniform net capital rule).

All financial institutions and broker/dealers who desire to become qualified for investment transactions must supply the following as appropriate:

- Audited financial statements
- Proof of National Association of Securities Dealers (NASD) certification
- Proof of state registration
- Completed broker/dealer questionnaire
- Certification of having read and understood and agreeing to comply with the CCDDR investment policy.

An annual review of the financial condition and registration of qualified financial institutions and broker/dealers will be conducted by the investment officer.

##### B. Internal Controls

The investment officer is responsible for establishing and maintaining an internal control structure that will be reviewed annually with CCDDR’s independent auditor. The internal control structure shall be designed to ensure that the assets of CCDDR are protected from loss, theft or misuse and to provide reasonable assurance that these objectives are met. The concept of reasonable assurance recognizes that (1) the cost of control should not exceed the benefits likely to be derived and (2) the valuation of costs and benefits require estimates and judgments by management.

The internal controls shall address the following points:

- Control of collusion.

- Separation of transaction authority from accounting and record keeping.
- Custodial safekeeping.
- Avoidance of physical delivery securities.
- Clear delegation of authority to subordinate staff members.
- Written confirmation of transactions for investments and wire transfers.
- Development of a wire transfer agreement with the lead bank and third party custodian.

#### C. Delivery vs. Payment

All trades where applicable will be executed by delivery vs. payment (DVP) to ensure that securities are deposited in eligible financial institutions prior to the release of funds. All securities shall be perfected in the name or for the account of CCDDR and shall be held by a third-party custodian as evidenced by safekeeping receipts.

### V. Suitable and Authorized Investments

#### A. Investment Types

In accordance with and subject to restrictions imposed by current statutes, the following list represents the entire range of investments that CCDDR will consider and which shall be authorized for the investments of funds by CCDDR.

1. United States Treasury Securities. CCDDR may invest in obligations of the United States government for which the full faith and credit of the United States are pledged for the payment of principal and interest.
2. United States Agency Securities. CCDDR may invest in obligations issued or guaranteed by any agency of the United States Government as described in V. (2).
3. Repurchase Agreements. CCDDR may invest in contractual agreements between CCDDR and commercial banks or primary government securities dealers. The purchaser in a repurchase agreement (repo) enters into a contractual agreement to purchase U.S. Treasury and government agency securities while simultaneously agreeing to resell the securities at predetermined dates and prices.
4. Collateralized Public Deposits (Certificates of Deposit). Instruments issued by financial institutions which state that specified sums have been deposited for specified periods of time and at specified rates of interest. The certificates of deposit are required to be backed by acceptable collateral securities as dictated by State statute.
5. Bankers' Acceptances. Time drafts drawn on and accepted by a commercial bank, otherwise known as bankers' acceptances. CCDDR may invest in bankers' acceptances issued by domestic commercial banks possessing the highest rating issued by Moody's Investor Services, Inc. or Standard and Poor's Corporation.

6. Commercial Paper. CCDDR may invest in commercial paper issued by domestic corporations, which has received the highest rating issued by Moody's Investor Services, Inc. or Standard and Poor's Corporation. Eligible paper is further limited to issuing corporations that have total commercial paper program size in excess of five hundred million dollars (\$500,000,000).
7. Money Market, Negotiable Order of Withdrawal (NOW) and Other Depositary Accounts. CCDDR may invest in money market, NOW and other depositary accounts at Federally insured banks, credit unions, and other financial institutions as allowed by Federal, state and local law. Accounts are required to be backed by acceptable collateral securities as dictated by statutes.

#### B. Security Selection

The following list represents the entire range of United States Agency Securities that CCDDR will consider and which shall be authorized for the investment of funds by CCDDR. Additionally, the following definitions and guidelines should be used in purchasing the instruments:

1. U.S. Govt. Agency Coupon and Zero Coupon Securities. Bullet coupon bonds with no embedded options.
2. U.S. Govt. Agency Discount Notes. Purchased at a discount with maximum maturities of one (1) year.
3. U.S. Govt. Agency Callable Securities. Restricted to securities callable at par only with final maturities of five (5) years.
4. U.S. Govt. Agency Step-Up Securities. The coupon rate is fixed for an initial term. At coupon date, the coupon rate rises to a new, higher fixed term. Restricted to securities with final maturities of five (5) years.
5. U.S. Govt. Agency Floating Rate Securities. The coupon rate floats off one index. Restricted to coupons with no interim caps that reset at least quarterly.
6. U.S. Govt. Mortgage Backed Securities. Restricted to securities with final maturities of five (5) years.

#### C. Investment Restrictions and Prohibited Transactions

To provide for the safety and liquidity of CCDDR's funds, the investment portfolio will be subject to the following restrictions:

1. Borrowing for investment purposes ("Leverage") is prohibited.

2. Instruments known as Structured Notes (e.g. inverse floaters, leveraged floaters, and equity-linked securities) are not permitted. Investment in any instrument, which is commonly considered a “derivative” instrument (e.g. options, futures, swaps, caps, floors, and collars), is prohibited.
3. Contracting to sell securities not yet acquired in order to purchase other securities for purposes of speculating on developments or trends in the market is prohibited.
4. No more than 5% of the total market value of the portfolio may be invested in bankers’ acceptances issued by any one commercial bank and no more than 5% of the total market value of the portfolio may be invested in commercial paper of any one issuer.

#### D. Collateralization

Collateralization will be required on three types of investments: certificates of deposit, money market accounts, and repurchase agreements. The market value (including accrued interest) of the collateral should be at least 100%.

For certificates of deposit, the market value of collateral must be at least 100% or greater of the amount of certificates of deposits plus demand deposits with the depository, less the amount, if any, which is insured by the Federal Deposit Insurance Corporation, or the National Credit Unions Share Insurance Fund.

All securities, which serve as collateral against the deposits of a depository institution, must be safe-kept at a non-affiliated custodial facility. Depository institutions pledging collateral against deposits must, in conjunction with the custodial agent, furnish the necessary custodial receipts within five business days from the settlement date.

CCDDR shall have a depository contract and pledge agreement with each safekeeping bank that will comply with the Financial Institutions, Reform, Recovery, and Enforcement Act of 1989 (FIRREA). This will ensure that CCDDR’s security interest in collateral pledged to secure deposits is enforceable against the receiver of a failed financial institution.

#### E. Repurchase Agreements

The securities for which repurchase agreements will be transacted will be limited to U.S. Treasury and government agency securities that are eligible to be delivered via the Federal Reserve's Fedwire book entry system. Securities will be delivered to CCDDR’s designated Custodial Agent. Funds and securities will be transferred on a delivery vs. payment basis.

## VI. Investment Parameters

### A. Diversification

The investments shall be diversified to minimize the risk of loss resulting from over concentration of assets in specific maturity, specific issuer, or specific class of securities. Diversification strategies shall be established and periodically reviewed. At a minimum, diversification standards by security type and issuer shall be:

1. U.S treasuries and securities having principal and/or interest guaranteed by the U.S. government.....100%
2. Collateralized time and demand deposit.....100%
3. U.S. Government agencies, and government sponsored enterprises.....no more than 60%
4. Collateralized repurchase agreements.....50%
5. U.S. Government agency callable securities.....no more than 30%
6. Commercial Paper.....no more than 30%
7. Bankers' Acceptances.....no more than 30%

### B. Maximum Maturities

To the extent possible, CCDDR shall attempt to match its investments with anticipated cash flow requirements. Investments in bankers' acceptances and commercial paper shall mature and become payable not more than one hundred eighty days (180) from the date of purchase. All other investments shall mature and become payable not more than five (5) years from the date of purchase. CCDDR shall adopt weighted average maturity limitations that should not exceed three (3) years and is consistent with the investment objectives.

Because of inherent difficulties in accurately forecasting cash flow requirements, a portion of the portfolio should be continuously invested in readily available funds such as in bank deposits or overnight repurchase agreements to ensure that appropriate liquidity is maintained to meet ongoing obligations.

## VII. Reporting

### A. Methods

The investment officer shall prepare an investment report at least quarterly, including a management summary that provides an analysis of the status of the current investment portfolio and transactions made over the last quarter. This management summary will be prepared in a manner that will allow CCDDR to ascertain whether investment activities during the reporting period have conformed to the investment policy. The report should be provided to the governing body of CCDDR. The report will include the following:

- Listing of individual securities held at the end of the reporting period.



- Realized and unrealized gains or losses resulting from appreciation or depreciation by listing the cost and market value of securities over one-year duration (in accordance with Government Accounting Standards Board (GASB) 31 requirements). [Note, this is only required annually].
- Average weighted yield to maturity of portfolio on investments as compared to applicable benchmarks.
- Listing of investment by maturity date.
- Percentage of the total portfolio which each type of investment represents.

#### B. Performance Standards

The investment portfolio will be managed in accordance with the parameters specified within this policy. The portfolio should obtain a market average rate of return during a market/economic environment of stable interest rates. A series of appropriate benchmarks may be established against which portfolio performance shall be compared on a regular basis.

Commercial paper and bankers' acceptances must be reviewed monthly to determine if the rating level has changed. The commercial paper and bankers' acceptances should be reviewed for possible sale if the securities are downgraded below the minimum acceptable rating levels.

#### C. Marking to Market

The market value of the portfolio shall be calculated at least quarterly and a statement of the market value of the portfolio shall be issued at least annually to the governing body of CCDDR. This will ensure that review of the investment portfolio, in terms of value and price volatility, has been performed.

### VIII. Policy Considerations

#### A. Exemption

Any investment currently held that does not meet the guidelines of this policy shall be exempt from the requirements of this policy. At maturity or liquidation, such monies shall be reinvested only as provided by this policy.

#### B. Adoption

This policy shall be adopted by resolution of CCDDR's governing body. The policy shall be reviewed annually by the investment officer and recommended changes will be presented to the governing body for consideration.

### REFERENCES:

- Section 30.950 RSMo



*CAMDEN COUNTY SB40 BOARD OF DIRECTORS*  
***RESOLUTION NO. 2018-19***

<b>APPROVAL OF AMENDED POLICY #31</b>
---------------------------------------

**WHEREAS**, Sections 205.968-205.972 RSMo and subsequent passage by Camden Co. voters of the Senate Bill 40 enabling legislation in August of 1980 allows for the business, property, affairs, administrative control, and management to rest solely with the Camden County SB40 Board of Directors (dba Camden County Developmental Disability Resources).

**WHEREAS**, the Camden County SB 40 Board (dba Camden County Developmental Disability Resources) reviews, amends, and appeals its existing Bylaws, policies, plans, manuals, and job descriptions and creates new Bylaws, policies, plans, manuals, and job descriptions as needed to remain effective in its Agency administration and remain compliant with regulatory statutes.

**NOW, THEREFORE, BE IT RESOLVED:**

1. That the Camden County Senate Bill 40 Board (dba Camden County Developmental Disability Resources), hereafter referred to as the "Board", hereby acknowledges the need to amend Policy #31, Procurement.
2. That the Board hereby amends and adopts Policy #31 (Attachment "A" hereto) as presented.
3. A quorum has been established for vote on this resolution, this resolution has been approved by a majority Board vote as defined in the Board bylaws, and this resolution shall remain in effect until otherwise amended or changed.

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Date

\_\_\_\_\_  
Secretary, Vice Chairman, or Treasurer

\_\_\_\_\_  
Date

# Attachment “A” to Resolution 2018-19



Policy Number:

31

Effective: September 17, 2012

Revised: August 25, 2014, February 26, 2018,  
May 21, 2018

Subject: Procurement

## **PURPOSE:**

It is the policy of Camden County Developmental Disability Resources (CCDDR) to implement sound procurement management practices that allow for competitive solicitations and fair determinations when awarding business services, products, and contracts to individuals or companies that wish to do business with CCDDR. All procurement management practices of the board shall comply with applicable state and federal laws.

## **POLICY:**

### **I. Procurement Process**

The Procurement Procedure shall identify the process and descriptions of the process in which the Procurement Policy shall be applied. All conditions of the Procurement Policy, Procurement Procedure, and procurement activities shall adhere to and comply with Policy #17, Financial Management Practices, and shall not conflict with any other Board policy or its Bylaws. The Board shall reserve the right to utilize existing State or County contracts for specific products or services through a resolution if the Board deems it necessary. All records of procurement activities shall be kept on file at CCDDR for the prescribed time allotted by law.

### **II. Procurement Officer**

The Executive Director shall appoint a designated Procurement Officer for procuring products or services on behalf of CCDDR. In the absence of an appointed Procurement Officer, the Executive Director shall serve as the Procurement Officer.

### **III. Procurement Guidelines**

It is not necessary to obtain bids or proposals on the purchase of a product or service from the same person or business in the amount of \$4,500 or less within a 90-day period. For the purchase of a product or service from the same person or business costing more than \$4,500 and less than \$6,000 within a 90-day period, the designated Procurement Officer should make a legitimate attempt to obtain three bids or proposals from three potential sources; however, if three bids or proposals cannot be obtained from three potential sources, the Procurement Officer shall provide a written explanation as to why three bids or proposals could not be obtained and must receive written authorization from the Executive Director before procuring the products or services. Faxed or emailed bids and proposals or quotes directly from a potential source's website or

catalog may be obtained. The Executive Director can authorize purchases base on cost, quality, and other factors related to the purchases.

A formal competitive bid process shall be used for the purchase of a product or service \$6,000 or higher from the same person or business within a 90-day period. A Request for Proposals (RFP) or Notice of Funding Available (NOFA) shall be published in a local newspaper and shall be posted on the CCDDR website. The RFP OR NOFA can also be submitted directly to potential sources; however, direct submissions must be made to at least three potential sources. Bidders shall be given the guidelines for their responses contained within the RFP OR NOFA and an appropriate amount of time in which to develop and submit a proposal or quote based on the requirements contained in the RFP OR NOFA. The responses shall be sealed and shall remain sealed until the deadline for the RFP OR NOFA has passed. All responding parties shall be notified of the date for opening the sealed responses. Sealed responses shall be opened during a regularly scheduled CCDDR Board meeting.

The Board may waive the requirement of competitive bids where there is a single feasible source for the purchase, and it makes the determination in writing and enters it in the Board meeting minutes. A “single feasible source” exists when:

1. Supplies are proprietary and only available from the manufacturer or a single distributor.
2. Based on past procurement experience, it is determined that only one distributor services the region in which the supplies are needed.
3. Supplies are available at a discount from a single distributor for a limited period of time.

When a single feasible source is to be procured, the Board shall post notice of the proposed purchase if between \$3,000 and \$4,999. If the single feasible source purchase is \$5,000 or more, the Board shall post notice and advertise intent of the purchase in the local newspaper. Posted notices for single feasible source purchases shall include a paper notice posted on the primary place of business and on the CCDDR website. Notices posted and intents advertised for single feasible source procurement shall be done at least 10 days prior to purchase.

Supports and/or services for individuals served by CCDDR and paid by the Board through Medicaid Waiver agreements or other contracts with the Department of Mental Health, Division of Developmental Disabilities, shall be done in accordance with the guidelines established within those agreements or contracts. Supports and/or services procured and paid solely or partially by the Board specifically for individuals served by CCDDR shall be done in a manner which represents the health, safety, and best interests of the individuals being served.

#### IV. Awards for Services and Products

A panel of CCDDR representatives will be assigned to review all responses before an approved respondent is selected. CCDDR may require respondents to provide additional assurances, insurances, bonds, and supplementary information during the procurement process. If there is only one respondent to an RFP OR NOFA, a second solicitation may or may not be offered. All proposals may be rejected and new solicitations issued. Awards and contracts for RFP's OR NOFA's shall not be solely based on price. Other considerations will be utilized based on the premise of the services and/or products contained within the RFP OR NOFA. Respondent references, respondent experience, respondent qualifications, proposal presentation, proposal

accuracy, proposal clarity, timelines for project completion, quality of proposed goods/services, warranties, and other factors related to the product and/or service shall be considered during the procurement process. Preference shall be applied to those respondents who employ Camden County residents with developmental disabilities and may be part of the requirements contained within the RFP OR NOFA. All other preferences and guidelines identified in applicable Federal, state, and local law shall be recognized.

V. Banking Services

The Board shall issue an RFP and receive proposals from banking corporations or associations to be depositaries of funds every four years, with an option to rebid in odd numbered years. Proposals are to be sealed, include the rate of interest for the specified period in the RFP, and must include a certified check for not less than \$2,500 as a guaranty of good faith on the part of the respondent to provide the required security per RSMo 110.010. If there are no responses, the Board can choose any bank in the county or adjoining counties with interest of not less than 1.5%.

VI. Periodic Review of Existing Products or Services

CCDDR shall periodically review the cost of products and/or services utilized on an ongoing basis and previously awarded through the procurement process. If desired or necessary, CCDDR may seek to solicit new proposals for these products and/or services. In such cases, CCDDR shall follow the Procurement Guidelines in Section III.

VII. Emergency Procurement

CCDDR may waive all procurement requirements when there has been an “Emergency Declaration” issued by the Executive Director in conjunction with the approval of the Board Chairman. If the Board Chairman is unavailable, the Executive Director shall seek the approval from the Board Vice Chairman. If the Chairman and Vice Chairman are unavailable, the Executive Director shall seek approval from no less than two Board members. If the Executive Director is not available, any two members of the board in conjunction with the Chairman or Vice Chairman (if the Chairman is unavailable) can issue an “Emergency Declaration”. An “Emergency Declaration” can be issued if it has been determined that there exists a threat to life, property, public health, or public safety; when immediate expenditure is necessary in order to protect against loss of or damage to CCDDR property; if a legal matter prompts immediate attention or response; in order to prevent or minimize serious disruption in CCDDR services; or to ensure the integrity of CCDDR records. Emergency procurements shall be made with as much competition as is practicable under the circumstances. A detailed report and accounting of the “Emergency Declaration” shall be provided to the Board for review at the next regularly scheduled Board meeting or at a convened emergency Board meeting (if necessary).

**REFERENCES:**

- Chapter 50, Revised MO Statutes
- Chapter 34, Revised MO Statutes
- Chapter 110, Revised MO Statutes





*CAMDEN COUNTY SB40 BOARD OF DIRECTORS*  
***RESOLUTION NO. 2018-20***

**RECOGNITION OF OATS, INC. AS SINGLE FEASIBLE SOURCE FOR PUBLIC TRANSIT SERVICES**

**WHEREAS**, Sections 205.968-205.972 RSMo and subsequent passage by Camden Co. voters of the Senate Bill 40 enabling legislation in August of 1980 allows for the business, property, affairs, administrative control, and management to rest solely with the Camden County SB40 Board of Directors (dba Camden County Developmental Disability Resources).

**WHEREAS**, the Camden County SB 40 Board may purchase services from service providers for Camden County clients when warranted and deemed necessary.

**NOW, THEREFORE, BE IT RESOLVED:**

1. That the Camden County Senate Bill 40 Board (dba Camden County Developmental Disability Resources), hereafter referred to as the "Board", acknowledges the need to utilize and access a public transit system for the most affordable transportation services for employment purposes and other community or daily living activities for CCDDR clients.
2. That the Board has issued public requests for I/DD direct and community inclusive supports and services and has determined, through first-hand knowledge, research and experience, that OATS, Inc. operates the only current public transit system in Camden County and surrounding counties which provides Federally and state subsidized funding for transportation services accessible by the general public (see Attachment "A" hereto for information related to OATS, Inc.), offering the least expensive and most accommodable service benefit for CCDDR clients.
3. That the Board recognizes OATS, Inc. as a single feasible source purchase for public transit and other related transportation services; the Board shall post notice and advertise intent of funding expanded public transit and other related transportation services in the local newspaper; the Board shall post notices for single feasible source purchases for community inclusive, public transit and other related transportation services for its clients at CCDDR's Camdenton office, CCDDR's Osage Beach office and on the CCDDR website; notices posted and intents advertised for this single feasible source procurement shall be done at least 10 days prior to signing and executing Memorandums of Understanding (MOU's), service contracts or other similar instruments with OATS, Inc.
4. A quorum has been established for vote on this resolution, this resolution has been approved by a majority Board vote as defined in the Board bylaws, and this resolution shall remain in effect until otherwise amended or changed.

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Date

\_\_\_\_\_  
Secretary, Vice Chairman, or Treasurer

\_\_\_\_\_  
Date

# Attachment “A” to Resolution 2018-20

**OATS, Inc.**



OPERATING ABOVE THE STANDARD

"Enhancing quality of life by providing safe, caring & reliable transportation services."

**Mid-Missouri Regional Office**

2501 Maguire Blvd. Suite 103, Columbia, MO 65201-8252  
Telephone: (573)449-3789 Fax: (573)441-0609

April 16, 2018

Ed Thomas, Executive Director  
CCDDR/SB 40 Board  
PO Box 722  
Camdenton, MO 65020

RE Single Feasible Source

Ed:

I spoke with Bryan Heckman at Missouri Department of Transportation verifying OATS as the sole recipient of Section 5311 funding for the provision of General Public transportation services in the Tri-County Transit service area of Camden, Miller and Morgan Counties.

In addition as a member of OATS Board of Directors, Rachel Baskerville was required to sign a Conflict of Interest Policy (copy attached.)

Should you have any questions, please contact me.

Sincerely,

Jack Heusted, CCTM  
Mid-Missouri Regional Director, OATS, Inc.

Enclosures

**Headquarters**

2501 Maguire Boulevard, Suite 101, Columbia, MO 65201-8252 Telephone: (573)443-4516 [www.oatstransit.org](http://www.oatstransit.org)

**Regional Offices in Missouri**

Bridgeton Columbia Harrisonville Macon Saint Joseph Sedalia Springfield Union



## Board of Directors Governance, Management and Disclosure Questionnaire

### Conflict of Interest Policy

RKB Yes, I certify that I have received a copy of the Code of Ethics and Conflict of Interest Policies of OATS, Inc., have read and understand the policies, have agreed to comply with the policies, and understand OATS is tax-exempt and in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

#### Affiliations

OATS Board members are asked to list their current affiliations with any agency or business which might in any way have an impact on their association with OATS, Inc. The purpose of such a statement is to document that a Board member will refrain from influencing or taking part in a decision that will have an impact on an organization or business he or she is affiliated with. Below is a list of my current affiliations:

SB 40 Camden County	

RKB I certify I will not influence decisions by the Board for personal, business association or organizational gains.

#### Determination of an "Independent" Voting Board Member

Each of the following criteria must be met:

1. I am not compensated as an officer or employee of OATS or of a related organization.
2. I did not receive compensation exceeding \$10,000 during the tax year from OATS or a related organization as an independent contractor.
3. Neither I nor any family member was involved in an excess benefit transaction, loan or grant with OATS or a related organization.

RKB Yes, I meet the 3 criteria to qualify as an independent voting member.

       No, I do not qualify as an independent voting member and I have disclosed the following situation that disqualified me:


### Business Relationships with Other OATS Board Members

Business relationships include:

1. Being employed by an organization where another OATS director is an officer, key employee, director or greater than 35% owner of the organization.
2. Participating in business transactions valued in excess of \$10,000 with another OATS director.
3. Serving as a director, trustee, officer, or greater than 10% owner in the same business or investment entity with any other OATS director.

☒ No, I do not have business relationships with any other OATS board member.

☐ Yes, I have business relationships with the following OATS board members:


### Family Relationships with Other Board Members *(related by marriage or blood)*

☒ No, I do not have family members that are also OATS board members.

☐ Yes, the following OATS board member(s) and I are related:


Rachel K. Baskerville

Signature

3/27/2018

Date

Rachel K. Baskerville

Print Name

\_\_\_\_\_  
Title



**Internal Revenue Service****Date:** December 4, 2006

OATS, INC  
2501 MAGUIRE BLVD STE 101  
COLUMBIA, MO 65201-8252

**Department of the Treasury**  
**P. O. Box 2508**  
**Cincinnati, OH 45201**

**Person to Contact:**  
Delores Gaskins 31-07428  
Customer Service Specialist  
**Toll Free Telephone Number:**  
877-829-5500  
**Federal Identification Number:**  
43-1016961

Dear Sir or Madam:

This is in response to your request of December 4, 2006, regarding your organization's tax-exempt status.

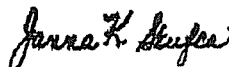
In May 1974 we issued a determination letter that recognized your organization as exempt from federal income tax. Our records indicate that your organization is currently exempt under section 501(c)(3) of the Internal Revenue Code.

Our records indicate that your organization is also classified as a public charity under sections 509(a)(1) and 170(b)(1)(A)(vi) of the Internal Revenue Code.

Our records indicate that contributions to your organization are deductible under section 170 of the Code, and that you are qualified to receive tax deductible bequests, devises, transfers or gifts under section 2055, 2106 or 2522 of the Internal Revenue Code.

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely,



Janna K. Skufca, Director, TE/GE  
Customer Account Services

1 17 N. Dearborn St., Chicago, Ill. 60602  
3 212 Walnut St., Des Moines, Iowa 50309  
4 653 Second Ave. N., Fargo, N. Dak. 58102

Milwaukee, Wis. 53202  
6 15th and Dodge Sts., Omaha, Nebr. 68102  
7 1114 Market St., St. Louis, Mo. 63101

314 Robert St., St. Paul, Minn. 55101  
9 325 W. Adams St., Springfield, Ill. 62704

Address any reply to DISTRICT DIRECTOR at office No. \_\_\_\_\_

## Department of the Treasury

### District Director Internal Revenue Service

Date:

MAY 8 1979

In reply refer to:

EP/EO:7204:B. Sawyer

816-374-3339



Older Adults Transportation Service, Inc.  
C/o Parkade Plaza  
601 Business Loop 70 W  
Columbia, MO 65201

Form Number: 990  
Periods Ended: 12/31/77

Gentlemen:

MAY 10 1979

We are pleased to tell you that as a result of our examination for the above periods we will continue to recognize your organization as tax-exempt.

We have indicated below whether there is a change in your liability for the unrelated business income tax as provided by sections 511 through 515 of the Internal Revenue Code.

☒ There is no change.

☐ You will receive an examination report explaining the proposed adjustments.

Thank you for your cooperation.

Sincerely yours,

District Director

Address any reply to:

1114 Market St., St. Louis, Mo. 63101

**Department of the Treasury**

**District Director**

**Internal Revenue Service**

Date: APR 2 1976

In reply refer to:

EP/EO:802:W. Hedden

314-425-5651



StL:EO:76:474

- Older Adults Transportation  
Service, Inc.  
909 University Avenue  
200 Columbia Professional Building  
Columbia, Missouri 65201

Gentlemen:

Our Letter Dated: May 17, 1974

This modifies our letter of the above date in which we stated that you would be treated as an organization which is not a private foundation until the expiration of your advance ruling period.

Based on the information you submitted, we have determined that you are not a private foundation within the meaning of section 509(a) of the Internal Revenue Code, because you are an organization of the type described in section 170(b)(1)(A)(vi) and 509(a)(1).

Grantors and contributors may rely on this determination until the Internal Revenue Service publishes notice to the contrary. However, a grantor or a contributor may not rely on this determination if he was in part responsible for, or was aware of, the act or failure to act that resulted in your loss of section 509(a)(1) status, or acquired knowledge that the Internal Revenue Service had given notice that you would be removed from classification as a section 509(a)(1) organization.

Sincerely yours,

District Director

APR 6 1976

Address any reply to: 1114 Market St., St. Louis, Mo. 63101

**Department of the Treasury**

**District Director**

**Internal Revenue Service**

Date:

MAY 17 1974

In reply refer to:

AU:C:E:338:C.Creech

314-622-5651



StL:EO:74:309

- o Older Adults Transportation Service, Inc.  
909 University Avenue  
200 Columbia Professional Building  
Columbia, Missouri 65201

Gentlemen:

Accounting Period Ending: December 31  
Form 990 Required: ☒ Yes ☐ No  
Advance Ruling Period Ends: December 31, 1975

Based on the information supplied, and assuming your operations will be as stated in your application for recognition of exemption, we have determined you are exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code.

Because you are a newly created organization, we are not now making a final determination of your foundation status under section 509(a) of the Code. However, we have determined that you can reasonably be expected to be a publicly supported organization of the type described in section 170(b)(1)(A)(vi).

Accordingly, you will be treated as a publicly supported organization, and not as a private foundation, during an advance ruling period. This advance ruling period begins on the date of your inception and ends on the date shown above.

Within 90 days after the end of your advance ruling period, you must submit to us information needed to determine whether you have met the requirements of the applicable support test during the advance ruling period. If you establish that you have been a publicly supported organization, you will be classified as a section 509(a)(1) or 509(a)(2) organization so long as you continue to meet the requirements of the applicable support test. If, however, you do not meet the public support requirements during the advance ruling period, you will be classified as a private foundation for future periods. Also, in the event you are classified as a private foundation, you will be treated as a private foundation from the date of your inception for purposes of sections 507(d) and 4940.

Grantors and donors may rely on the determination that you are not a private foundation until 90 days after the end of your advance ruling period. In addition, if you submit the required information

within the 90 days, grantors and donors may continue to rely on the advance determination until the Service makes a final determination of your foundation status. However, if notice that you will no longer be treated as a section 170(b)(1)(A)(vi) organization is published in the Internal Revenue Bulletin, grantors and donors may not rely on this determination after the date of such publication. Also, a grantor or donor may not rely on this determination if he was in part responsible for, or was aware of, the act or failure to act that resulted in your loss of section 170(b)(1)(A)(vi) status, or acquired knowledge that the Internal Revenue Service had given notice that you would be removed from classification as a section 170(b)(1)(A)(vi) organization.

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

You are not liable for social security (FICA) taxes unless you file a waiver of exemption certificate as provided in the Federal Insurance Contributions Act. You are not liable for the taxes imposed under the Federal Unemployment Tax Act (FUTA).

Organizations that are not private foundations are not subject to the excise taxes under Chapter 42 of the Code. However, you are not automatically exempt from other Federal excise taxes. If you have any questions concerning these taxes, please let us know.

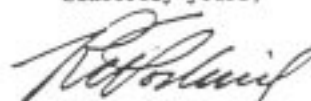
If your sources of support, or your purposes, character, or method of operation is changed, you should let us know so we can consider the effect of the change on your status. Also, you should inform us of all changes in your name or address.

If the yes box at the top of this letter is checked, you are required to file Form 990, Return of Organization Exempt From Income Tax, only if your gross receipts each year are normally more than \$5,000. The return is due by the 15th day of the fifth month after the end of your annual accounting period. The law imposes a penalty of \$10 a day, up to a maximum of \$5,000, for failure to file the return on time.

You are not required to file Federal income tax returns unless you are subject to the tax on unrelated business income under section 511 of the Code. If you are subject to this tax, you must file an income tax return on Form 990-T. In this letter we are not determining whether any of your present or proposed activities are unrelated trade or business as defined in section 513 of the Code.

You need an employer identification number even if you have no employees. If an employer identification number was not entered on your application, a number will be assigned to you and you will be advised of it. Please use that number on all returns you file and in all correspondence with the Internal Revenue Service.

Sincerely yours,



District Director

Form L-391 (4-73)

Address any reply to: 1114 Market St., St. Louis, Mo. 63101

**Department of the Treasury**

**District Director**

**Internal Revenue Service**

Date:

*Nov 17 1975*

In reply refer to:

EP/EO:802:E. Kramer

314-425-5651



Older Adults Transportation Service, Inc.  
909 University Avenue  
200 Columbia Professional Bldg.  
Columbia, Missouri 65201

Gentlemen:

Our Letter Dated: May 17, 1974  
Advance Ruling Period Ends: December 31, 1975

Our letter of the above date stated that you would be treated as a publicly supported organization and not as a private foundation during your advance ruling period. This was based on our determination that you could reasonably be expected to be an organization of the type described in sections 170(b)(1)(A)(vi) and 509(a)(1) of the Internal Revenue Code.

We also stated that at the end of your advance ruling period you would have to establish that you were in fact an organization of the type described in sections 170(b)(1)(A)(vi) and 509(a)(1).

Our records indicate your advance ruling period ends on the date shown above. Therefore, to establish that you are an organization of the type described in sections 170(b)(1)(A)(vi) and 509(a)(1), please send us the following information for each of the tax years in your advance ruling period.

1. Amount of gifts, grants, and contributions received.
2. Amount of membership fees received.
3. Amount of gross income from interest, dividends, rents, and royalties.
4. Amount of net income from unrelated business activities.
5. Amount of tax revenue levied for your benefit and either paid to you or expended on your behalf.



6. The value of services or facilities furnished to you by a governmental unit without charge. (Do not include the value of services or facilities generally furnished to the public without charge.)
7. Amount of other receipts. Please specify their nature. (Do not include gain (or loss) from the sale or exchange of capital assets or gross receipts from the sources described in item 10, below.)
8. The total amount of the above items for each year and the grand total for the advance ruling period.
9. A statement showing the name of, and amount received from, each person who contributed more than 2 percent of the grand total for the advance ruling period.
10. Amount of gross receipts from admissions, sale of merchandise, performance of services, or furnishing of facilities in any activity that is not an unrelated business within the meaning of section 513.

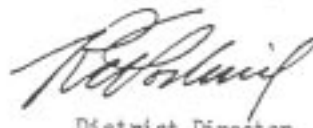
Please send this information to the address shown above within 90 days from the end of your advance ruling period or if such 90 days has ended, please send the information as soon as possible.

If we do not receive this information, we will have no alternative but to classify you as a private foundation. In addition, you will be treated as a private foundation as of the first day of your first tax year for purposes of sections 507(d) and 4940 of the Code.

The information requested in this letter is required to support your claim to be other than a private foundation. It is needed in addition to any required Form 990 or other annual return or report.

Thank you for your cooperation.

Sincerely yours,



District Director

FIRST AMENDED ARTICLES OF INCORPORATION AND ARTICLES OF  
ACCEPTANCE OF PROVISIONS OF CHAPTER 355,  
REVISED STATUTES OF MISSOURI, 1969

HONORABLE JAMES C. KIRKPATRICK  
Secretary of State  
State of Missouri  
Jefferson City, Missouri 65101

Comes now Cooperative Transportation Service, Inc., a Missouri corporation, by and through its directors and shareholders, and states it has adopted the following provisions as its First Amended Articles of Incorporation and Articles of Acceptance of Chapter 355, R.S.Mo., 1969, and states that said adoption has been properly presented to and duly approved by said directors and shareholders of Cooperative Transportation Service, Inc., according to law, said provisions being as follows, to-wit:

FIRST: The name of the corporation shall be Older Adults Transportation Service, Inc. The corporation shall also be known as OATS, Inc.

SECOND: The purpose for which the corporation is organized is exclusively charitable within the meaning of Section 501(c)(3) of Internal Revenue Code of 1954. In furtherance thereof, the corporation shall provide transportation for persons in financial need and who are members of a charitable class only.

THIRD: The corporation shall have perpetual existence.

FOURTH: The name and address of each original incorporator is:

Walter G. Herring, 903 Ravine Street, Fulton, Mo.  
D. U. Groce, 743 N. Church, Fayette, Mo.  
Gertrude Davis, 1708 Vine, Fulton, Mo.  
Quinnie Benton, 703 E. Breckenridge, Mexico, Mo.  
L. Bruce Edwards, Route 1, Auxvasse, Mo.  
Winnie Mae Rohlfing, Fayette, Mo.  
Bess G. Arvin, Mexico, Mo.  
David L. Thayer, 707 N. William, Columbia, Mo.  
Terry Buntrock, 102 Parkview Dr., Columbia, Mo.  
Fred W. Fletcher, Route 5, Mexico, Mo.  
Mrs. D. U. Groce, 743 N. Church, Fayette, Mo.  
Frances McCullem, 3610 Southland Dr., Columbia, Mo.

FIFTH: The number of directors constituting the first board of directors is twelve.

SIXTH: The address of the registered office of the corporation in this state is 200 Professional Building, Columbia, Missouri, 65201; the name of the registered agent at this address is Peter M. Schauer.

SEVENTH: None of the members shall have voting rights except that participating members shall have the exclusive right and shall so elect the directors. Said directors shall be elected not less frequently than every three years.

EIGHTH: (a) No part of the net earnings of the corporation shall inure to the benefit of or be distributable to its members, trustees, officers, or other private persons, except that the corporation shall be authorized and empowered to pay reasonable compensation for services rendered and to make payments and distributions in furtherance of the purposes set forth in Article Third hereof. No substantial part of the activities of the corporation shall be the carrying on of propaganda, or otherwise attempting, to influence legislation, and the corporation shall not participate in, or intervene in (including the publishing or distribution of statements) any political campaign on behalf of any candidate for public office. Notwithstanding any other provision of these articles, the corporation shall not carry on any other activities not permitted to be carried on (a) by a corporation exempt from Federal income tax under section 501(c)(3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law) or (b) by a corporation, contributions to which are deductible under Section 170(c)(2) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law).

(b) Upon the dissolution of the corporation, the Board of Trustees shall, after paying or making provision for the payment of all of the liabilities of the corporation, dispose of all of the assets of the corporation exclusively for the purposes of the corporation in such manner, or to such organization or organizations organized and operated exclusively for charitable, educational, religious, or scientific purposes as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Law), as the Board of Trustees shall determine. Any of such assets not so disposed of shall be disposed of by the Court of Common Pleas of the county in which the principal office of the corporation is then located, exclusively for such purposes or to such organization or organizations, as said Court shall determine, which are organized and operated exclusively for such purposes.

NINTH: (a) All provisions of the prior Articles of Incorporation for Cooperative Transportation Service, Inc., a Missouri corporation, including but not limited to all authorization for the issuance of shares of stock and all issued and outstanding shares of its stock are hereby cancelled and extinguished.

(b) The corporation shall have a class of members known as participating members and other classes as designated by the board of directors.

(c) Each shareholder of the corporation is hereby declared a participating member of the corporation and shall be issued a membership certificate evidencing his proportionate ownership of the corporation.

TENTH: The corporation hereby accepts all of the provisions of Chapter 355, R.S.Mo., 1969, and the corporation shall for all purposes be thenceforth deemed to be a corporation organized under this chapter.

A resolution adopting the above provisions was lawfully presented and unanimously approved by the board of directors of Cooperative Transportation Service, Inc. at its regularly scheduled meeting on July 26, 1973 in Columbia, Missouri.

COOPERATIVE TRANSPORTATION  
SERVICE, INC.

By Linnell Benton  
President and Chairman of the  
Meeting

ATTEST:

M. Hazel McWhorter  
Secretary

A resolution adopting the above provisions was lawfully presented and unanimously approved by a lawfully called and held meeting of the membership of Cooperative Transportation Service, Inc. on November 28, 1973, at which a quorum was present. Said meeting was held in Columbia, Missouri.

OLDER ADULTS TRANSPORTATION SERVICE,  
INC.

By Linnell Benton  
President and Chairman of the  
Meeting

ATTEST:

Peter M. Schauer  
General Manager

FILED AND CERTIFICATE OF  
INCORPORATION ISSUED  
DEC 28 1973  
James G. Gentry  
Corporation Dept. SECRETARY OF STATE



# STATE of MISSOURI

JAMES C. KIRKPATRICK, Secretary of State

## Corporation Department

### Certificate of Acceptance of The General Not For Profit Corporation

WHEREAS, A Missouri Corporation heretofore organized under the name of \_\_\_\_\_  
COOPERATIVE TRANSPORTATION SERVICE, INC.

has filed in the office of the Secretary of State, Articles of Acceptance of the General Not For Profit Corporation Law of Missouri as provided by law and has, in all respects, complied with the requirements of The General Not For Profit Corporation Law of Missouri:

NOW, THEREFORE, I, JAMES C. KIRKPATRICK, Secretary of State of the State of Missouri by virtue of the authority vested in me, do hereby certify that said corporation has, on the date hereof, under the name of \_\_\_\_\_

OLDER ADULTS TRANSPORTATION SERVICE, INC.

with its Registered Office in Missouri at \_\_\_\_\_

200 Professional Building, Columbia 65201

accepted the provisions of the General Not For Profit Law of Missouri and is entitled to all the rights and privileges granted to corporations by the General Not For Profit Corporation Law of Missouri for a term of perpetual years.

IN TESTIMONY WHEREOF, I hereunto set my hand and affix the GREAT SEAL of the State of Missouri. Done at the City of Jefferson, this 28th day of December A.D., Nineteen Hundred and Seventy-three.

*James C. Kirkpatrick*  
Secretary of State

Deputy Secretary of State





# CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)

05/16/2018

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

**IMPORTANT:** If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER	Integrated Transportation 1041 Peruque Crossing Cou Suite A O'Fallon MO 63366-2362	CONTACT NAME:	ITR, Inc.		
		PHONE (A/C, No, Ext):	(636) 561-0048	FAX (A/C, No):	(636) 625-0909
		E-MAIL ADDRESS:	rbaumann@itr-inc.net		
		INSURER(S) AFFORDING COVERAGE			NAIC #
		INSURER A : National Interstate Ins.			18058
		INSURER B :			
		INSURER C :			
		INSURER D :			
		INSURER E :			
		INSURER F :			

**COVERAGES** **CERTIFICATE NUMBER: 0001** **REVISION NUMBER: 0001**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			EGL1000149-02	07/01/2017	07/01/2018	EACH OCCURRENCE \$2,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 100,000 MED EXP (Any one person) \$ 5,000 PERSONAL & ADV INJURY \$2,000,000 GENERAL AGGREGATE \$2,000,000 PRODUCTS - COMP/OP AGG \$2,000,000 Abuse & Molestation \$ \$1M/\$1M
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> NON-OWNED AUTOS			EAL1000149-02	07/01/2017	07/01/2018	COMBINED SINGLE LIMIT (Ea accident) \$2,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ \$
	<input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$						EACH OCCURRENCE \$ AGGREGATE \$ \$
	<input type="checkbox"/> WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below						PER STATUTE <input type="checkbox"/> OTH-ER <input type="checkbox"/> E.L. EACH ACCIDENT \$ E.L. DISEASE - EA EMPLOYEE \$ E.L. DISEASE - POLICY LIMIT \$
							Directors & Officers Liab 1,000,000 EPLI 1,000,000 Fiduciary Liability 1,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

<b>CERTIFICATE HOLDER</b>	<b>CANCELLATION</b>
Camden County SB40 Board 5816 Osage Beach Parkway, Suite 108 Osage Beach MO 65065-	AI 002635
	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
	AUTHORIZED REPRESENTATIVE

Fax: ( ) -

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# Bylaws of



OATS Mission: *Enhancing quality of life by providing safe, caring & reliable transportation services.*

## **Article I – Name and Purpose**

1. The name of the corporation shall be OATS, Incorporated.
2. The primary purpose of the corporation is to provide transportation and related services to individuals needing such services.
3. OATS is a not-for-profit, non-sectarian corporation.

## **Article II – Description and Qualifications of Board of Directors**

1. The Board of Directors is the governing body of OATS. The Board is responsible for overall policy and direction of the corporation, and delegates responsibility of day-to-day operations to staff and committees.
2. The Board of Directors may have up to 16, but no fewer than 12 members. There shall be at least one (1) director from each of the service regions served by the corporation. The board receives no compensation other than reasonable expenses.
3. Board members shall be sought who reflect the qualifications and diversity determined by the Board in its policies and who have a vested interest in public transportation.
4. Any individual who has served as an OATS volunteer or ridden an OATS vehicle at least once during the calendar year of a regular election is eligible to serve as a member of the Board of Directors, with the following exceptions:
  - a) No employee or immediate family member of an employee of OATS, Incorporated is eligible to serve on the Board of Directors.
  - b) No person who has not consented to serve if elected is eligible to serve on the Board of Directors.
  - c) No person who was employed by OATS in the three years prior to serving on the Board. If a former OATS employee, they must have left OATS employment in good standing.

5. Consultants may be appointed by Board of Directors from among persons who have special expertise in the conduct of the affairs of the corporation. Nominees for such appointments shall be selected by the President.

### **Article III – Election and Appointment of Board of Directors**

1. Election of Board members is held every other year in odd numbered years.
2. During an election year, there shall be a Nominating Committee comprised of the Vice Secretary and three (3) to five (5) members of the Board of Directors.
3. The Nominating Committee shall evaluate the eligibility, experience and skills of candidates for the Board of Directors.
4. The Nominating Committee shall identify individuals qualified to become Board members, select or recommend director nominees, and recommend to the Board the slate of candidates for approval of the full Board at the last meeting of the year.
5. The term of office of each Director is four (4) calendar years beginning January 1. Directors may be elected or appointed for successive terms. There is no limit on the number of terms a director may serve.
6. Three (3) successive absences or a cumulative total of five (5) absences during the term of office from the regularly scheduled meetings of the Board by any member of the Board of Directors shall be cause for the Board to consider whether a vacancy has been created on the Board. Pursuant to Section 355.346 RSMo., removal of a director for this reason requires the vote of a majority of directors then in office.
7. A vacancy for an unexpired term on the Board of Directors shall be filled through appointment by the President in consultation with the Board of Directors.
8. Whenever new service regions are added in the interim between elections, the President, in consultation with the Board of Directors, shall appoint at least one (1) interim Director from the new service region to serve until the next regular election.
9. Directors shall be installed at the first regular Board meeting of the calendar year, except that interim Directors from new service regions or to fill a vacancy shall be installed at the next regular Board meeting following their appointment.

### **Article IV – Duties and Functions of the Board of Directors**

The Board of Directors shall have the authority to:

1. Exercise, within the provisions of the Articles of Incorporation and Bylaws of the corporation and not inconsistent with law, the powers of the corporation as set forth in Chapter 355, Revised Statutes of Missouri.

2. Appoint the Executive Director and delegate to the Executive Director the authority and responsibility to adequately staff and effectively administer the affairs of the corporation in accordance with the policies and general guidelines adopted by the Board of Directors.
3. Provide for annual audit for the financial accounts of the corporation and an annual report to its riders and the Secretary of State.
4. Provide for the adequate bonding of the officers, employees, and authorized volunteer representatives who handle the funds of the corporation.
5. Prescribe general guidelines for and approve the organization of any committees of the corporation and delegate to the Executive Director for the general supervision of their activities.

### **Article V – Election of Officers**

1. The elected officers of the corporation shall be the President, Vice president and Secretary/Treasurer. There shall also be an appointed Vice Secretary.
2. The President, Vice President and Secretary/Treasurer must be members of the Board of Directors.
3. The Vice Secretary may be a member of OATS staff.
4. Persons elected or appointed as officers shall be acquainted with the duties and functions of their offices.
5. The Board of Directors shall elect officers, including appointment of the Vice Secretary, at its first regular meeting of each calendar year or the last meeting of the preceding year. No person may hold more than one (1) office.
6. Officers' term of office shall be for one (1) calendar year or until a successor is elected.
7. An officer may succeed himself/herself.
8. A vacancy occurring in an elective office shall be filled by the Board of Directors through a regular nominating procedure.

### **Article VI – Duties and Functions of Officers**

- 1. Duties and Functions of the President**
  - a) The President shall preside at all meetings of the Board of Directors and may vote only to break a tie vote.
  - b) The President shall assure that there will be coordination of efforts in all the work of the corporation.
  - c) The President in consultation with the Executive Director shall appoint the Executive Committee, standing committees, and special committees authorized by the Board of Directors. The President and the Executive Director shall serve as an ex-officio member of all these committees, except all candidate selection committees.

- d) Appoint a Nominating Committee of at least three (3) members from among the continuing members of the Board of Directors to prepare a slate of officers to be elected by the Board of Directors at its last regular meeting of the calendar year to serve during the next calendar year.

## **2. Duties and Functions of the Vice President**

The Vice President shall assume the duties and responsibilities of the President in his/her absence or incapacity.

## **3. Duties and Functions of the Secretary/Treasurer**

- a) The Secretary/Treasurer shall keep the organization's official roll of Board members and call the roll when it is required.
- b) The Secretary/Treasurer shall have on hand at each meeting a list of all existing committees and their names.
- c) The Secretary/Treasurer shall sign, or cause to be signed, all certified copies of acts of the corporation.
- d) In the absence of the President and Vice President, the Secretary/Treasurer shall call the meeting to order and act until the election of a President pro tem.
- e) The Secretary/Treasurer may, at his or her discretion, delegate the duties outlined in Section VI. 3. a. and b. above to the Vice Secretary.
- f) The Secretary/Treasurer shall have supervision of the financial accounts of the corporation.

## **4. Duties and Functions of the Vice Secretary**

- a) The Vice Secretary shall keep accurate minutes and records of the meetings of the corporation and of the Board of Directors and shall be responsible for authenticating records of the corporation.
- b) The Vice Secretary shall make the minutes and records available to Board of Directors members upon request and to others as required by law.
- c) The Vice Secretary shall maintain record books in which the Bylaws, and minutes are entered, with any amendments to these documents properly recorded, and have such books on hand at every meeting.
- d) The Vice Secretary shall send out notices of meetings, agendas and copies of minutes.
- e) The Vice Secretary shall act in the absence of the Secretary except the Vice Secretary shall have no voting rights.

## **Article VII – Committees of the Board**

**1. Committee Formation**

The Board may create committees as needed. Such committees shall have their full scope of authority designated in policy adopted by the Board.

**2. Executive Committee**

The Executive Committee shall consist of the current officers of the Board: President, Vice President and Secretary/Treasurer. The purpose of the Executive Committee is to aid the Executive Director in making decisions in the interim between meetings of the Board. The Executive Committee may not authorize distributions to directors, officers, agents or employees except in exchange for value received; approve or recommend dissolution, merger, or disposition/encumbrance of all or substantially all of the corporation's assets; elect, appoint or remove directors or fill vacancies on the board or its committees; or adopt, amend or repeal articles or bylaws. All actions taken by the Executive Committee are subject to ultimate review and approval of the entire Board.

**3. Finance Committee**

The Finance Committee is responsible for reviewing and advising the Board on all policy matters relating to corporate financial matters. Appointments are made to the Finance Committee by the President of the Board on an annual basis for the ensuing calendar year. The Committee shall consist of not less than two (2) Board members and may also include persons not on the Board of Directors. The Secretary/Treasurer is the chair of the Finance Committee. The Finance Director serves as the staff representative to the Finance Committee, but other staff members could be in attendance when specific policy matters are reviewed.

**Article VIII – Director and Staff**

The Executive Director is hired by the Board. The Executive Director has day-to-day responsibilities for the corporation, including carrying out the corporation's goals and policies. The Executive Director will attend all board meetings, report on the progress of the organization, answer questions of the board members and carry out the duties described in the job description. The board can designate other duties as necessary.

**Article IV – Meetings**

1. The Board of Directors shall meet at least bi-monthly. Other meetings of the Board may be called by the President or by petition signed by one-third of the Board Members. Directors may participate in regular or special meetings of the Board of Directors through any means of communication by which all directors participating may simultaneously hear each other during the meeting.
2. Notice of the date, time, and place, together with the principal items of business of each meeting shall be given to the Board at least five (5) days before each meeting. Notice may be either oral or written. Oral notice may be conveyed in person or by telephone and is effective when communicated. Written notice is effective at the earliest of the following times: when received; five days after deposit in the mail if mailed certified or registered; on the date shown on the return receipt if mailed first class; thirty days after mailing if mailed by other than first class, certified or registered.



3. A quorum shall consist of a majority of the members of the Board in office immediately before a meeting begins.
4. Robert's Rules or Order, Revised, shall govern the proceedings of the Board Meetings.
5. All meetings of the corporation shall be open to the public. Any exceptions will be made according to the provisions of RSMo Chapter 610, Sections .020, .021 and .022, or any other relevant statutes.

#### **Article X – Conflicts of Interest**

Whenever a board member has a financial or personal interest in any matter coming before the board of directors, the board shall ensure that:

- a) The interest of such director is fully disclosed to the board of directors.
- b) No interested director may vote on the matter or be counted in determining the existence of a quorum at the meeting of the board of directors at which such matter is voted upon.
- c) The minutes of meetings at which such votes are taken shall record such disclosure, abstention, and rationale for approval.

#### **Article XI – Amendments**

These Bylaws may be amended at any time by majority vote of the members of the Board of Directors.

#### **Article XII – Fiscal Year**

The fiscal year of the corporation shall be determined by the Board of Directors.

Adopted by the Board 5/23/17; effective 7/1/17.



## Long-Range Strategic Plan 2014 - 2018

OATS has identified 6 priorities that will be the focus of this 5-year strategic plan. Goals were developed to address the six priorities using strategies that utilize our strengths and tap into opportunities while keeping in mind the trends analyzed in the strategic planning report.

### **PRIORITY 1: TECHNOLOGY**

*IT should enhance our service – not drive it. It should enable us to operate more efficiently and save us time and money.*

Goal 1: Review current system to determine if adequately meeting needs – what is the ROI?

Goal 2: Develop and issue RFP for IT infrastructure first quarter 2014 - Steve & Dorothy

Goal 3: Ascertain what Staffing & Support is needed to maintain the system

Goal 4: Develop Capital plan for Future Needs & Funding/upgrade all hardware & software

### **PRIORITY 2: FUNDING**

*We need to further diversify our funding sources and look for more sustainable sources. Focus should be on increased local support and increased support from ridership.*

Goal 1: Increase Local Outreach (RPCs, city/county governments, etc.) Especially important if sales tax passes

Goal 2: Obtain funding from all Senate Bill 40 Boards and all Senior Tax Boards. In counties where there is not a tax board, work with local agencies to start one.

Goal 3: Increase rider contributions through better outreach, implementation of fares where viable, implementation of a Planned Giving Program

Goal 4: Increase Service Agreements by 10%

Goal 5: Train Administrative Staff on Budget and Financial Statements

Goal 6: Obtain funding for capital such as equipment for technology, new and replacement vehicles and West Region Facility

### **PRIORITY 3: FLEET**

*We need to increase and stabilize our capital funding and find new sources to offset reductions in FTA funding. Need to assure ongoing monitoring of maintenance both for safety and to contain costs.*

Goal 1: Seek alternative funding to augment federal funding for new and replacement vehicles

Goal 2: Revise vehicle specifications to better meet our needs; backing cameras and onboard cameras are two items we want installed on all future new vehicles

Goal 3: Established a Maintenance Committee to review OATS maintenance program to determine what changes need to be made to contain costs as we continue operating with an aging fleet due to reduced federal funding for replacement vehicles.

Goal 4: Due to costs and other issues, many of the environmentally friendly vehicles available are not viable to OATS at this time; however, as part of our long term goal much continue to research and seek out green initiatives.

#### **PRIORITY 4: SAFETY/TRAINING**

*Safety is OATS number one priority and as a company we remain committed to assuring our drivers are given the proper training and tools to provide safe transportation.*

Goal 1: Review Driver Training Program no less often than every 2 years to assure fresh and current and to determine if any new topics need to be added

Goal 2: Explore online training options to limit amount of time watching videos at orientation or in drivers meetings so more focus can be had on interaction

Goal 3: Review Safety Awards Program to see if effective and if not what changes need to be made

Goal 4: Implement new Driver Manual in loose leaf format to allow for more frequent and efficient changes

#### **PRIORITY 5: EMPLOYEES**

*OATS cannot accomplish any of its goals or fulfill its mission without a well trained and engaged staff.*

Goal 1: Develop Orientation Program for Office Staff to assure consistency in the message re: OATS, customer service, expectations, legal information, etc. All office staff should be required to do a ride-along during probationary period.

Goal 2: Reduce Turnover to below 20%

Goal 3: Restructuring of Regions to assure adequate staffing

Goal 4: Develop a Succession Plan

Goal 5: Explore how to engage former employees who left in good standing

Goal 6: Review salary structure for all positions.

#### **PRIORITY 6: VOLUNTEERS**

*Volunteers have played an important role in OATS's past and still have great value. But, in order to continue to have a role at OATS we must find new uses for their time and improve recruitment efforts.*

Goal 1: Restructure OATS Volunteer Program (rename to Regional Advocacy Committee; remove need for a committee in every county – where it works, fine, where it doesn't, make it regional)

Goal 2: Find new role for volunteers to play – outreach, advocacy, meetings with local officials, legislative outreach

Goal 3: Develop a Board of Directors Succession Plan

***Adopted by the OATS Board of Directors January 28, 2014***





# ANNUAL REPORT

~ Fiscal Year 2017 ~  
(July 1, 2016 to June 30, 2017)



## Highlights:

### Awards & Recognition

- In August, 2016 OATS received the *Transit Champions Award* at the MO Public Transit Association Meeting.
- In September, 2016 OATS celebrated its 45<sup>th</sup> Anniversary with over 800 in attendance.
- OATS was recognized by the Missouri Community Service Commission in 2017 for its tireless service and impact on Missouri citizens.

### Our Employees are OATS Greatest Asset!

- At the end of the fiscal year, OATS had a total of 723 employees.
- Numerous employees participate in OATS *Wellness for Life* program with a variety of wellness activities & challenges held throughout the year.
- A total of 75 employees celebrated milestone anniversaries.

### Safety

- Safety continued to be a top priority for OATS with one preventable vehicular accident for every 191,201 miles of exposure.
- Safe Driver Awards were earned by 339 drivers- a combined total of 2,610 years of safe driving. A listing of the recipients of these awards is published annually in *The Wheel*.

### Fleet

- OATS received a total of 65 new vehicles this fiscal year and sold 39. OATS fleet consisted of 847 vehicles, including 48 leased vehicles.

### Operations

- OATS provided a total of 1,471,182 trips- or 745,819 operating hours.
- Our vehicles traveled 13,957,983 miles.
- 28,463 Missourians were served.
- The total amount paid for insurance coverage in FY17 was \$1,661,111.

### Facilities

- OATS has a total of eight regional offices- 5 OATS owned buildings and 3 rented spaces, plus 3 satellite offices in rented spaces.

### Volunteers and In-Kind Support

- The OATS County Support Committees continue to work hard advocating for OATS and various fundraising efforts. More than 590 volunteers serve on our county committees.
- Over 200 volunteers attended Transportation Day at the Capitol in April 2017. All volunteer hours are used toward in-kind support dollars, which totaled \$1,052,511.
- A special thank you to the Missouri newspapers who support OATS by printing our bus schedules free of charge. 1,204 bus schedules were printed in local newspapers for an in-kind value of \$60,934.

### Board of Directors

OATS Board of Directors held six meetings; all board meetings are held at OATS Home Office & are open to the public. Officers include: President - Nolan McNeill of Cassville; Vice President - Mel Sundermeyer of Holts Summit; Treasurer - Betty Smith of Clark; and Secretary - Don Reed of Salisbury.

### OATS Funding

#### Where it Comes From ...

Federal Transit Administration Grants.....	36%
Special Service Contracts.....	28%
Medicaid.....	14%
Area Agencies on Aging.....	6%
Rider/Local Contributions .....	6%
State Funding.....	6%
Other .....	4%

#### Where it Goes ...

Driver/Dispatcher Wages/Benefits .....	53%
Gasoline .....	11%
Direct Operating Support.....	11%
Indirect Administration Expense.....	7%
Repairs & Maintenance .....	7%
Vehicle Insurance.....	5%
Driver's Expenses & Operating Supplies .....	4%
Capital Investment.....	2%



**OATS Covers More than 50,640 Square Miles!**



The firm of Graves and Associates, CPA's, LLC of Jefferson City, MO, audits the financial statements of OATS, Inc. annually. The Audit Report is reviewed and adopted by the OATS, Inc. Board of Directors. Copies of these financial statements are available upon request by contacting OATS at 2501 Maguire Blvd., Ste. 101, Columbia, MO 65201; telephone (573) 443-4516.



**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III ☐

**1** Briefly describe the organization's mission

The mission of OATS, Inc is to provide reliable transportation for transportation disadvantaged Missourians so they can live independently in their own communities OATS serves individuals for all reasons The majority of the riders are classified as low income, handicapped or elderly Without this service many individuals would not be able to live in their homes, work, see a doctor or attend an educational institution OATS mostly provides door-to-door service for those unfortunate to be able to transport themselves

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses \$ 27,213,966 including grants of \$ ) (Revenue \$ )  
See Additional Data

**4b** (Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )

**4c** (Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )

**4d** Other program services (Describe in Schedule O )  
(Expenses \$ including grants of \$ ) (Revenue \$ )

**4e** Total program service expenses ► 27,213,966



**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1	Yes
<b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	No
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
<b>4 Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	No
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	No
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	No
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	No
<b>9</b> Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	No
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	No
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a	Yes
<b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	No
<b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	No
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	No
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	Yes
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	Yes
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	No
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	No
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	No
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	No
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	No
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	No
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No

**Part IV Checklist of Required Schedules** (continued)

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H . . . . .</i>		No
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II . . . . .</i>		No
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III . . . . .</i>		No
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J . . . . .</i>	Yes	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a . . . . .</i>		No
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .		No
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .		No
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .		No
<b>25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I . . . . .</i>		No
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I . . . . .</i>		No
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II . . . . .</i>		No
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III . . . . .</i>		No
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>		No
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>		No
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV . . . . .</i>		No
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M . . . . .</i>		No
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M . . . . .</i>		No
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I . . . . .</i>		No
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II . . . . .</i>		No
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I . . . . .</i>		No
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 . . . . .</i>		No
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)?		No
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2 . . . . .</i>		No
<b>36 Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2 . . . . .</i>		No
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI . . . . .</i>		No
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	Yes	

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
<b>1a</b>	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	9	
<b>b</b>	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	0	
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	No
<b>2a</b>	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	889
<b>b</b>	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b	Yes
<b>3a</b>	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	No
<b>b</b>	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	No
<b>4a</b>	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	No
<b>b</b>	If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)		
<b>5a</b>	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	No
<b>b</b>	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	No
<b>c</b>	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
<b>6a</b>	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	No
<b>b</b>	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
<b>7</b>	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
<b>a</b>	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	No
<b>b</b>	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
<b>c</b>	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	No
<b>d</b>	If "Yes," indicate the number of Forms 8282 filed during the year	7d	0
<b>e</b>	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	No
<b>f</b>	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	No
<b>g</b>	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	No
<b>h</b>	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	No
<b>8</b>	<b>Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8	No
<b>9a</b>	Did the sponsoring organization make any taxable distributions under section 4966?	9a	No
<b>b</b>	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b	No
<b>10</b>	<b>Section 501(c)(7) organizations.</b> Enter		
<b>a</b>	Initiation fees and capital contributions included on Part VIII, line 12	10a	
<b>b</b>	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
<b>11</b>	<b>Section 501(c)(12) organizations.</b> Enter		
<b>a</b>	Gross income from members or shareholders	11a	
<b>b</b>	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b	
<b>12a</b>	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	12a	No
<b>b</b>	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
<b>13</b>	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
<b>a</b>	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O	13a	No
<b>b</b>	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
<b>c</b>	Enter the amount of reserves on hand	13c	
<b>14a</b>	Did the organization receive any payments for indoor tanning services during the tax year?	14a	No
<b>b</b>	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.Check if Schedule O contains a response or note to any line in this Part VI. ☒**Section A. Governing Body and Management**

		Yes	No
<b>1a</b>	Enter the number of voting members of the governing body at the end of the tax year		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O		
<b>b</b>	Enter the number of voting members included in line 1a, above, who are independent		
<b>2</b>	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		No
<b>3</b>	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		No
<b>4</b>	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		No
<b>5</b>	Did the organization become aware during the year of a significant diversion of the organization's assets?		No
<b>6</b>	Did the organization have members or stockholders?		No
<b>7a</b>	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		No
<b>b</b>	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		No
<b>8</b>	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b>	The governing body?	Yes	
<b>b</b>	Each committee with authority to act on behalf of the governing body?	Yes	
<b>9</b>	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.		No

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
<b>10a</b>	Did the organization have local chapters, branches, or affiliates?	Yes	
<b>b</b>	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	Yes	
<b>11a</b>	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	Yes	
<b>b</b>	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b>	Did the organization have a written conflict of interest policy? If "No," go to line 13.	Yes	
<b>b</b>	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	Yes	
<b>c</b>	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done.	Yes	
<b>13</b>	Did the organization have a written whistleblower policy?	Yes	
<b>14</b>	Did the organization have a written document retention and destruction policy?	Yes	
<b>15</b>	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b>	The organization's CEO, Executive Director, or top management official.	Yes	
<b>b</b>	Other officers or key employees of the organization.	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
<b>16a</b>	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		No
<b>b</b>	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		

**Section C. Disclosure**

<b>17</b>	List the States with which a copy of this Form 990 is required to be filed: <b>►</b>
<b>18</b>	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
<b>19</b>	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
<b>20</b>	State the name, address, and telephone number of the person who possesses the organization's books and records: <b>►</b> DOROTHY YEAGER 2501 MAGUIRE BLVD SUITE 101 COLUMBIA, MO 652018252 (573) 443-4516

Check if Schedule O contains a response or note to any line in this Part VII ☐

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) ELLE WASSON DUGGAN ..... Director	1 00 ..... 0 00	X						0	0	0
(2) KRISSY SINOR ..... Director	1 00 ..... 0 00	X						0	0	0
(3) NOLAN MCNEILL ..... President	1 00 ..... 0 00	X		X				0	0	0
(4) BETTY SMITH ..... Treasurer	1 00 ..... 0 00	X		X				0	0	0
(5) DONALD REED ..... Secretary	1 00 ..... 0 00	X		X				0	0	0
(6) JOHN GRIESHEIMER ..... Director	1 00 ..... 0 00	X						0	0	0
(7) RICHARD KING ..... Director	1 00 ..... 0 00	X						0	0	0
(8) MEL SUNDERMEYER ..... Vice President	1 00 ..... 0 00	X		X				0	0	0
(9) DONNA TURNBOUGH ..... Director	1 00 ..... 0 00	X						0	0	0
(10) REBECCA COFFMAN ..... Director	1 00 ..... 0 00	X						0	0	0
(11) EDNA FOSTER ..... Director	1 00 ..... 0 00	X						0	0	0
(12) PATRICIA MEFFORD ..... Director	1 00 ..... 0 00	X						0	0	0
(13) NANCY SIPES ..... Director	1 00 ..... 0 00	X						0	0	0
(14) DOTTIE LITTLEJOHN ..... Director	1 00 ..... 0 00	X						0	0	0
(15) DOROTHY YEAGER ..... EXECUTIVE DIRECTOR	55 00 ..... 0 00				X			120,130	0	24,730
(16) CYNTHIA TANDY ..... FINANCE DIRECTOR	43 00 ..... 0 00				X			97,800	0	19,020
(17) LINDA YAEGER ..... FORMER EXECUTIVE DIRECTOR	0 00 ..... 0 00						X	42,809	0	0

[illegible]

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ▶ 1

## Section B. Independent Contractors

(A)	(B)	(C)
Name and business address	Description of services	Compensation

Form 990 (2016)



**Part VIII Statement of Revenue**Check if Schedule O contains a response or note to any line in this Part VIII ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns . . .	<b>1a</b>				
	<b>b</b> Membership dues . . .	<b>1b</b>				
	<b>c</b> Fundraising events . . .	<b>1c</b>				
	<b>d</b> Related organizations	<b>1d</b>				
	<b>e</b> Government grants (contributions)	<b>1e</b>	10,917,433			
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>	2,365,895			
	<b>g</b> Noncash contributions included in lines 1a-1f \$ _____					
	<b>h Total.</b> Add lines 1a-1f . . . . .		13,283,328			
<b>Program Service Revenue</b>			Business Code			
	<b>2a</b> TRANSPORTATION REVENUE		480000	14,737,811	14,737,811	
	<b>b</b> _____					
	<b>c</b> _____					
	<b>d</b> _____					
	<b>e</b> _____					
	<b>f</b> All other program service revenue					
	<b>g Total.</b> Add lines 2a-2f . . . . .		14,737,811			
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts) . . . . .			132,638		132,638
	<b>4</b> Income from investment of tax-exempt bond proceeds			0		
	<b>5</b> Royalties . . . . .			0		
			(i) Real	(ii) Personal		
	<b>6a</b> Gross rents					
	<b>b</b> Less rental expenses					
	<b>c</b> Rental income or (loss)					
	<b>d</b> Net rental income or (loss) . . . . .			0		
			(i) Securities	(ii) Other		
	<b>7a</b> Gross amount from sales of assets other than inventory					
	<b>b</b> Less cost or other basis and sales expenses			24,303		
	<b>c</b> Gain or (loss)			-24,303		
	<b>d</b> Net gain or (loss) . . . . .			-24,303		-24,303
	<b>8a</b> Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18 . . . . .		<b>a</b>			
	<b>b</b> Less direct expenses . . . . .	<b>b</b>				
	<b>c</b> Net income or (loss) from fundraising events . . . . .			0		
	<b>9a</b> Gross income from gaming activities See Part IV, line 19 . . . . .		<b>a</b>			
	<b>b</b> Less direct expenses . . . . .	<b>b</b>				
	<b>c</b> Net income or (loss) from gaming activities . . . . .			0		
	<b>10a</b> Gross sales of inventory, less returns and allowances . . . . .		<b>a</b>			
<b>b</b> Less cost of goods sold . . . . .	<b>b</b>					
<b>c</b> Net income or (loss) from sales of inventory . . . . .			0			
Miscellaneous Revenue		Business Code				
<b>11a</b> FEDERAL FUEL TAX CREDIT		480000	239,840	239,840		
<b>b</b> MISCELLANEOUS			-1,212		-1,212	
<b>c</b> STATE FUEL TAX CREDIT		480000	249,592	249,592		
<b>d</b> All other revenue . . . . .						
<b>e Total.</b> Add lines 11a-11d . . . . .			488,220			
<b>12 Total revenue.</b> See Instructions . . . . .			28,617,694	15,227,243		107,123

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	0			
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22.	0			
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, line 15 and 16.	0			
<b>4</b> Benefits paid to or for members.	0			
<b>5</b> Compensation of current officers, directors, trustees, and key employees.	261,680	158,177	103,503	
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	0			
<b>7</b> Other salaries and wages.	14,371,284	13,492,335	878,949	
<b>8</b> Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions).	171,146	20,700	150,446	
<b>9</b> Other employee benefits.	1,425,732	1,351,563	74,169	
<b>10</b> Payroll taxes.	1,089,449	1,014,365	75,084	
<b>11</b> Fees for services (non-employees):				
<b>a</b> Management.	0			
<b>b</b> Legal.	9,608		9,608	
<b>c</b> Accounting.	45,096		45,096	
<b>d</b> Lobbying.	0			
<b>e</b> Professional fundraising services. See Part IV, line 17.	0			
<b>f</b> Investment management fees.	0			
<b>g</b> Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	73,816		73,816	
<b>12</b> Advertising and promotion.	40,678	12,360	28,318	
<b>13</b> Office expenses.	639,393	500,625	138,768	
<b>14</b> Information technology.	388,505	362,958	25,547	
<b>15</b> Royalties.	0			
<b>16</b> Occupancy.	586,451	506,982	79,469	
<b>17</b> Travel.	6,238,941	6,187,036	51,905	
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials.	0			
<b>19</b> Conferences, conventions, and meetings.	64,986	27,831	37,155	
<b>20</b> Interest.	0			
<b>21</b> Payments to affiliates.	0			
<b>22</b> Depreciation, depletion, and amortization.	4,280,012	3,076,851	1,203,161	
<b>23</b> Insurance.	18,860	18,451	409	
<b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
<b>a</b> DRIVER EXPENSES	324,469	324,469		
<b>b</b> NEW HIRE TESTING	100,993	96,478	4,515	
<b>c</b> UNIFORMS	31,819	31,781	38	
<b>d</b> MISCELLANEOUS	23,267	4,669	18,598	
<b>e</b> All other expenses	26,335	26,335		
<b>25</b> Total functional expenses. Add lines 1 through 24e.	30,212,520	27,213,966	2,998,554	0
<b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part IX ☐

				(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b>	Cash—non-interest-bearing . . . . .			<b>1</b>	0
	<b>2</b>	Savings and temporary cash investments . . . . .		2,893,551	<b>2</b>	4,415,519
	<b>3</b>	Pledges and grants receivable, net . . . . .		3,174,038	<b>3</b>	3,379,668
	<b>4</b>	Accounts receivable, net . . . . .		469,528	<b>4</b>	534,477
	<b>5</b>	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L			<b>5</b>	0
	<b>6</b>	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L			<b>6</b>	0
	<b>7</b>	Notes and loans receivable, net . . . . .			<b>7</b>	0
	<b>8</b>	Inventories for sale or use . . . . .			<b>8</b>	0
	<b>9</b>	Prepaid expenses and deferred charges . . . . .		520,572	<b>9</b>	674,439
	<b>10a</b>	Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D	<b>10a</b>	59,845,816		
	<b>b</b>	Less: accumulated depreciation	<b>10b</b>	37,659,786		
				24,457,932	<b>10c</b>	22,186,030
	<b>11</b>	Investments—publicly traded securities . . . . .		4,611,558	<b>11</b>	3,428,569
	<b>12</b>	Investments—other securities. See Part IV, line 11 . . . . .			<b>12</b>	0
	<b>13</b>	Investments—program-related. See Part IV, line 11 . . . . .			<b>13</b>	0
	<b>14</b>	Intangible assets . . . . .			<b>14</b>	0
<b>15</b>	Other assets. See Part IV, line 11 . . . . .		1,432,394	<b>15</b>	1,681,619	
<b>16</b>	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .		37,559,573	<b>16</b>	36,300,321	
<b>Liabilities</b>	<b>17</b>	Accounts payable and accrued expenses . . . . .		1,347,240	<b>17</b>	1,583,858
	<b>18</b>	Grants payable . . . . .			<b>18</b>	
	<b>19</b>	Deferred revenue . . . . .		1,181,518	<b>19</b>	1,179,066
	<b>20</b>	Tax-exempt bond liabilities . . . . .			<b>20</b>	
	<b>21</b>	Escrow or custodial account liability. Complete Part IV of Schedule D			<b>21</b>	
	<b>22</b>	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .			<b>22</b>	
	<b>23</b>	Secured mortgages and notes payable to unrelated third parties . . . . .			<b>23</b>	
	<b>24</b>	Unsecured notes and loans payable to unrelated third parties . . . . .			<b>24</b>	
	<b>25</b>	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		1,729,715	<b>25</b>	1,911,828
	<b>26</b>	<b>Total liabilities.</b> Add lines 17 through 25 . . . . .		4,258,473	<b>26</b>	4,674,752
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>					
	<b>27</b>	Unrestricted net assets		31,750,628	<b>27</b>	30,056,402
	<b>28</b>	Temporarily restricted net assets . . . . .		1,550,472	<b>28</b>	1,569,167
	<b>29</b>	Permanently restricted net assets			<b>29</b>	
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>					
	<b>30</b>	Capital stock or trust principal, or current funds . . . . .			<b>30</b>	
	<b>31</b>	Paid-in or capital surplus, or land, building or equipment fund . . . . .			<b>31</b>	
	<b>32</b>	Retained earnings, endowment, accumulated income, or other funds			<b>32</b>	
	<b>33</b>	<b>Total net assets or fund balances</b> . . . . .		33,301,100	<b>33</b>	31,625,569
	<b>34</b>	<b>Total liabilities and net assets/fund balances</b> . . . . .		37,559,573	<b>34</b>	36,300,321

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☒

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	28,617,694
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	30,212,520
<b>3</b>	Revenue less expenses Subtract line 2 from line 1	<b>3</b>	-1,594,826
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	33,301,100
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	-80,703
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	-2
<b>10</b>	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	31,625,569

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
<b>1</b> Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
<b>2a</b> Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
<b>b</b> Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
<b>c</b> If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
<b>3a</b> As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
<b>b</b> If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

## Additional Data

**Software ID:** 16000303

**Software Version:** 2016v3.0

**EIN:** 43-1016961

**Name:** OATS INC

Form 990 (2016)

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### Form 990, Part III, Line 4a:

OATS, Incorporated, a public transportation system, serves 87 counties in the State of Missouri. OATS served 28,464 people in Missouri from July 1, 2016 through June 30, 2017. OATS provided 1,471,183 one-way trips with a fleet of over 858 vehicles and a staff of 729. OATS normal hours are Monday through Friday 6:00 a.m. - 6:00 p.m., but many times operates outside those hours due to the nature of the transportation. OATS not only is concerned about the cost of operating the vehicles, but replacement of the vehicles too. OATS raises money through over 1,000 volunteers who do fundraising and donations. OATS is normally required to match 20% of the total cost of the vehicles with cash. This can be a challenge and takes years to get funds to be able to match one vehicle in that county. OATS has found over the years ways to put aside funds to help in this capital requirement such as donations, contributions, depreciation costs on contracts and state-incentive programs such as the Neighborhood Assistance Program. We have fleet plans so replacements, expansions and major repairs are laid out for the future years to keep this service going. Our long-term goal is to provide the most transportation service for the dollars received and look for other means of support to continue our mission. A quarterly newsletter along with our website provides the general public information on our services, and we make sure the required information in regard to the aspects of OATS is available to the public when required.

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<b>SCHEDULE A</b> (Form 990 or 990-EZ)	<b>Public Charity Status and Public Support</b> Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust. ▶ Attach to Form 990 or Form 990-EZ. ▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a> .	OMB No 1545-0047 <b>2016</b> <b>Open to Public Inspection</b>
Department of the Treasury Internal Revenue Service Name of the organization OATS INC		Employer identification number 43-1016961

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 12, check only one box )

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ))
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II )
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II )
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )
- 9 ☐ An agricultural research organization described in **170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land grant college of agriculture See instructions Enter the name, city, and state of the college or university \_\_\_\_\_
- 10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III )
- 11 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s) **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions) **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions) **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization
- f Enter the number of supported organizations \_\_\_\_\_
- g Provide the following information about the supported organization(s) \_\_\_\_\_

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						



**Part II**

**Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
(Complete only if you checked the box on line 5, 7, 8, or 9 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

<b>Section A. Public Support</b>						
<b>Calendar year (or fiscal year beginning in) ▶</b>	<b>(a)2012</b>	<b>(b)2013</b>	<b>(c)2014</b>	<b>(d)2015</b>	<b>(e)2016</b>	<b>(f)Total</b>
<b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grant.")	15,670,014	11,305,825	12,726,523	13,248,903	13,283,328	66,234,593
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge						0
<b>4 Total.</b> Add lines 1 through 3	15,670,014	11,305,825	12,726,523	13,248,903	13,283,328	66,234,593
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						0
<b>6 Public support.</b> Subtract line 5 from line 4						66,234,593
<b>Section B. Total Support</b>						
<b>Calendar year (or fiscal year beginning in) ▶</b>	<b>(a)2012</b>	<b>(b)2013</b>	<b>(c)2014</b>	<b>(d)2015</b>	<b>(e)2016</b>	<b>(f)Total</b>
<b>7</b> Amounts from line 4	15,670,014	11,305,825	12,726,523	13,248,903	13,283,328	66,234,593
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	21,626	85,952	87,293	99,063	132,638	426,572
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on						0
<b>10</b> Other income Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	469,738	433,732	444,685	466,520	489,432	2,304,107
<b>11 Total support.</b> Add lines 7 through 10						68,965,272
<b>12</b> Gross receipts from related activities, etc. (see instructions)					<b>12</b>	
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . . ▶ <input type="checkbox"/>						
<b>Section C. Computation of Public Support Percentage</b>						
<b>14</b> Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))					<b>14</b>	96.040 %
<b>15</b> Public support percentage for 2015 Schedule A, Part II, line 14					<b>15</b>	96.330 %
<b>16a 33 1/3% support test—2016.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ▶ <input checked="" type="checkbox"/>						
<b>b 33 1/3% support test—2015.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>						
<b>17a 10%-facts-and-circumstances test—2016.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>						
<b>b 10%-facts-and-circumstances test—2015.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ <input type="checkbox"/>						
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ <input type="checkbox"/>						

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
<b>1</b> Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support.</b> (Subtract line 7c from line 6.)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a)2012	(b)2013	(c)2014	(d)2015	(e)2016	(f)Total
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))	<b>15</b>	
<b>16</b> Public support percentage from 2015 Schedule A, Part III, line 15	<b>16</b>	

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for <b>2016</b> (line 10c, column (f) divided by line 13, column (f))	<b>17</b>	
<b>18</b> Investment income percentage from <b>2015</b> Schedule A, Part III, line 17	<b>18</b>	

**19a 33 1/3% support tests—2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

**b 33 1/3% support tests—2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

**Part IV Supporting Organizations**

(Complete only if you checked a box on line 12 of Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
<b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in <b>Part VI</b> how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>	<b>1</b>	
<b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in <b>Part VI</b> how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>	<b>2</b>	
<b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>	<b>3a</b>	
<b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in <b>Part VI</b> when and how the organization made the determination.</i>	<b>3b</b>	
<b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in <b>Part VI</b> what controls the organization put in place to ensure such use.</i>	<b>3c</b>	
<b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes" and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>	<b>4a</b>	
<b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in <b>Part VI</b> how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>	<b>4b</b>	
<b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in <b>Part VI</b> what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>	<b>4c</b>	
<b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in <b>Part VI</b>, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed, (ii) the reasons for each such action, (iii) the authority under the organization's organizing document authorizing such action, and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>	<b>5a</b>	
<b>b</b> <b>Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?	<b>5b</b>	
<b>c</b> <b>Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?	<b>5c</b>	
<b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in <b>Part VI</b>.</i>	<b>6</b>	
<b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>	<b>7</b>	
<b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>	<b>8</b>	
<b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in <b>Part VI</b>.</i>	<b>9a</b>	
<b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in <b>Part VI</b>.</i>	<b>9b</b>	
<b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in <b>Part VI</b>.</i>	<b>9c</b>	
<b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>	<b>10a</b>	
<b>b</b> Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>	<b>10b</b>	

**Part IV Supporting Organizations** (continued)

	Yes	No
<b>11</b> Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>b</b> A family member of a person described in (a) above?		
<b>c</b> A 35% controlled entity of a person described in (a) or (b) above? <i>If "Yes" to a, b, or c, provide detail in Part VI</i>		
<b>11a</b>		
<b>11b</b>		
<b>11c</b>		

**Section B. Type I Supporting Organizations**

	Yes	No
<b>1</b> Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i>		
<b>2</b> Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised or controlled the supporting organization.</i>		
<b>1</b>		
<b>2</b>		

**Section C. Type II Supporting Organizations**

	Yes	No
<b>1</b> Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i>		
<b>1</b>		

**Section D. All Type III Supporting Organizations**

	Yes	No
<b>1</b> Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b> Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i>		
<b>3</b> By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i>		
<b>1</b>		
<b>2</b>		
<b>3</b>		

**Section E. Type III Functionally-Integrated Supporting Organizations**

<b>1</b> Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions)		
<b>a</b> <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
<b>b</b> <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
<b>c</b> <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).		
<b>2</b> Activities Test <b>Answer (a) and (b) below.</b>		
<b>a</b> Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i>		
<b>b</b> Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i>		
<b>3</b> Parent of Supported Organizations <b>Answer (a) and (b) below.</b>		
<b>a</b> Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>Provide details in Part VI.</i>		
<b>b</b> Did the organization exercise a substantial degree of direction over the policies, programs and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i>		
<b>2a</b>		
<b>2b</b>		
<b>3a</b>		
<b>3b</b>		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1** ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970. **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

**Section A - Adjusted Net Income**

	(A) Prior Year	(B) Current Year (optional)
<b>1</b> Net short-term capital gain	<b>1</b>	
<b>2</b> Recoveries of prior-year distributions	<b>2</b>	
<b>3</b> Other gross income (see instructions)	<b>3</b>	
<b>4</b> Add lines 1 through 3	<b>4</b>	
<b>5</b> Depreciation and depletion	<b>5</b>	
<b>6</b> Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	<b>6</b>	
<b>7</b> Other expenses (see instructions)	<b>7</b>	
<b>8 Adjusted Net Income</b> (subtract lines 5, 6 and 7 from line 4)	<b>8</b>	

**Section B - Minimum Asset Amount**

	(A) Prior Year	(B) Current Year (optional)
<b>1</b> Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year)	<b>1</b>	
<b>a</b> Average monthly value of securities	<b>1a</b>	
<b>b</b> Average monthly cash balances	<b>1b</b>	
<b>c</b> Fair market value of other non-exempt-use assets	<b>1c</b>	
<b>d Total</b> (add lines 1a, 1b, and 1c)	<b>1d</b>	
<b>e Discount</b> claimed for blockage or other factors (explain in detail in Part VI)		
<b>2</b> Acquisition indebtedness applicable to non-exempt use assets	<b>2</b>	
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	
<b>4</b> Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	
<b>5</b> Net value of non-exempt-use assets (subtract line 4 from line 3)	<b>5</b>	
<b>6</b> Multiply line 5 by .035	<b>6</b>	
<b>7</b> Recoveries of prior-year distributions	<b>7</b>	
<b>8 Minimum Asset Amount</b> (add line 7 to line 6)	<b>8</b>	

**Section C - Distributable Amount**

		Current Year
<b>1</b> Adjusted net income for prior year (from Section A, line 8, Column A)	<b>1</b>	
<b>2</b> Enter 85% of line 1	<b>2</b>	
<b>3</b> Minimum asset amount for prior year (from Section B, line 8, Column A)	<b>3</b>	
<b>4</b> Enter greater of line 2 or line 3	<b>4</b>	
<b>5</b> Income tax imposed in prior year	<b>5</b>	
<b>6 Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	<b>6</b>	
<b>7</b> <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally-integrated Type III supporting organization (see instructions)		

Part V

Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI) See instructions	
7 Total annual distributions. Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI) See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required--see instructions)			
3 Excess distributions carryover, if any, to 2016			
a			
b			
c From 2013. . . . .			
d From 2014. . . . .			
e From 2015. . . . .			
f Total of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder Subtract lines 3g, 3h, and 3i from 3f			
4 Distributions for 2016 from Section D, line 7 \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any Subtract lines 3g and 4a from line 2 (if amount greater than zero, see instructions)			
6 Remaining underdistributions for 2016 Subtract lines 3h and 4b from line 1 (if amount greater than zero, see instructions)			
7 Excess distributions carryover to 2017. Add lines 3j and 4c			
8 Breakdown of line 7			
a			
b Excess from 2013. . . . .			
c Excess from 2014. . . . .			
d Excess from 2015. . . . .			
e Excess from 2016. . . . .			

**Part VI**   **Supplemental Information.**

Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions).

<b>Facts And Circumstances Test</b>



efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93493019004158	
<div>SCHEDULE D (Form 990)</div> <div>Department of the Treasury Internal Revenue Service</div>		<div>Supplemental Financial Statements</div> <div>► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. ► Attach to Form 990.</div> <div>Information about Schedule D (Form 990) and its instructions is at <a href="http://www.irs.gov/form990">www.irs.gov/form990</a>.</div>			<div>OMB No 1545-0047</div> <div>2016</div> <div>Open to Public Inspection</div>
Name of the organization OATS INC				Employer identification number 43-1016961	
Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.					
		(a) Donor advised funds		(b) Funds and other accounts	
1	Total number at end of year				
2	Aggregate value of contributions to (during year)				
3	Aggregate value of grants from (during year)				
4	Aggregate value at end of year				
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?				<input type="checkbox"/> Yes <input type="checkbox"/> No
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?				<input type="checkbox"/> Yes <input type="checkbox"/> No
Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.					
1	Purpose(s) of conservation easements held by the organization (check all that apply) <input type="checkbox"/> Preservation of land for public use (e g , recreation or education) <input type="checkbox"/> Preservation of an historically important land area <input type="checkbox"/> Protection of natural habitat <input type="checkbox"/> Preservation of a certified historic structure <input type="checkbox"/> Preservation of open space				
2	Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year				
a	Total number of conservation easements	Held at the End of the Year			
b	Total acreage restricted by conservation easements	2a			
c	Number of conservation easements on a certified historic structure included in (a)	2b			
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2c			
		2d			
3	Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►				
4	Number of states where property subject to conservation easement is located ►				
5	Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?				<input type="checkbox"/> Yes <input type="checkbox"/> No
6	Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►				
7	Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$				
8	Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?				<input type="checkbox"/> Yes <input type="checkbox"/> No
9	In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements				
Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.					
1a	If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items				
b	If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items				
(i) Revenue included on Form 990, Part VIII, line 1		► \$			
(ii) Assets included in Form 990, Part X		► \$			
2	If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items				
a	Revenue included on Form 990, Part VIII, line 1	► \$			
b	Assets included in Form 990, Part X	► \$			
For Paperwork Reduction Act Notice, see the Instructions for Form 990.					
		Cat No 52283D		Schedule D (Form 990) 2016	

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements.  
Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	(c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

b

Permanent endowment

c

Temporarily restricted endowment

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

(ii) related organizations

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		
3a(ii)		
3b		

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment.  
Complete if the organization answered 'Yes' on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		1,180,885		1,180,885
b Buildings		18,135,102	3,482,767	14,652,335
c Leasehold improvements		482,143	277,755	204,388
d Equipment		40,047,686	33,899,264	6,148,422
e Other				
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))				22,186,030

Part VII

Investments—Other Securities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11b.  
See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 12 ) ▶		

Part VIII

Investments—Program Related. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c.  
See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13 ) ▶		

Part IX

Other Assets. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15 ) . . . . . ▶	

Part X

Other Liabilities. Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f.  
See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
DEFERRED COMPENSATION OBLIGATIONS	1,323,359
SEPARATE FUNDING ARRANGEMENTS	588,469
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25 ) ▶	1,911,828

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☒

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements . . . . .	<b>1</b>	28,536,991
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
<b>a</b>	Net unrealized gains (losses) on investments . . . . .	<b>2a</b>	-80,703
<b>b</b>	Donated services and use of facilities . . . . .	<b>2b</b>	
<b>c</b>	Recoveries of prior year grants . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	-80,703
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	28,617,694
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line <b>1</b>		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	
<b>5</b>	Total revenue Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12 ) . . . . .	<b>5</b>	28,617,694

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements . . . . .	<b>1</b>	30,212,520
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25		
<b>a</b>	Donated services and use of facilities . . . . .	<b>2a</b>	
<b>b</b>	Prior year adjustments . . . . .	<b>2b</b>	
<b>c</b>	Other losses . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	30,212,520
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line <b>1</b> :		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	
<b>5</b>	Total expenses Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18 ) . . . . .	<b>5</b>	30,212,520

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

**Part XIII** Supplemental Information *(continued)*

Return Reference	Explanation

## Additional Data

**Software ID:** 16000303  
**Software Version:** 2016v3.0  
**EIN:** 43-1016961  
**Name:** OATS INC

## Supplemental Information

Return Reference	Explanation
Part X FIN48 Footnote	OATS is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code and applicable state law. The accounting standard on accounting for uncertainty in income taxes addresses the determination of whether tax benefits claimed or expected to be claimed on a tax return should be recorded in the financial statements. Under that guidance, OATS may recognize the tax benefit from an uncertain tax position only if it is more likely than not that the tax position will be sustained on examination by taxing authorities based on the technical merits of the position. Examples of tax positions include the tax-exempt status of OATS and various positions related to the potential sources of unrelated business taxable income (UBIT). OATS was not subject to UBIT for the years ended OATS files forms 990 in the U.S. federal jurisdiction. OATS is generally no longer subject to examination by the Internal Revenue Service three years after the forms were filed.

Schedule J  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees  
▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.  
▶ Attach to Form 990.  
▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2015

Open to Public Inspection

Name of the organization OATS INC	Employer identification number 43-1016961
--------------------------------------	--

Part I

Questions Regarding Compensation

	Yes	No
<b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items <div><div><input type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
<b>b</b> If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	<b>1b</b>	
<b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	<b>2</b>	
<b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III <div><div><input type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input type="checkbox"/> Written employment contract</div><div><input type="checkbox"/> Compensation survey or study</div><div><input type="checkbox"/> Approval by the board or compensation committee</div></div>		
<b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization <b>a</b> Receive a severance payment or change-of-control payment?	<b>4a</b>	No
<b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan?	<b>4b</b>	Yes
<b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	<b>4c</b>	No
<b>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b>		
<b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of <b>a</b> The organization?	<b>5a</b>	No
<b>b</b> Any related organization? If "Yes," on line 5a or 5b, describe in Part III	<b>5b</b>	No
<b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of <b>a</b> The organization?	<b>6a</b>	No
<b>b</b> Any related organization? If "Yes," on line 6a or 6b, describe in Part III	<b>6b</b>	No
<b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	<b>7</b>	No
<b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	<b>8</b>	No
<b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	<b>9</b>	No



**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 LINDA YAEGER FORMER EXECUTIVE DIRECTOR	(i)	42,809 -----	-----	-----	-----	-----	42,809 -----	-----
	(ii)							

**Part III** **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
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**SCHEDULE O**  
(Form 990 or 990-EZ)Department of the Treasury  
~~Internal Revenue Service~~Name of the organization  
OATS INC**Supplemental Information to Form 990 or 990-EZ**Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

**2016****Open to Public  
Inspection****Employer identification number**

43-1016961

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
Form 990, Part VI, Line 11b Form 990 Review Process	Questionnaire prepared by two-three management staff and was reviewed by the Executive Director and presented to the Board at the next Board meeting It is also electronically sent to the members

## 990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 12c Explanation of Monitoring and Enforcement of Conflicts	Management monitors for potential conflicts and addresses and resolves them as they occur To assist in the process, newly hired employees are required to complete a conflict of interest form

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
Form 990, Part VI, Line 15b Compensation Review and Approval Process for Officers and Key Employees	<p>There are three approaches to reviewing compensation plans</p> <p><b>JOB ANALYSIS</b> - is the systematic study of jobs to determine what activities and responsibilities they include, their relative importance in comparison with other jobs, the personal qualifications necessary for performance of the jobs and the conditions under which the work is performed. An important concept in job analysis is that an evaluation is conducted of the job, not the person doing the job (even though some job analysis data may be collected from incumbents)</p> <p><b>JOB EVALUATION</b> - is the systematic determination of the relative worth and value of jobs within an organization. The job evaluation process determines the relative worth of each job by establishing a hierarchy of jobs within an organization. Job evaluation is conducted following the completion of a formal job analysis, which focuses on the delineation of job duties and responsibilities (i.e., a job description) and essential knowledge, skills and abilities (i.e., job specifications), after all pertinent job requirements have been defined</p> <p><b>MARKET PRICING</b> - is the process of setting pay structures almost exclusively by collecting, analyzing and matching job salary survey data with rates paid in the external market. An organization may elect to use this method for professions that are market driven (i.e., information systems, engineering) or as a compensation philosophy across the board. OATS uses the U.S. Dept. of Labor Bureau of Labor Statistics for market analysis. OATS has traditionally used a combination of these three approaches when reviewing its salary schedule.</p>

## 990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 19 Other Organization Documents Publicly Available	OATS publishes an Annual Report in its quarterly newsletter, The Wheel, which is mailed to over 18,000 people and available online The Annual Report contains the revenue and expenses and a notation that the full audit is available upon request

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
Other Changes In Net Assets Or Fund Balances - Other Decreases	MISCELLANEOUS = -\$2



# OATS, Incorporated

Columbia, Missouri

INDEPENDENT AUDITOR'S REPORT

For the Years Ended June 30, 2017 and 2016

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## INDEPENDENT AUDITOR'S REPORT

To the Board of Directors of  
OATS, Incorporated  
Columbia, Missouri:

### *Report on the Financial Statements*

We have audited the accompanying financial statements of OATS, Incorporated ("OATS"), a not-for-profit entity, which comprise the statements of financial position as of June 30, 2017 and 2016, and the related statements of activities and cash flows for the years then ended, and the related notes to the financial statements.

### *Management's Responsibility for the Financial Statements*

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### *Auditor's Responsibility*

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### *Opinion*

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of OATS as of June 30, 2017 and 2016, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

### *Other Matters*

### *Other Information*

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards, as required by Title 2 U.S. *Code of Federal Regulations* (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*, is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements as a whole.

### *Other Reporting Required by Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated November 7, 2017, on our consideration of OATS' internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering OATS' internal control over financial reporting and compliance.

Graves and Associates,  
CPAs, LLC

GRAVES AND ASSOCIATES, CPAs, LLC  
Jefferson City, Missouri

November 7, 2017

## OATS, INCORPORATED

STATEMENTS OF FINANCIAL POSITION  
JUNE 30, 2017 and 2016

	2017	2016
<u>ASSETS</u>		
CURRENT ASSETS		
Cash and Cash Equivalents	\$ 3,637,252	\$ 2,606,550
Certificates of Deposit	778,267	287,001
Investments	3,428,569	4,611,556
Grants and Contracts Receivable	3,379,668	3,174,038
Other Receivables (Less Allowance for Doubtful Accounts of \$10,000 and \$10,000, Respectively)	534,477	469,528
Prepaid Expenses	670,317	516,450
Total Current Assets	12,428,550	11,665,123
NONCURRENT ASSETS		
Company Owned Life Insurance Benefit	1,681,619	1,432,394
Deposits	4,122	4,122
Land, Buildings and Equipment, Net	22,186,030	24,457,932
Total Noncurrent Assets	23,871,771	25,894,448
TOTAL ASSETS	\$ 36,300,321	\$ 37,559,571
<u>LIABILITIES AND NET ASSETS</u>		
CURRENT LIABILITIES		
Accounts Payable	\$ 616,296	\$ 527,386
Accrued Payroll and Related Taxes	704,920	654,064
Accrued Leave	187,793	165,790
Current Portion of Separate Funding Arrangements	74,849	74,849
Operating Escrow	1,040,000	1,100,000
Deferred Revenue	139,066	81,516
Total Current Liabilities	2,762,924	2,603,605
NONCURRENT LIABILITIES		
Deferred Compensation Obligations	1,323,359	1,039,029
Separate Funding Arrangements Less Current Portion	588,469	615,837
Total Noncurrent Liabilities	1,911,828	1,654,866
Total Liabilities	4,674,752	4,258,471
NET ASSETS		
Unrestricted	30,056,402	31,750,628
Temporarily Restricted	1,569,167	1,550,472
Total Net Assets	31,625,569	33,301,100
TOTAL LIABILITIES AND NET ASSETS	\$ 36,300,321	\$ 37,559,571

The accompanying notes are an integral part of these financial statements.

OATS, INCORPORATED

STATEMENTS OF ACTIVITIES

For The Years Ended June 30, 2017 and 2016

	June 30, 2017			June 30, 2016		
	Unrestricted	Temporarily Restricted	Total	Unrestricted	Temporarily Restricted	Total
<b>OPERATING SUPPORT AND REVENUES</b>						
Area Agencies on Aging Contracts	\$ 1,720,614	\$ 52,982	\$ 1,773,596	\$ 1,761,528	\$ 52,192	\$ 1,813,720
Federal and State Assistance-Section 5310 Grant	300,000	-	300,000	119,000	-	119,000
Federal and State Assistance-Section 5311 Grant	9,370,512	-	9,370,512	8,400,273	-	8,400,273
Federal and State Assistance-Section 5316 Rural Grant	-	-	-	306,046	-	306,046
Federal and State Assistance-Section 5316 Small Urban Grant	90,515	-	90,515	156,576	-	156,576
Federal and State Assistance-Section 5317 New Freedom Grant	-	-	-	227,863	-	227,863
Federal and State Fuel Excise Tax Refunds	489,432	-	489,432	466,520	-	466,520
Rider Contributions	139,974	-	139,974	147,078	-	147,078
Rider Fares	327,501	-	327,501	314,116	-	314,116
Title XX-Department of Mental Health	879,417	-	879,417	631,587	-	631,587
Special Billings	7,603,360	103,918	7,707,278	7,360,630	98,996	7,459,626
Medicaid Transportation	3,814,468	143,251	3,957,719	3,807,894	142,263	3,950,157
Other	1,743,361	-	1,743,361	1,608,033	-	1,608,033
Total Operating Support and Revenues	26,479,154	300,151	26,779,305	25,307,144	293,451	25,600,595
Net Assets Released from Restrictions	281,456	(281,456)	-	322,519	(322,519)	-
Total Support and Revenues	26,760,610	18,695	26,779,305	25,629,663	(29,068)	25,600,595
<b>OPERATING EXPENSES</b>						
Program Services:						
Direct Expenses	27,233,636	-	27,233,636	26,051,463	-	26,051,463
Supporting Services:						
Indirect Expenses	2,978,886	-	2,978,886	2,928,894	-	2,928,894
Total Operating Expenses	30,212,522	-	30,212,522	28,980,357	-	28,980,357
Excess (Deficiency) of Operating Support and Revenues over Operating Expenses Before Nonoperating Revenue and Capital Additions Revenue and Other Support	(3,451,912)	18,695	(3,433,217)	(3,350,694)	(29,068)	(3,379,762)
<b>NONOPERATING REVENUE</b>						
Investment Income						
Total Nonoperating Revenue	51,935	-	51,935	110,134	-	110,134
Excess (Deficiency) of Operating Support and Revenues over Operating Expenses, plus Nonoperating Revenue, Before Capital Additions Revenue and Other Support	(3,399,977)	18,695	(3,381,282)	(3,240,560)	(29,068)	(3,269,628)
<b>CAPITAL ADDITIONS REVENUE AND OTHER SUPPORT</b>						
Federal Assistance Program Grants	1,156,406	-	1,156,406	723,810	-	723,810
Other Contributions	576,659	-	576,659	1,643,413	-	1,643,413
Gain (Loss) from Sale of Property and Equipment	(24,303)	-	(24,303)	(736)	-	(736)
Other Miscellaneous	(3,011)	-	(3,011)	-	-	-
Total Capital Additions Revenue and Other Support	1,705,751	-	1,705,751	2,366,487	-	2,366,487
Change in Net Assets	(1,694,226)	18,695	(1,675,531)	(874,073)	(29,068)	(903,141)
Net Assets, Beginning of Year	31,750,628	1,550,472	33,301,100	32,624,701	1,579,540	34,204,241
Net Assets, End of Year	30,056,402	1,569,167	31,625,569	31,750,628	1,550,472	33,301,100

The accompanying notes are an integral part of these financial statements.

## OATS, INCORPORATED

STATEMENTS OF CASH FLOWS  
For the Years Ended June 30, 2017 and 2016

	2017	2016
<b>OPERATING ACTIVITIES</b>		
Change in Net Assets	\$ (1,675,531)	\$ (903,141)
Adjustments to Reconcile Change in Net Assets to Cash Provided (Used) by Operating Activities		
Depreciation	4,280,012	4,111,184
Capital Additions Revenue	(1,156,406)	(723,810)
(Gain) Loss on Sale of Property and Equipment	24,303	736
Unrealized (Gain) Loss on Investments	80,703	(11,070)
Adjustments for Changes in Operating Assets and Liabilities:		
Grants, Contracts and Other Receivables	(270,579)	(871,265)
Prepaid Expenses	(153,867)	338,249
Accounts Payable	88,910	(682,833)
Accrued Payroll and Related Taxes	50,856	(14,877)
Deferred Compensation Obligations	(27,368)	(25,523)
Separate Funding Arrangements	284,330	109,987
Accrued Leave	22,003	10,005
Operating Escrow	(60,000)	-
Deferred Revenue	57,550	16,711
Net Cash Provided (Used) by Operating Activities	1,544,916	1,354,353
<b>INVESTING ACTIVITIES</b>		
Bus Match, Building and Equipment Payments	(1,690,872)	(1,936,666)
Net Proceeds (Payments) from the Sale of Property and Equipment	5,108	11,200
Deferred Compensation Plan Investments	(249,225)	(56,592)
Earnings added to Certificates of Deposit	-	(235)
Net (Purchase)/Redemption of Investments	611,018	(1,715,407)
Net Cash Provided (Used) by Investing Activities	(1,323,971)	(3,697,700)
<b>FINANCING ACTIVITIES</b>		
Private Contributions for Bus and Equipment Purchase	2,235	8,610
Capital Grant Receipts	807,522	(339,956)
Net Cash Provided (Used) by Financing Activities	809,757	(331,346)
Net Increase (Decrease) in Cash and Cash Equivalents	1,030,702	(2,674,693)
Cash and Cash Equivalents, Beginning of Year	2,606,550	5,281,243
Cash and Cash Equivalents, End of Year	\$ 3,637,252	\$ 2,606,550
<u>Supplemental Information:</u>		
Non-Cash Transactions:		
Direct purchases for OATS by Resource Providers of Buses and Bus Equipment	\$ 346,649	\$ 1,055,156
<u>Cash paid during the year for:</u>		
Interest	\$ 47,481	\$ 49,326
Income Taxes	\$ -	\$ -

Disclosure of Accounting Policy:

For purposes of the statement of cash flows, OATS considers all highly liquid investments available for current use with an initial maturity of three months or less when purchased to be cash and cash equivalents.

The accompanying notes are an integral part of these financial statements.



OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

Nature of Business

OATS, Incorporated (“OATS”) is a private, not-for-profit corporation that provides transportation services to the general public, with emphasis on senior citizens and individuals with disabilities, in 87 counties in Missouri. OATS receives funding through a variety of purchase-of-service contracts from social service agencies and other entities throughout Missouri, as well as through several State of Missouri and federally funded capital and operating grant programs.

Basis of Accounting

The financial statements have been prepared on the accrual basis of accounting, whereby revenues are reported when earned and expenses are reported when incurred.

Financial Statement Presentation

Financial information regarding activities is presented according to three types of net assets: unrestricted, temporarily restricted, or permanently restricted, based on the existence or absence of donor-imposed restrictions or stipulations. OATS does not have any permanently restricted net assets as of the years ended, respectively. Assets without donor-imposed restrictions, including assets designated for specific use by OATS’ Board of Directors, are included in unrestricted net assets.

Temporarily restricted net assets consist of contributions with donor-imposed stipulations that expire when OATS uses the restricted funds for their designated purpose. Temporarily restricted contributions generally consist of contributions received from County Committees or others that are restricted to be used for the matching portion of buses or bus equipment purchased with federal or other grant funds (see Net Assets footnote 5). Temporarily restricted net assets are reclassified to unrestricted net assets and reported in the Statement of Activities as Net Assets Released from Restrictions when the assets are expended for the restricted purposes. Contributions received with donor-imposed restrictions that are met in the same year in which the contributions are received are classified as unrestricted contributions.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates. The estimate of the proportion of urban expenses to total expenses used in the calculation of available 5311 match was 19% and 19% in 2017 and 2016, respectively.

Cash and Cash Equivalents

For purposes of the statement of cash flows, OATS considers all highly liquid investments available for current use with an initial maturity of three months or less when purchased to be cash and cash equivalents.

OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES: (Continued)

Investments

Investments in marketable securities with readily determinable fair values and all investments in debt securities are reported at their fair values in the Statements of Financial Position. Unrealized gains and losses are included in the change in net assets. Investment income and gains restricted by a donor are reported as increases in unrestricted net assets if the restrictions are met (either by passage of time or by use) in the reporting period in which the income and gains are recognized. Short-term investments consist of debt securities with original maturities of twelve months or less. Long-term investments consist of debt securities with original maturities greater than twelve months.

Contributions

Contributions received, including unconditional promises to give from a donor, are recognized as revenues in the period received at their fair values. Conditional promises to give are recognized as contributions revenue when they become unconditional, that is, when the conditions are substantially met. Contributions are recorded as unrestricted, temporarily restricted, or permanently restricted net assets depending on the existence or nature of any donor restrictions.

Accounts Receivable and Bad Debts

Accounts receivable consists primarily of balances due from federal and state agencies, reported at cost, for services performed. OATS believes its allowance for doubtful accounts balance as of the years ended of \$10,000 and \$10,000, respectively, is sufficient to cover potentially uncollectible accounts.

Company Owned Life Insurance Benefit

OATS is the owner and beneficiary of life insurance policies on the lives of two key officers, with face values of \$1,500,000 and \$1,000,000. These contracts are carried on the Statements of Financial Position at cash value, as determine by the contract issuer. The change in cash value is recorded as an adjustment to premiums paid.

Land, Buildings, and Equipment

Expenditures for land, buildings, and equipment over \$10,000 (\$5,000 for the year ended June 30, 2016), which have a useful life of one year or more are capitalized at cost (and all assets purchased using grant funds are capitalized, regardless of cost). Donations of land, buildings, and equipment are recorded as contributions at their estimated fair value as of the date received. Such donations are reported as unrestricted contributions unless the donor has restricted the donated asset to a specific purpose. Assets donated with explicit restrictions regarding their use and contributions of cash that must be used to acquire property and equipment are reported as restricted contributions. Absent donor stipulations regarding how long those donated assets must be maintained, OATS reports expirations of donor restrictions when the donated or acquired assets are placed in service. OATS reclassifies temporarily restricted net assets to unrestricted net assets at that time.

OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES: (Continued)

Land, Buildings, and Equipment (Continued)

Depreciation is provided at straight-line rates over the following estimated useful lives:

Buildings and improvements	10-40 years
Buses and grant equipment	3-5 years
Office equipment	5-10 years

Accrued Leave

Employee accrued leave varies depending on, among other things, length of employment and position. Liability for accrued leave is recorded for amounts that vest and would be paid in the event of the individual's termination of employment.

Tax Status

OATS is a not-for-profit organization that is exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code, except for taxes on unrelated business income, if any, and is classified as other than a private foundation.

Advertising Costs

OATS expenses advertising costs as it occurs. Expenditures for advertising and marketing during the years ended were approximately \$40,678 and \$20,452, respectively.

Risk Management

OATS purchases insurance coverage for various risks related to liability, errors and omissions; thefts of, damage to, and destruction of assets; injuries to employees; and natural disasters.

During the year ended June 30, 2017, OATS increased its deductible for vehicle coverage to \$50,000 per accident in an effort to mitigate the rising cost of insurance. By increasing the deductible to \$50,000, OATS was able to save \$385,000 in premium dollars, and recognized a net savings, after claim payments, of \$318,490.

OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 2 – CASH, CERTIFICATES OF DEPOSIT, AND INVESTMENTS:

The following is a summary of cash and cash equivalents, certificates of deposit, and investments as of the years ended, respectively:

	2017			
	Cash and Cash Equivalents	Certificates of Deposit	Investments	Total
General Operating	\$ 777,520	\$ -	\$ -	\$ 777,520
Bus Match	215,472	122,612	-	338,084
Capital Replacement	882,910	-	294,477	1,177,387
Contingency	1,761,350	655,655	3,134,092	5,551,097
	<u>\$ 3,637,252</u>	<u>\$ 778,267</u>	<u>\$ 3,428,569</u>	<u>\$ 7,844,088</u>

	2016			
	Cash and Cash Equivalents	Certificates of Deposit	Investments	Total
General Operating	\$ (109,343)	\$ -	\$ -	\$ (109,343)
Bus Match	1,418,607	85,319	-	1,503,926
Capital Replacement	730,395	-	301,353	1,031,748
Contingency	566,891	201,682	4,310,203	5,078,776
	<u>\$ 2,606,550</u>	<u>\$ 287,001</u>	<u>\$ 4,611,556</u>	<u>\$ 7,505,107</u>

Investment income for the years ended was as follows:

	2017	2016
Interest	\$ 132,639	\$ 99,064
Net Realized and Unrealized Gains (Losses)	(80,703)	11,070
	<u>\$ 51,936</u>	<u>\$ 110,134</u>

NOTE 3 – CONCENTRATIONS:

Cash and cash equivalents for the years ended includes demand, savings, money market accounts, certificates of deposit and repurchase account balances. OATS had a total of \$3,417,000 and \$0, respectively, in repurchase accounts with Central Bank of Boone County as of the years ended, respectively.

The repurchase account is an overnight purchase of securities that allows for the collateralization of account balances and allows OATS to receive interest on their cash account balances swept into the repurchase account. OAT's has a perfected interest in each security purchased overnight but agrees to sell that security back to the bank with the opening of the bank the following business day. Balances in the repurchase accounts are not insured or guaranteed by FDIC or any other government agency. Rather, the balance represents an investment in specific government-backed securities as evidenced by individual security CUSIP numbers.

OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 3 – CONCENTRATIONS: (Continued)

For the year ended June 30, 2016, the bank pledged collateral with a market value of \$5,637,568 to secure cash and cash equivalent balances. With this pledged collateral, all balances were fully insured and/or collateralized, with excess collateralization available.

For the year ended June 30, 2017, the bank was no longer legally allowed to provide collateralization of balances nor to provide collateral in excess of FDIC limits. Rather, repurchase accounts are used, as defined above, whereby specific securities are purchased in the name of OATS each day. Market valuations of these securities can be independently verified for each security purchased overnight.

OATS receives a number of federal grants for operating and capital acquisition purposes. Total expenditures during the years ended were \$12,680,515 and \$12,668,230, respectively related to those grant programs, as listed on the Schedule of Expenditures of Federal Awards. OATS also receives significant funding for Medicaid transportation services, as well as individually significant contracts for services from three other entities whereby OATS provides contracted transportation services on behalf of those entities. Significant balances included in Grants and Contracts Receivable are as follows:

Brokers for transportation services for the Medicaid program in the State of Missouri:

	<u>2017</u>	<u>2016</u>
Logisticare	\$ 481,926	\$ 497,067

Federal Portion of Federal Transit Administration (FTA) Section 5311 funding:

MODOT	\$ 1,558,001	\$ 1,056,370
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Direct contract for transportation services:

PLB	\$ 349,493	\$ 399,628
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NOTE 4 – LAND, BUILDINGS, AND EQUIPMENT:

The following is a summary of major classifications of land, buildings and equipment:

	<u>2017</u>	<u>2016</u>
Non-Depreciable		
Land and Improvements	\$ 1,198,457	\$ 1,198,457
Depreciable		
Buildings and Improvements	18,599,673	18,572,453
Buses	33,564,900	33,381,670
Bus Equipment	5,801,590	6,344,044
Furniture, Fixtures, and Equipment	681,196	596,378
	<u>59,845,816</u>	<u>60,093,002</u>
Less: Accumulated Depreciation	<u>(37,659,786)</u>	<u>(35,635,070)</u>
Net	<u>\$ 22,186,030</u>	<u>\$ 24,457,932</u>

Depreciation expense totaled \$4,280,012 and \$4,111,184, respectively, for the years ended.

OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 5 – NET ASSETS:

Net assets were designated and restricted as follows as of the years then ended:

	2017			2016		
Temporarily Restricted:						
Bus Match	\$	-	\$ 1,569,167	\$	-	\$ 1,550,472
Unrestricted:						
Designated for:						
Bus Match		872,240	-		872,240	-
Contingencies		6,188,597	-		6,188,597	-
Undesignated:		809,535	-		809,535	-
Net Book Value of Land, Building and Equipment		22,186,030	-		22,186,030	-
		<u>\$ 30,056,402</u>	<u>\$ 1,569,167</u>		<u>\$ 31,750,628</u>	<u>\$ 1,550,472</u>
			\$31,625,569			\$33,301,100

Bus Matching Funds: Some vehicles and special bus equipment are financed by grant funds from FTA programs administered by the State of Missouri. Depending on the grant, 50% to 100% of the vehicle cost is funded by grant monies and the remaining amount is funded by OATS. OATS receives donations from affiliated County Committees and other organizations which are restricted for financing OATS' portion of the cost of buses and special bus equipment. These donations include both direct contributions and amounts in excess of expenses derived from fundraising activities. Certain contracts for services provide that a portion of contract revenues will be set aside for bus match. Bus match net assets include both unrestricted and temporarily restricted funds. Temporarily restricted net assets consist of donations that have been received from County Committees and other organizations but have not yet been used for bus match. Unrestricted bus match net assets are an accumulation of unrestricted funds that OATS' Board of Directors has designated to be used for bus match and of revenues designated to be used for bus match under the terms of certain contracts for services.

Contingency Reserve: The Board of Directors of OATS periodically designates various net revenues, including interest income, to be set aside in a contingency reserve. The Board of Directors may authorize contingency fund assets to be used to fund general operating and/or capital expenses. The portion of net assets reserved for contingencies is equal to the cash and investments in reserve plus any amounts due to general operating funds from other funds.

In November, 2011, OATS Board of Directors approved a \$1,000,000, transfer from the Contingency Fund to the Bus Match Fund, and an interest-free loan in the amount of \$2,000,000, from the Contingency Fund to the Operating Fund to be repaid monthly in \$35,000, installments until repaid in full. In September, 2015, OATS Board of Directors approved an additional \$1,000,000, loan from the Contingency Fund to the Operating Fund. Beginning October 1, 2015, the total balance of \$1,530,000, was to be repaid at \$42,500, per month for thirty six months. Balances outstanding on the loans as of the years ended were \$637,500 and \$1,147,500, respectively.

OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 6 – FAIR VALUE MEASUREMENTS:

Fair values of assets measured on a recurring basis as of the years ended are as follows:

		<u>Fair Value Measurements at Reporting Date Using</u>		
	<u>Fair Value</u>	<u>Markets for Identical Assets (Level 1)</u>	<u>Other Observable Inputs (Level 2)</u>	<u>Significant Unobservable Inputs (Level 3)</u>
<u>June 30, 2017</u>				
Government Issues	\$ 701,708	\$ 701,708	\$ -	\$ -
Tax Exempt Bonds	1,323,547	1,323,547	-	-
Corporate Bonds	1,403,314	1,403,314		
	<u>\$ 3,428,569</u>	<u>\$ 3,428,569</u>	<u>\$ -</u>	<u>\$ -</u>
<u>June 30, 2016</u>				
Government Issues	\$ 709,530	\$ 709,530	\$ -	\$ -
Tax Exempt Bonds	2,690,448	2,690,448	-	-
Corporate Bonds	1,211,578	1,211,578		
	<u>\$ 4,611,556</u>	<u>\$ 4,611,556</u>	<u>\$ -</u>	<u>\$ -</u>

Financial assets valued using level 1 inputs are based on unadjusted quoted market prices within active markets. Financial assets valued using level 2 inputs are based primarily on quoted prices for similar assets in active or inactive markets. Financial assets valued using level 3 inputs are based primarily using the market approach. There are no financial assets valued using level 2 or level 3 measurements for the years ended.

NOTE 7 – FTA PROGRAM GRANTS:

OATS receives operating assistance under the FTA Section 5310, 5311, Section 5316, and Section 5317, programs through the Missouri Department of Transportation (MODOT). The purpose of the Section 5310, Enhanced Mobility for Seniors and Individuals with Disabilities, is to provide transportation services to seniors and individuals with disabilities. The purpose of the Section 5311-Public Transportation Non-Urbanized Areas program is to provide transportation service to the general public who reside in rural areas. The purpose of the Section 5316 Job Access Reverse Commute (JARC) Rural and Small Urban programs is to provide transportation services to connect welfare and low-income persons to employment and support services.

The purpose of the Section 5317 New Freedom Grant program was to provide new public transportation services and new public transportation alternatives beyond those required by the American with Disabilities Act (ADA) of 1990, that are designed to assist individuals with disabilities. Federal and State of Missouri awards under each of these programs fund the lesser of half of the annual operating deficit for the related services or the maximum grant award for the fiscal year. The Section 5317 operations grant was spent down completely during the year ended June 30, 2016, and the Section 5317 capital grant was spent down completely during the year ended June 30, 2017. Services previously included in the Section 5317 grant will be included in the Section 5310 grant in the future.



OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 7 – FTA PROGRAM GRANTS: (Continued)

MODOT regulations permit a limited amount of local funds to be excluded from revenues otherwise required to be applied against Section 5311 eligible expenses, provided the amount excluded is determined to be needed for future operations or capital improvements and is deposited into escrowed funds to be used for such future needs. OATS has set aside (used) escrows of \$(60,000) and \$0 for operations during the years ended, respectively, (for a cumulative operating escrow set aside of \$1,040,000 and \$1,100,000, respectively, as of the years ended), and \$300,152 and \$293,451, for capital improvements, respectively, for the years ended. Total grants expended for capital improvements were \$1,537,713 and \$1,988,412 for the years ended, respectively.

NOTE 8 – RETIREMENT PLANS:

Effective October 1, 2005, OATS adopted an Internal Revenue Code (IRC) Section 457(b) retirement plan for management staff. Under the plan, OATS makes additions to individual deferred compensation accounts of participating management staff, which accounts are fully vested. OATS has funded in the past, and intends to continue funding, annual contributions to a participating employee's account 12 years prior to the employee's normal retirement date. However, contributions are at the discretion of the Board of Directors. Employees cannot make contributions to their accounts. The balance in a participant's accounts will be paid out over 20 years to the participant or named beneficiary upon retirement or other termination of service, including due to death or disability. Contributions of approximately \$166,304 and \$126,000, respectively, to participant accounts made during the years ended have been recorded as an expense and a liability in the accompanying financial statements.

In addition to the above, on October 1, 2005 OATS adopted separate funding arrangements with two management staff. OATS intends to provide payments for 20 years to each individual upon retirement, termination of service due to death or disability, or termination of service upon obtaining eligibility for benefits by obtaining the required combination of age and term of employment with OATS. Both of these individuals retired from OATS as of June 30, 2012. These were unfunded arrangements and payments were at the discretion of the Board of Directors who had the right to decide on an annual basis whether to make payments, reduce payments, or eliminate payments. Since it was the intent of OATS to provide the maximum benefits described in the arrangements, it recorded an expense and a liability based on the estimated net present value of the full benefits available under these arrangements. When payments were made, a portion reduced the liability and the remainder was expensed as interest in the current period. OATS paid benefits in the amounts of \$74,849 and \$74,849 during the years ended, respectively, with \$47,481 and \$49,326, respectively, of the payments, allocated to interest expense.

Liabilities of \$1,323,359 and \$1,039,029, respectively, have been recorded as Deferred Compensation Obligations and \$663,318 and \$690,686, respectively, have been recorded as Separate Funding Arrangements, current and noncurrent, in the accompanying Statements of Financial Position as of the years then ended.

OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 8 – RETIREMENT PLANS: (Continued)

OATS sponsors a salary reduction contribution plan pursuant to Section 403(b) of the Internal Revenue Code (“IRC”), covering substantially all employees. Eligible employees may elect to contribute a specific dollar amount of their compensation per pay period up to the maximum annual amount allowed by the IRC. Participants who have attained age 50 before the end of the plan year are eligible to make catch-up contributions. Also, participants with 15 years of service may make catch-up contributions, as allowed by the IRC. Additionally, participants are permitted to make rollover contributions to the plan. OATS makes no contributions to the plan.

Management staff has had the option of participating in a Supplemental Executive Retirement Plan (SERP). Under the SERP, OATS has contributed 50% of a participant’s contribution, not to exceed 5% of the participant’s salary, and also contributes additional amounts based on the participant’s years of services with OATS. Employees are fully vested after two years of participation in the plan. No contributions have been made to the plan since adoption of the plans on October 1, 2005, that are discussed in above paragraphs.

NOTE 9 – CONTRIBUTED SERVICES:

A substantial number of unpaid volunteers have made significant contributions of their time to help defray the cost of OATS’ program and support services. These in-kind contributions are not reflected in these financial statements since these services do not meet the criteria for recognition. They have an estimated fair value of \$2,222,703 and \$2,088,708, respectively, for the years ended.

NOTE 10 – OPERATING LEASES:

OATS leases office space at various locations. The following is a schedule of future minimum lease payments under noncancellable leases:

	Year Ended		Year Ended
	<u>2017</u>		<u>2016</u>
2017	\$ -	2017	\$ 47,475
2018	56,532	2018	47,880
2019	42,360	2019	42,360
2020	29,567	2020	29,375
2021	18,000	2021	18,000
2022	18,000	2022-2026	92,400
2023-2027	93,500	2027-2031	99,760
2028-2032	101,483	2032-2034	63,240
2033-2034	42,160		<u>\$ 440,490</u>
	<u>\$ 401,602</u>		

Lease and rental expense, including noncancellable operating leases and rent set-aside payments (amounts allocated, or set-aside, for repairs and maintenance to OATS’ owned properties) totaled \$218,762 and \$281,911 for the years then ended, respectively. The rent set-aside portion totaled \$178,200 and \$237,600, respectively.

OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 11 – CONTRACTS UNDER TITLE III AND SOCIAL SERVICE BLOCK GRANTS:

Area Agencies on Aging contracts funded by the U.S. Department of Health and Human Services Title III and Social Service Block Grant programs are subject to audit by the awarding agencies at the option of the agencies.

NOTE 12 – COMMITMENTS AND CONTINGENCIES:

Legal contingencies: From time to time claims are asserted against OATS related to vehicular accidents. Attorneys representing OATS' liability insurance carrier defend against such claims. No provision has been made in the accompanying financial statements for any potential loss in connection with outstanding claims because management feels any settlements or judgments against OATS will not exceed the insurance policy limits.

Grants: OATS has been awarded various grants that have either not been drawn on or have only been partially drawn. Grants are cost-reimbursement grants, so requests for grant reimbursements will be recorded as grant expenses are incurred. Remaining balance on approved grants is approximately \$59,573 and \$130,394, respectively, for the years ended. Matching is required on certain portions of the grants. Estimates of match requirements are \$14,893 and \$32,598, respectively, for the years ended. Estimates of additional expense commitments as of the years ended, to be paid from Contingency Funds, are approximately \$50,000 and \$75,000, respectively.

OATS self-insures the comprehensive and collision coverage for damage to its automobiles. Passenger injury, bodily injury and other liabilities related to automobile and other accidents are insured by commercial insurance policies.

NOTE 13 – UNCERTAIN TAX POSITIONS:

OATS is exempt from Federal and State income taxes under Section 501(c)(3) of the Internal Revenue Code and applicable state law. The accounting standard on accounting for uncertainty in income taxes addresses the determination of whether tax benefits claimed or expected to be claimed on a tax return should be recorded in the financial statements. Under that guidance, OATS may recognize the tax benefit from an uncertain tax position only if it is more likely than not that the tax position will be sustained on examination by taxing authorities based on the technical merits of the position. Examples of tax positions include the tax-exempt status of OATS and various positions related to the potential sources of unrelated business taxable income (UBIT). OATS was not subject to UBIT for the years ended.

OATS files form 990 in the U.S. federal jurisdiction. OATS is generally no longer subject to examination by the Internal Revenue Service three years after the forms were filed.

OATS, INCORPORATED  
NOTES TO THE FINANCIAL STATEMENTS  
FOR THE YEARS ENDED JUNE 30, 2017 AND 2016

NOTE 14 – SUBSEQUENT EVENTS:

OATS has evaluated subsequent events through November 7, 2017, the date which the financial statements were available to be issued, as follows:

Subsequent to year end, OATS divided the East region into two regions. Going forward, the East Region will include St. Louis and St. Charles Counties, and the new Mideast Region will include Franklin and Jefferson Counties. OATS also hired an additional Regional Director.

Subsequent to year end, OATS received new Intercity Division funding of approximately \$350,000. This funding a separate grant which will be reported in the 5311 program.

Effective July 1, 2017, OATS absorbed the operations of the Franklin County Transportation Council, Inc. (“FCTC”). OATS had been managing the operation for over twenty years. Effective with the transition, all FCTC drivers became OATS employees and the assets became OATS assets.

# SUPPLEMENTARY INFORMATION

OATS, INCORPORATED

SCHEDULES OF OPERATING EXPENSES

For the Years Ended June 30, 2017 and 2016

	2017	2016
Direct Expenses		
Salaries and Employee Benefits - Drivers and Dispatchers	\$ 14,094,630	\$ 13,414,402
Drivers' Expense and Operating Supplies	498,963	330,124
Gasoline	3,001,093	2,887,824
Repairs and Maintenance	2,395,351	2,389,119
Insurance - Vehicle and Other	1,178,054	1,501,287
Depreciation	3,076,851	2,787,605
Salaries and Employee Benefits - Regional Offices	1,889,420	1,713,754
Other Regional Offices Expenses	1,099,274	1,027,348
Total Direct Expenses	<u>27,233,636</u>	<u>26,051,463</u>
Indirect Expenses		
Home Office Compensation:		
Home Office Salaries	965,428	874,802
Home Office Fringe Benefits	142,497	127,747
Deferred Compensation	207,146	202,327
Home Office Expense and Other	334,215	300,485
Building Maintenance and Utilities	126,439	99,954
Depreciation	1,203,161	1,323,579
Total Indirect Expenses	<u>2,978,886</u>	<u>2,928,894</u>
Total Operating Expenses	<u>\$ 30,212,522</u>	<u>\$ 28,980,357</u>

OATS, INCORPORATED

SCHEDULE OF SECTION 5316-JARC SMALL URBAN TRANSPORTATION GRANTS

For the Year Ended June 30, 2017

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EXPENSES

Total Section 5316-JARC Small Urban Transportation Eligible Expenses	\$ 190,469
Less: Fares	<u>(9,439)</u>
Total Eligible Section 5316-JARC Small Urban Transportation Expenses	<u>181,030</u>

REVENUES

Federal Section 5316-MoDOT Small Urban Federal Project #TM374048OA2 passed through from:

Missouri Department of Transportation

(Grant MO-37-X048)

90,515

Total Revenues Available to Cover Eligible Expenses

90,515

Deficiency of Revenues

\$ 90,515

Matching:

Medicaid Transportation Billings

\$ 90,515

Total Matching

\$ 90,515



SCHEDULE OF SECTION 5311-PUBLIC TRANSPORTATION NON-URBANIZED AREAS GRANTS  
For The Year Ended June 30, 2017

REVENUES	Adjustments												Total To Apply Against Expenses
	Total	Section 5311	5310 Rev & Match	5317 Rev. & Match	5316 Rev & Match	Donated Services	Interest/ Escrow Rest Funds	Non-Transit Revenues	Urban Transit	5311 20% Match	5311 50% Match	Escrowed Operations Funds	
Area Agencies on Aging Contracts	\$ 1,773,596	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,773,596)	\$ -	\$ -
Federal and State Assistance - Section 5310 Grant	300,000	-	(300,000)	-	-	-	-	-	-	-	-	-	-
Federal and State Assistance - Section 5311 Grant	9,370,512	(9,000,000)	-	-	-	-	-	-	-	-	-	-	-
Federal and State Assistance - Section 5316 Sm Urban	90,515	-	-	-	(90,515)	-	-	-	-	-	-	-	-
Federal/State Fuel Excise Tax Refunds	489,432	(239,840)	-	-	-	-	-	-	-	-	-	-	-
Rider Contributions	139,974	-	-	-	-	-	-	-	-	-	-	-	-
Rider Fares	327,501	(308,470)	(9,528)	-	(9,439)	-	-	-	-	-	-	-	64
Title XX - Department of Mental Health	879,417	-	-	-	-	-	-	-	-	-	-	-	-
Special Billings	7,707,278	-	-	-	-	-	-	-	-	-	(879,417)	-	-
Medicaid Transportation	3,957,719	-	(300,000)	-	-	-	-	-	(5,740,379)	-	(1,809,999)	(156,900)	-
MEHTAP Grants	90,625	-	-	-	(90,515)	-	-	-	(204,713)	(2,403,379)	(143,252)	(156,900)	815,860
Other - Local Cash and Miscellaneous Non-Transit	1,652,736	-	-	-	-	-	-	-	-	-	-	-	90,625
Interest Income	51,935	-	-	-	-	-	-	(189,718)	(121,674)	-	-	-	1,341,344
Donated Services	-	-	-	-	-	-	(40,418)	-	-	-	-	-	11,517
Total	\$ 26,831,240	\$ (9,548,310)	\$ (609,528)	\$ -	\$ (190,469)	\$ 1,928,896	\$ (230,136)	\$ (121,674)	\$ (5,740,379)	\$ (204,713)	\$ (8,795,287)	\$ (300,152)	\$ 3,019,488

Revenues Available for Escrow:	
State Portion of Section 5311 Grant	\$ 370,512
Title XX - Department of Mental Health	879,417
Special Billings, Rural Transit Operations	1,966,899
Medicaid Transportation	3,567,204
Other - Local Cash/Contributions	1,334,896
Interest Income	11,517
Donated Services	1,928,896
Total Available for Escrow	\$ 10,059,341

Eligible Escrow (10% Operations, 15% Capital)	\$	1,005,934	\$	1,508,901
Amount Set-Aside during the Fiscal Year				300,152
Remaining Amount to be Escrowed				-
Total Amount Escrowed	\$	-	\$	300,152

OATS, INCORPORATED

SCHEDULE OF SECTION 5310-ENHANCED MOBILITY FOR SENIORS AND  
INDIVIDUALS WITH DISABILITIES ("EMSID")

For the Year Ended June 30, 2017

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EXPENSES

Total Section 5310 EMSID Expenses	\$ 609,528
Less: Fares	(9,528)

Total Eligible Section 5317 New Freedom Expenses	<u>600,000</u>
--	----------------

REVENUES

Federal Section 5310 EMSID Federal Project #TM164052OA2 passed through from Missouri Department of Transportation (Grant MO-16-X052, Amended)	238,672
---	---------

Federal Section 5310 EMSID Federal Project #TM164055OA1 passed through from Missouri Department of Transportation Project #MO-2016-022 (Grant MO-16-X055)	<u>61,328</u>
---	---------------

Total Revenues Available to Cover Eligible Expenses	<u>300,000</u>
---	----------------

Deficiency of Revenues	<u><u>\$ 300,000</u></u>
------------------------	--------------------------

Matching:

Medicaid Transportation Billings	<u>\$ 300,000</u>
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Total Matching	<u><u>\$ 300,000</u></u>
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# FEDERAL COMPLIANCE SECTION

## OATS, INCORPORATED

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
For the Year Ended June 30, 2017

Federal Grantor Pass-Through Grantor Program Title	Federal CFDA Number	Pass Through Identifying Number	Expenditures	Capital Acquisitions
<u>U.S. Department of Transportation</u>				
<u>Federal Transit Authority</u>				
Provided by Missouri Department of Transportation				
Federal Transit Capital Improvement Grants	20.500	MO-04-0121-00	\$ -	\$ 47,815
Total Federal Transit Cluster			-	47,815
Public Transportation for Nonurbanized Areas	20.509	MO-18-X036	-	665,861
Public Transportation for Nonurbanized Areas	20.509	MO-18-X037	9,000,000	-
Program Income - Federal Gas Tax Refunds	20.509	MO-18-X037	239,840	-
Total Nonurbanized Area Formula Program			9,239,840	665,861
Public Transportation for Nonurbanized Areas	20.509	MO-34-X001	-	354,010
Transit Services Programs Cluster				
Job Access - Reverse Commute Grant (5316-Small Urban)	20.516	MO-37-X048-01	90,515	-
Elderly Individuals and Individuals with Disabilities (EW)	20.513	MO-2016-024	-	88,072
Elderly Individuals and Individuals with Disabilities	20.513	MO-16-X045-00	-	14,420
Elderly Individuals and Individuals with Disabilities (OTO)	20.513	MO-16-X049-00	-	130,435
Elderly Individuals and Individuals with Disabilities	20.513	MO-16-X052-01	238,672	-
		MO-16-X055-00 &		
Elderly Individuals and Individuals with Disabilities	20.513	(MO-2016-022)	61,328	151,798
New Freedom	20.521	MO-57-X002-01	-	85,302
Total Transit Services Programs Cluster			390,515	470,027
<u>U.S. Department of Health and Human Services (HHS)</u>				
Passed through the Missouri Department of Health and Senior Services--Show Me Healthy Women & BCCP Programs	93.919	DH1301B0001	3,570	-
Provided by the State of Missouri/Area Agencies on Aging Under Purchase of Services Contracts				
Social Service Block Grant	93.667			
Mid America Regional Council			29,547	-
Total Social Services Block Grants			29,547	-
Aging Cluster				
Special Programs for the Aging-Title III, Part B- Grants of Supportive Services and Senior Centers:	93.044			
Care Connection Area Agency on Aging			113,730	-
Mid-East Area Agency on Aging			571,957	-
Northeast Area Agency on Aging			135,570	-
Vantage Point Area Agency on Aging			20,235	-
Senior Age Area Agency on Aging			220,000	-
Mid America Regional Council			150,093	-
			1,211,585	-
Special Programs for the Aging-Title III, Part C- Nutrition Services:	93.045			
Mid America Regional Council			108,924	-
			108,924	-

## OATS, INCORPORATED

## SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

For the Year Ended June 30, 2017

(Continued)

Federal Grantor Pass-Through Grantor Program Title	Federal CFDA Number	Pass Through Identifying Number	Expenditures	Capital Acquisitions
Program income earned and expended on behalf of the Area Agencies on Aging in accordance with the terms of the Special Programs for the Aging - Title III, Part B-Grants for Supportive Services and Senior Citizens:				
Program Income-rider contributions:	93.044			
Care Connection Area Agency on Aging			\$ 32,068	\$ -
Northeast Area Agency on Aging			48,310	-
Vantage Point Area Agency on Aging			12,452	-
Senior Age Area Agency on Aging			43,795	-
Mid America Regional Council			1,119	-
			<u>137,744</u>	<u>-</u>
Mid America Regional Council	93.045		21,077	-
Total Aging Cluster			<u>1,479,330</u>	<u>-</u>
Total Federal Awards			<u>\$ 11,142,802</u>	<u>\$ 1,537,713</u>

Note 1: Basis of Presentation

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal activity of OATS, Inc. under programs of the federal government for the year ended June 30, 2017. The information in the Schedule is presented in accordance with the requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Costs Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Because the Schedule presents only a selected portion of the operations of OATS, Inc., it is not intended to and does not present the financial position, change in net assets, or cash flows of OATS, Inc.

Note 2: Summary of Significant Accounting Policies

Expenditures reported on the Schedule are reported on the full accrual basis of accounting. Such expenditures are recognized following the cost principles contained in the Uniform Guidance, wherein certain types of expenditures are not allowable or are limited as to reimbursement. Negative amounts shown on the Schedule represent adjustments or credits made in the normal course of business to amounts reported as expenditures in prior years. OATS, Inc. has elected not to use the 10-percent de minimis indirect cost rate as allowed under the Uniform Guidance.



INDEPENDENT AUDITOR’S REPORT ON INTERNAL CONTROL OVER FINANCIAL  
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF  
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING  
STANDARDS*

To the Board of Directors of  
OATS, Incorporated  
Columbia, Missouri:

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of OATS, Incorporated (a nonprofit organization) (“OATS”), which comprise the statement of financial position as of June 30, 2017, and the related statement of activities and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated November 7, 2017.

*Internal Control Over Financial Reporting*

In planning and performing our audit of the financial statements, we considered OATS’ internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of OATS’ internal control. Accordingly, we do not express an opinion on the effectiveness of OATS’ internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity’s financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

### *Compliance and Other Matters*

As part of obtaining reasonable assurance about whether OATS' financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

### *Purpose of this Report*

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Graves and Associates,  
CPAs, LLC

GRAVES AND ASSOCIATES, CPAs, LLC  
Jefferson City, Missouri

November 7, 2017





INDEPENDENT AUDITOR’S REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM  
AND ON INTERNAL CONTROL OVER COMPLIANCE REQUIRED BY THE UNIFORM  
GUIDANCE

To the Board of Directors of  
OATS, Incorporated  
Columbia, Missouri:

*Report on Compliance for Each Major Federal Program*

We have audited OATS, Incorporated’s (“OATS”) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of OATS’s major federal programs for the year ended June 30, 2017. OATS’ major federal programs are identified in the summary of auditor’s results section of the accompanying schedule of findings.

*Management’s Responsibility*

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

*Auditor’s Responsibility*

Our responsibility is to express an opinion on compliance for each of OATS’ major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (the Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about OATS’ compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of OATS’ compliance.

### *Opinion on Each Major Federal Program*

In our opinion, OATS complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2017.

### *Report on Internal Control Over Compliance*

Management of OATS is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered OATS' internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of OATS' internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

*Graves and Associates,  
CPAs, LLC*

GRAVES AND ASSOCIATES, CPAs, LLC  
Jefferson City, Missouri

November 7, 2017

OATS, INCORPORATED  
SCHEDULE OF FINDINGS 2 CFR SECTION 200.515  
FOR THE YEAR ENDED JUNE 30, 2017

Section I - Summary of Auditor's Results

Financial Statements:

Type of Financial Statement Opinion: Unmodified

Internal Control Over Financial Reporting (GAGAS):

- Material weakness(es) reported? No
- Significant deficiency(ies) reported? No
- Noncompliance material to financial statements noted (GAGAS)? No

Federal Awards:

Internal Control Over Major Programs:

- Material weakness(es) reported? No
- Significant deficiency(ies) reported? No

Type of Opinion On Compliance for Major Programs: Unmodified

Are there any reportable findings under 2 CFR Section 200.516(a)? No

Identification of major programs:

<u>CFDA Number(s)</u>	<u>Name of Federal Program or Cluster</u>
20.509	Nonurbanized Area Formula Program
20.513/20.516/20.521	Transit Services Program Cluster

Dollar Threshold: Type A/B Programs: \$750,000

Low Risk Auditee under 2 CFR Section 200.520? Yes

OATS, INCORPORATED  
SCHEDULE OF FINDINGS 2 CFR SECTION 200.515  
FOR THE YEAR ENDED JUNE 30, 2017

Section II - Financial Statement Findings

No matters were reported.

Section III - Federal Award Findings and Questioned Costs

No matters were reported.

**Revenue and Expenses**

Fiscal Year 2016

Source: Self-reported by organization

**Revenue**

---

Contributions, Grants, Gifts	\$7,360,630
Program Services	\$17,705,172
Membership Dues	\$0
Special Events	\$0
Other Revenue	\$0
<b>Total Revenue</b>	<b>\$25,065,802</b>

---

**Expenses**

---

Program Services	\$19,040,796
Administration	\$1,524,191
Fundraising	\$0
Payments to Affiliates	\$0
Other Expenses	\$4,500,815
<b>Total Expenses</b>	<b>\$25,065,802</b>

---

**Balance Sheet**

Fiscal Year 2016

Source: Self-reported by organization

**Assets**

---

<b>Total Assets</b>	<b>\$37,559,571</b>
---------------------	---------------------

---

**Liabilities**

---

<b>Total Liabilities</b>	<b>\$4,258,471</b>
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**Fund  
Balance  
(EOY)**

---

<b>Net Assets</b>	<b>\$33,301,100</b>
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**Our Results****Number of clients who have access to transportation to job site****TOTALS BY YEAR**

2016	595,535
2015	587,391
2014	563,812

**POPULATION(S) SERVED**

Economically disadvantaged, low-income, and poor people

**RELATED PROGRAM**

Provide transportation to people who live in rural areas

**CONTEXT NOTES**

OATS tracks the number of trips we provide to get people to work. These numbers are tracked daily and represent the number of trips made for employment only.



---

## Mission

The mission of OATS is to provide reliable transportation for transportation disadvantaged Missourians so they can live independently in their own communities.

---

## Notes from the Nonprofit

Received an award from the Community Transportation Association of America at the May 2016 Annual Expo. The award was the Rural Community Transportation System of the Year.

Received the MPTA Transit Champions Award at the MO Public Transit Association Meeting August, 2016.

Recognized by the Missouri Community Service Commission for our tireless service and impact on Missouri citizens in 2017.

---

### Ruling Year

1974

---

### EIN

43-1016961

---

### Principal Officer

Ms. Dorothy Yeager

---

### BRIDGE Number

7062250942

---

### Main Address

2501 Maguire Blvd. Ste. 101  
Columbia, MO 65201 USA

[Show More Contacts](#)

---

### Cause Area (NTEE Code)

Transportation (Free or Subsidized)  
(P52)

Senior Centers/Services (P81)

Developmentally Disabled  
Services/Centers (P82)

---

### Keywords

transportation,  
Missouri, rural, health, elderly,  
disabled

## Oats, Inc.

Also Known As: OATS  
2501 Maguire Blvd. , Ste. 101  
Columbia, MO 65201

*Institutional funders should note that an organization's inclusion on guidestar.org does not satisfy IRS Rev. Proc. 2011-33 for verifying charitable status and identifying supporting organizations.*

### Contact Information

#### Oats, Inc.

Also Known As: OATS

#### Physical Address:

2501 Maguire Blvd.  
Ste. 101  
Columbia, MO 65201 8252

#### Web Address:

[www.oatstransit.org](http://www.oatstransit.org)

#### Telephone:

573- 443-4516

#### Facsimile:

573- 8741914

#### Contact:

Ms. Dorothy Yeager

[dyeager@oatstransit.org](mailto:dyeager@oatstransit.org)

Executive Director  
573- 443-4516 tel



This organization has a Platinum level GuideStar Nonprofit profile, demonstrating this organization's commitment to transparency.

[Learn more about the GuideStar Nonprofit Profile](#)

### At A Glance

#### Formerly Known As:

#### Category (NTEE):

P Human Services /P52 Transportation (Free or Subsidized)  
P Human Services /P81 Senior Centers/Services  
P Human Services /P82 Developmentally Disabled Services/Centers

#### Areas Served:

Local

#### Year Founded:

1971

### Mission Statement

The mission of OATS is to provide reliable transportation for transportation disadvantaged Missourians so they can live independently in their own communities.

### How This Organization Is Funded

- Federal Funding (5310,5311,5316)
- Special Agreements & Contracts
- Medicaid

### Financial Data

[FAQs on Financial Data](#) | [Digitizing IRS Form 990 Data](#)

Financial Data For Fiscal Year Ending 2016

To see financial data from prior years, subscribe to [GuideStar Premium](#).

### Revenue and Expenses (GuideStar Nonprofit Profile, February 2018)

Fiscal Year Starting July 1, 2015  
Fiscal Year Ending June 30, 2016

#### Revenue

Contributions	\$7,360,630
Program Services	\$17,705,172
Membership Dues	—
Special Events	—
Other	—
<b>Total Revenue</b>	<b>\$25,065,802</b>

#### Expenses

Program Services	\$19,040,796
------------------	--------------

Administrative Costs	\$1,524,191
Payments To Affiliates	—
<b>Total Expenses</b>	<b>\$25,065,802</b>

#### Assets & Liabilities

Total Assets	\$37,559,571
Total Liabilities	\$4,258,471
<b>Net Assets or Fund Balance at the end of year</b>	<b>\$33,301,100</b>

### Balance Sheet (Form 990)

Subscribe to [GuideStar Premium](#) to view this information, if available.

### Forms 990 Received from the IRS

- [2017 Form 990](#)
- [2016 Form 990](#)
- [2015 Form 990](#)

### Forms 990 Provided by the Nonprofit

### Financial Statements

Subscribe to [GuideStar Premium](#) to view this information, if available.

### Annual Reports

- [2017 Annual Report](#)
- [2016 Annual Report](#)

### Formation Documents

Subscribe to [GuideStar Premium](#) to view this information, if available.

### Program: (GuideStar Nonprofit Profile, February 2018)

Budget: —

Category:

Population Served:

Program Description:

By increasing mobility, OATS makes it possible for people to live active, independent lives in their own community. People with disabilities and seniors are the primary focus although the general public is served in rural areas. OATS offers transportation for non-emergency medical appointments, dialysis treatments, employment, essential shopping, business and nutrition sites.

Program Long-Term Success:

Provided 1,523,257 one-way trips.

Program Short-Term Success:

Program Success Monitored by:

Program Success Examples:

### Program: Provide transportation to people who live in rural areas (GuideStar Nonprofit Profile, February 2018)

Budget: —

Category:

Population Served:

Program Description:

Program Long-Term Success:

Program Short-Term Success:

Program Success Monitored by:

Program Success Examples:

### Program: Provide transportation to the elderly (GuideStar Nonprofit Profile, February 2018)

Budget: —

Category:

Population Served:

Program Description:

Program Long-Term Success:

Program Short-Term Success:

Program Success Monitored by:

Program Success Examples:

### Program: Provide transportation to people with disabilities (GuideStar Nonprofit Profile, February 2018)

Budget: —

Category:

Population Served:

Population Served:  
Program Description:  
Program Long-Term Success:  
Program Short-Term Success:  
Program Success Monitored by:  
Program Success Examples:

#### Program: Provide transportation to Medicaid recipients (GuideStar Nonprofit Profile, February 2018)

Budget: --  
Category:  
Population Served:  
Program Description:  
Program Long-Term Success:  
Program Short-Term Success:  
Program Success Monitored by:  
Program Success Examples:

#### Chief Executive (GuideStar Nonprofit Profile, February 2018)

**Ms. Dorothy Yeager**

**Term:**

Since July 2012

**Chief Executive Profile:**

Dorothy Yeager has been an integral part of OATS, Inc. since 1987 when she started as the Communications Assistant. She was later promoted to Administrative Services Director, and then later Assistant Executive Director. In 2012 Dorothy was appointed by the state Board of Directors to fill the position of Executive Director who had retired. Dorothy is a member of the Board of Directors of the Missouri Public Transit Association; a member of the Community Transportation Association of America; and is also a Certified Community Transit Manager (CCTM) which is a national certification program for transit professionals. This activity in transit at both the state and national level has served OATS well, making it one of the most recognized community transit systems in the nation.

#### Board Chair (GuideStar Nonprofit Profile, February 2018 )

**Nolan G. McNeill**

**Term:**

Since Jan 1986

#### Board Co-Chair (GuideStar Nonprofit Profile, February 2018 )

**Mel Sundermeyer**

**Term:**

Since July 2006

#### Board of Directors (GuideStar Nonprofit Profile, February 2018)

Name	Institution Affiliation
John Griesheimer	Presiding Commissioner, Franklin County MO
Nolan G. McNeill	Retired
Donald Reed	Retired
Krissy Sinor	Springfield/Green County Library
Betty Smith	Retired
Donna Turnbough	Walmart Distribution Center
Elle Wasson Duggan	Retired United Way Director

#### Board Leadership Practices (GuideStar Nonprofit Profile, February 2018)



<b>Board Orientation &amp; Education</b> Does the board conduct a formal orientation for new board members and require all board members to sign a written agreement regarding their roles, responsibilities, and expectations?	<b>Yes</b>
<b>CEO Oversight</b> Has the board conducted a formal, written assessment of the chief executive within the past year?	<b>Yes</b>
<b>Ethics &amp; Transparency</b> Have the board and senior staff reviewed the conflict-of-interest policy and completed and signed disclosure statements within the past year?	<b>Yes</b>
<b>Board Composition</b> Does the board ensure an inclusive board member recruitment process that results in diversity of thought and leadership?	<b>Yes</b>



**Board Performance**

Has the board conducted a formal, written self-assessment of its performance within the past three years?

No

**Officers for Fiscal Year** (Form 990)Subscribe to [GuideStar Premium](#) to view this information, if available.**Highest Paid Employees & Their Compensation** (Form 990)Subscribe to [GuideStar Premium](#) to view this information, if available.**Organizational Demographics**

This section is not a requirement for any of the Nonprofit Profile participation levels - Bronze, Silver, or Gold. Instead, it is a voluntary questionnaire that empowers organizations to share information on the demographics of who works in and leads organizations. To protect the identity of individuals, we do not display sexual orientation or disability information for organizations with fewer than 15 staff.

Any values displayed in this section are percentages of the total number of individuals in each category (e.g. 20% of all Board members for X organization are female).

**Self-Identified Gender of Board & Staff**

	Board Members	Staff Members full time	Staff Members part time	Senior Staff full time	Volunteers
Female	67%	41%	42%	75%	75%
Male	33%	37%	58%	25%	25%
Transgender/Unspecified non-conforming	0%	0%	0%	0%	0%
Individuals decline to state	0%	0%	0%	0%	0%

**Self-Identified Sexual Orientation of Board & Staff**

	Board Members	Staff Members full time	Staff Members part time	Senior Staff full time	Volunteers
Lesbian, gay, bisexual	not collected	not collected	not collected	not collected	not collected
Individuals decline to state	not collected	not collected	not collected	not collected	not collected

**Strategies to Address Diversity**

We track retention of staff, board, and volunteers across demographic categories

We have a diversity plan

**Organization's Comments/Insights on Demographic Profile**

OATS has an Affirmative Action Plan as required by many of our funding sources, and every year fully reports of EEO data. We track protected veterans and individuals with disabilities on staff. We also have a Title VI Plan in place which is updated every three years.



## WHO WE ARE

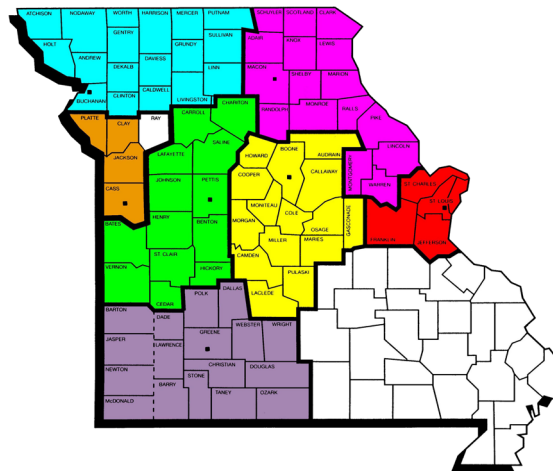
Thousands of your neighbors and family members throughout Missouri have been assisted by OATS, Inc. since 1971.

OATS makes a difference for those with transportation disadvantages, including:

- People who live in rural areas regardless of age or income.
- People who are senior citizens.
- People with disabilities.
- People who use service animals which are permitted on all OATS vehicles.\*
- People on Medicaid who need transportation for non-emergency medical appointments.
- People who use oxygen tanks and other necessary medical equipment. \*\*

*\*Service animals presenting a danger to the driver or other passengers must be confined or constrained.*

*\*\*All medical equipment must be secured to prevent injury in case of an accident or sudden stop.*



**OATS Home Office**  
(573) 443-4516  
1-888-875-6287

**Midwest Region**  
(660) 827-2611  
1-800-276-6287

**West Region**  
816-380-7433  
1-800-480-6287

**Southwest Region**  
417-887-9272  
1-800-770-6287

**East Region**  
314-888-6720  
1-800-201-6287

**Northeast Region**  
660-395-3041  
1-800-654-6287

**Mid-MO Region**  
573-449-3789  
1-800-269-6287

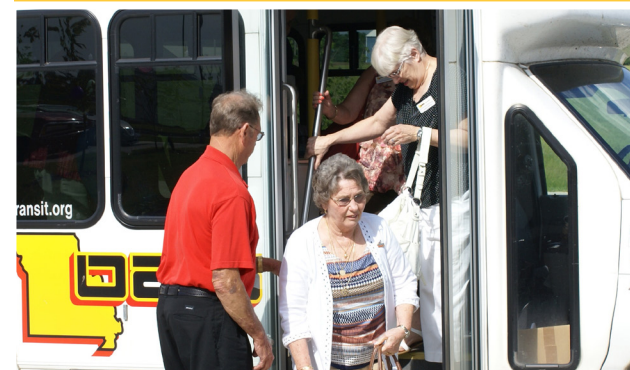
**Northwest Region**  
816-279-3131  
1-800-831-9219



To schedule a ride contact your local office, or visit our website at [www.oatstransit.org](http://www.oatstransit.org)



*Serving Missouri since 1971*



*Ensuring safe, reliable and courteous transportation for Missourians!*

[www.oatstransit.org](http://www.oatstransit.org)



# ECONOMIC IMPACT

Public transportation is good for our local economy.

## HEALTH CARE

Chronic diseases such as cancer, heart disease, diabetes and others are responsible for 7 out of 10 deaths among Americans each year and account for 75% of the nation's health care spending. Those who are able to visit their doctor for preventive healthcare can often avoid these more serious conditions.

## EMPLOYMENT

OATS takes people to and from work who might not otherwise be able to maintain employment, and this is good for the local economy. OATS also employs over 700 staff members throughout Missouri.

## LOCAL SPENDING

Many people ride OATS so they can take care of essential shopping needs, and that means more dollars being spent in our own communities. Plus, OATS buys fuel for and maintains a fleet of over 700 vehicles.

Consistent, long-term funding sources are needed to operate and expand OATS services. You can help with private donations, and support government funding for OATS services.

Donate on our website at:  
[www.oatstransit.org](http://www.oatstransit.org)

# OUR MISSION

To provide reliable transportation for transportation disadvantaged Missourians so they can live independently in their own communities.

*It is because of OATS that I have more independence.* - Katy M.

*I am a disabled individual who solely depends on OATS transportation for everything- shopping, vet appointments, going to see my family, and most of all doctor's appointments. I don't know how I would manage without it.* - Susie W.

*Our patients love their OATS drivers and so do we! It's a great organization.* - Bev G.



You can count on OATS for safe, reliable transportation with a team of outstanding drivers who will assure you arrive at your destination on time

### Ride conveniently for a variety of needs

You can ride OATS for work, medical, essential shopping, business & nutrition purposes. On most routes, you will be picked up and delivered right at your front door.

### Meet new people and make new friends

Our riders say that traveling with friends and neighbors is one of their favorite

things about OATS, and many riders also get to know the drivers. You, too, can see new places and meet new people when you ride.

### Trust OATS drivers to be professional, safe, courteous and friendly

All drivers partake in regular training to ensure you ride safely. They are happy to assist you on and off the bus, and can also help with your groceries and other packages if needed.

### Enjoy increased independence

With OATS you can be in charge of your transportation needs and meet them without having to rely on neighbors, friends or family members. Go when you want!



*CAMDEN COUNTY SB40 BOARD OF DIRECTORS*  
***RESOLUTION NO. 2018-21***

**OATS CONTRACT RENEWAL JULY 1<sup>ST</sup>, 2018, TO JUNE 30<sup>TH</sup>, 2019 – EMPLOYMENT TRANSPORTATION**

**WHEREAS**, Sections 205.968-205.972 RSMo and subsequent passage by Camden Co. voters of the Senate Bill 40 enabling legislation in August of 1980 allows for the business, property, affairs, administrative control, and management to rest solely with the Camden County SB40 Board of Directors (dba Camden County Developmental Disability Resources).

**WHEREAS**, the Camden County SB 40 Board may purchase services from service providers for Camden County clients when warranted and deemed necessary.

**NOW, THEREFORE, BE IT RESOLVED:**

- 1.** That the Camden County Senate Bill 40 Board (dba Camden County Developmental Disability Resources), hereafter referred to as the “Board”, acknowledges the need to purchase transportation services for employment purposes, and authorizes the Executive Director to initiate and sign the Contract Renewal with OATS, Inc, as identified in Attachment “A” hereto for providing transportation services for eligible Camden County clients.
- 2.** A quorum has been established for vote on this resolution, this resolution has been approved by a majority Board vote as defined in the Board bylaws, and this resolution shall remain in effect until otherwise amended or changed.

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Date

\_\_\_\_\_  
Secretary, Vice Chairman, or Treasurer

\_\_\_\_\_  
Date



# Attachment “A” to Resolution 2018-21

## Agreement for Services

This agreement is entered into by **CCDDR/SB 40 Board (employment transportation)** located at **5816 Osage Beach Parkway, Suite 108 Osage Beach, MO 65065** hereinafter known as the “**Contracting Party**” and OATS, Inc., 2501 Maguire Blvd, Ste 101, Columbia, MO 65201, a general not-for-profit corporation organized under the Laws of the State of Missouri, hereinafter known as “**OATS**”.

### The Contracting Party and OATS hereby agree as follows:

1. This Agreement becomes effective on **July 1, 2018** and terminates on **June 30, 2019**.
2. OATS agrees to transport **CCDDR/SB 40 Board clients** for the purpose of **employment**. Note: Scheduling of requested services is dependent upon vehicle and driver availability. OATS will strive to fill all requests made, but makes no guarantee of service availability unless service is of a routine and recurring nature and specific commitment has been made by OATS that service will be made available. Note: In rural areas, OATS is a general public transportation provider and therefore routes are published and open to the public.
3. OATS will invoice the Contracting Party for services rendered by the tenth (10th) day of the following month, and the Contracting Party will pay OATS at a rate of **\$4.00 in-town & \$5.00 in-county per unit (one-way trip)** which **\$.40** per unit (as defined above) is depreciation which OATS will deposit in a restricted account for use in cost of replacing vehicles. This rate is based on a gasoline price of \$2.009 per gallon. For every \$0.50 increase or decrease in the cost of gasoline, the rate will be increased or decreased by \$.40 per unit. The average cost for gasoline will be evaluated monthly based on an analysis of the company-wide negotiated payment agreement (which is generally less than pump price). Payment is due upon receipt of invoice; service cannot be delivered for delinquent accounts.
4. The **estimated** total amount of compensation for services to be provided under this Agreement is (state specific dollar amount and explanation): **Service will be billed at \$4.00 per one way trip for in-town service (inside city limits of Camdenton) and \$5.00 per one-way trip for in-county service (locations within Camden County) Example: client working five days per week \$4.00 or \$5.00 times 10 one-way trips = \$40.00 per week in-town/ \$50.00 per week in-county service.**
5. If service is to be provided by OATS on more than one day, the Contracting Party agrees to schedule OATS Service for any particular date at least twenty-four (24) hours in advance. Scheduling will be done through the appropriate Regional Director.
6. Unless otherwise noted in item 9 below, OATS service will not be provided on the following paid holidays: New Years Day; Martin Luther King, Jr. Day; Presidents Day; Memorial Day; 4<sup>th</sup> of July; Labor Day; Thanksgiving; the Day After Thanksgiving; and Christmas Day. Should a holiday fall on a Saturday, the preceding Friday is recognized; if a holiday falls on a Sunday, the following Monday is recognized.
7. In cases of inclement weather OATS’s general policy is to use the school bus closings as a guideline; however, the final decision rests with the OATS driver. Should weather, or other unforeseen events, necessitate the cancellation of service; the Contracting Party will be notified.
8. This Agreement may be terminated by either party by providing thirty (30) days written notice to the other party.
9. Special conditions which apply to this Agreement are as follows (*specify “none” if none apply*):  
Transportation will be provided Monday through Sunday except as noted in paragraph 6, excluding those holidays which occur on a Saturday and Sunday, and as noted in paragraph 7. For holidays not recognized by an employer, transportation will be accommodated.

### Contracting Party

By: \_\_\_\_\_  
 Date: \_\_\_\_\_

Special Billing Number: 0296

Check one: ☒ Rural  
☐ Urban

### OATS, Inc.

By: \_\_\_\_\_  
 Dorothy Yeager, Executive Director  
 Date: \_\_\_\_\_ Regional Director’s initials: \_\_\_\_\_

### Charter Checklist

Does this service support OATS’ program purposes(must check one)?:

- ☒ YES – Not considered charter; no further action required.  
☐ NO – Is contracting party a Qualified Human Service Org.?  
☐ Yes - Funding source #: \_\_\_\_\_ (from FTA List)  
☐ No – Contact Home Office for instruction

**CAMDEN COUNTY DEVELOPMENTAL DISABILITY RESOURCES  
PURCHASE OF TRANSPORTATION SERVICES AND/OR SUPPORTS (POS)  
AGREEMENT REQUEST**

**I. AGENCY INFORMATION**

Agency Name: OATS, Inc.

Address: 2501 Maguire Blvd, Columbia MO 65201

Phone #: 573-443-4516

Contact Person: Jack Heusted

**II. FUNDING REQUESTED**

**Please indicate the programs being offered through this POS request:**

- ☒ Transportation  
☐ Other: \_\_\_\_\_  
☐ Other: \_\_\_\_\_

For Calendar Year: July 1st, 2018 to June 30th, 2019

How many estimated Camden County persons with developmental disabilities will be served or will benefit with requested funding? 50

Program Description:

1. Transportation to be provided to and from Lake Area Industries for employment purposes.

"I certify to the best of my knowledge and belief that all data supplied with this request is true and correct. This request has been duly authorized by the governing body of the applicant, and I further understand and agree to the conditions and funding policies issued by Camden County Developmental Disability Resources."

  
(Authorized Signature)

5/16/18  
(Date)

Printed Name of Authorized Agency Representative: Jack Heusted



*CAMDEN COUNTY SB40 BOARD OF DIRECTORS*  
***RESOLUTION NO. 2018-22***

**OATS MOU FOR TRI-COUNTY TRANSIT DEVIATED ROUTE & EXTENDED WEEK/WEEKEND SERVICES**

**WHEREAS**, Sections 205.968-205.972 RSMo and subsequent passage by Camden Co. voters of the Senate Bill 40 enabling legislation in August of 1980 allows for the business, property, affairs, administrative control, and management to rest solely with the Camden County SB40 Board of Directors (dba Camden County Developmental Disability Resources).

**WHEREAS**, the Camden County SB 40 Board may purchase services from service providers for Camden County clients when warranted and deemed necessary.

**NOW, THEREFORE, BE IT RESOLVED:**

- 1.** That the Camden County Senate Bill 40 Board (dba Camden County Developmental Disability Resources), hereafter referred to as the "Board", acknowledges the need to fund community inclusive public transportation opportunities available to CCDDR clients through the Tri-County Transit deviated route system and the Tri-County Transit extended weekday/weekend services.
- 2.** That the Board hereby approves the OATS, Inc. Special Funding Requests totaling \$55,000 for the expanded public transit system (\$45,000 for the Extended Week/Weekday services and \$10,000 for the Deviated Route services) and authorizes the Executive Director to initiate and sign a Memorandum of Understanding (MOU) with OATS, Inc. (see Attachment "A" hereto).
- 3.** A quorum has been established for vote on this resolution, this resolution has been approved by a majority Board vote as defined in the Board bylaws, and this resolution shall remain in effect until otherwise amended or changed.

\_\_\_\_\_  
Chairman

\_\_\_\_\_  
Date

\_\_\_\_\_  
Secretary, Vice Chairman, or Treasurer

\_\_\_\_\_  
Date

# Attachment “A” to Resolution 2018-22



## Memorandum of Understanding

This Memorandum of Understanding ("MOU") is made and effective this 1<sup>st</sup> day of July, 2018 by and between Camden County SB 40 Board at 5816 Osage Beach Parkway, Suite 108 Osage Beach, MO 65065 and OATS, Inc., a general not-for-profit corporation organized under the Laws of the State of Missouri ("OATS") for the purpose of providing funding for community inclusive public transportation opportunities for CCDDR clients through the Services of the Tri-County Transit deviated route and the Tri-County Transit extended weekday/weekend services.

This MOU will be for the transportation of CCDDR clients for community based employment and other community based activities. Provision of requested services is dependent upon vehicle and driver availability. OATS will strive to fill all requests made, but makes no guarantee of service availability at the time of the request.

OATS is a public transportation provider and is fully insured and compliant with all applicable regulations regarding public transportation.

This MOU will be current and standing unless revoked by either party upon thirty (30) day written notice to the other party.

Camden County SB 40 Board

OATS, Inc.

By: \_\_\_\_\_

By: \_\_\_\_\_  
Dorothy Yeager, Executive Director

**CAMDEN COUNTY DEVELOPMENTAL DISABILITY RESOURCES  
SPECIAL FUNDING REQUEST**

**I. AGENCY INFORMATION**

Agency Name: OATS, Inc.

Address: 2501 Maguire Blvd, Columbia MO 65201

Phone #: 573-443-4516

Contact Person: Jack Heusted

**II. FUNDING REQUESTED**

**Purpose of this Special Funding Request:**

- ☐ New Service and/or Support Program
- ☒ Transportation
- ☒ Community Inclusion
- ☒ Community Employment
- ☐ Housing
- ☐ Vehicle(s)
- ☐ Property Acquisition and/or New Construction
- ☐ Other Capital/Major Purchases (Equipment, Renovations, Major Repairs, etc.)
- ☐ Health & Safety Abatement
- ☐ Operational Shortfall
- ☐ Other: \_\_\_\_\_

**Amount Requested:** \$ 45,000

**(All supporting evidence associated with this request MUST be attached. CCDDR Reserves the right to request additional documentation as needed to support this Funding Request.)**

“I certify to the best of my knowledge and belief that all data supplied with this request is true and correct to the best of my knowledge. This request has been duly authorized by the governing body of the applicant, and I further understand and agree to the conditions and funding policies issued by Camden County Developmental Disability Resources.”

  
(Authorized Signature)

5/16/18  
(Date)

Printed Name of Authorized Agency Representative: Jack Heusted



**OATS, Inc.**  
**Camden County Expanded Transportation Project**  
**Mid Missouri Region**  
**For the Period of July 1, 2018-June 30, 2019 (FY18)**

**OPERATING BUDGET**

**INCOME**

Regular Service: 2,397 hours year @ \$35.00 per hr	\$	83,895.00
	\$	-
<b>TOTAL INCOME</b>	<b>\$</b>	<b>83,895.00</b>

Funding Sources:

Section 5311	\$	38,895
Camden County SB 40	\$	45,000
<b>Total</b>	<b>\$</b>	<b>83,895</b>

**EXPENSE**

Driver Wages	\$	40,335.15
Dispatching	\$	7,577.45
Driver Benefits	\$	7,474.44
Fuel & Lubricants	\$	11,732.88
Tires & Tubes	\$	745.59
Other Material/Supplies for Vehicle	\$	2,863.49
Preventive Maintenance	\$	4,642.52
Insurance	\$	5,754.16
Licensing & Taxes	\$	252.46
<b>TOTAL OPERATING EXPENSES</b>	<b>\$</b>	<b>81,378.15</b>

Administration Costs (billing & recordkeeping) - 3% of Income	\$	2,516.85
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Total Expenses	<b>\$</b>	<b>83,895.00</b>
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**Expanded Service of Current Service Levels Only**

One Vehicle: 2:00 p.m.-8:00 p.m. Monday-Friday

One Vehicle: 9:00 a.m.-3:00 p.m. Saturday and Sunday

**CAMDEN COUNTY  
PUBLIC  
EXPANDED TRANSPORTATION PROJECT**

**Mobility has a great impact on the livability of a community because it connects people to social services, employment, health care, education and to others in the community.**

**Creating a livable community through better/more transportation options not only improves the lives of those who need the transportation options, but opens up new economic opportunities for everyone in the community.**

**Service Description:**

**OATS, Inc. will operate one vehicle providing expanded operating hours to 8:00 pm Monday through Friday and 9:00 am to 3:00 pm on Saturdays and Sundays.**

**Accessing the proposed service will be done by calling 1-800-269-6287 or 573-449-3789. For next day services Tuesday through Thursday you must call your reservation in by 1:00 pm the day before.**

**Saturday, Sunday and Monday reservations must be called in by 1:00 pm on Friday.**

**Proposed services are to assist individuals with employment transportation. However, the services are also available of other transportation needs such as shopping, medical, nutrition, recreation and other essential transportation needs as capacity and time slots allow.**

**The round trip FARE for your transportation is:**

**In – Town: \$5.00**

**Within County: \$7.00**

**Expected Results of project:**

**To help individuals obtain and maintain employment and access opportunities their communities offer them.**

**CAMDEN COUNTY DEVELOPMENTAL DISABILITY RESOURCES  
SPECIAL FUNDING REQUEST**

**I. AGENCY INFORMATION**

Agency Name: OATS, Inc.

Address: 2501 Maguire Blvd, Columbia MO 65201

Phone #: 573-443-4516

Contact Person: Jack Heusted

**II. FUNDING REQUESTED**

**Purpose of this Special Funding Request:**

- ☒ New Service and/or Support Program
- ☒ Transportation
- ☒ Community Inclusion
- ☒ Community Employment
- ☐ Housing
- ☐ Vehicle(s)
- ☐ Property Acquisition and/or New Construction
- ☐ Other Capital/Major Purchases (Equipment, Renovations, Major Repairs, etc.)
- ☐ Health & Safety Abatement
- ☐ Operational Shortfall
- ☐ Other: \_\_\_\_\_

**Amount Requested:** \$ 10,000

**(All supporting evidence associated with this request MUST be attached. CCDDR Reserves the right to request additional documentation as needed to support this Funding Request.)**

"I certify to the best of my knowledge and belief that all data supplied with this request is true and correct to the best of my knowledge. This request has been duly authorized by the governing body of the applicant, and I further understand and agree to the conditions and funding policies issued by Camden County Developmental Disability Resources."

  
\_\_\_\_\_  
(Authorized Signature)

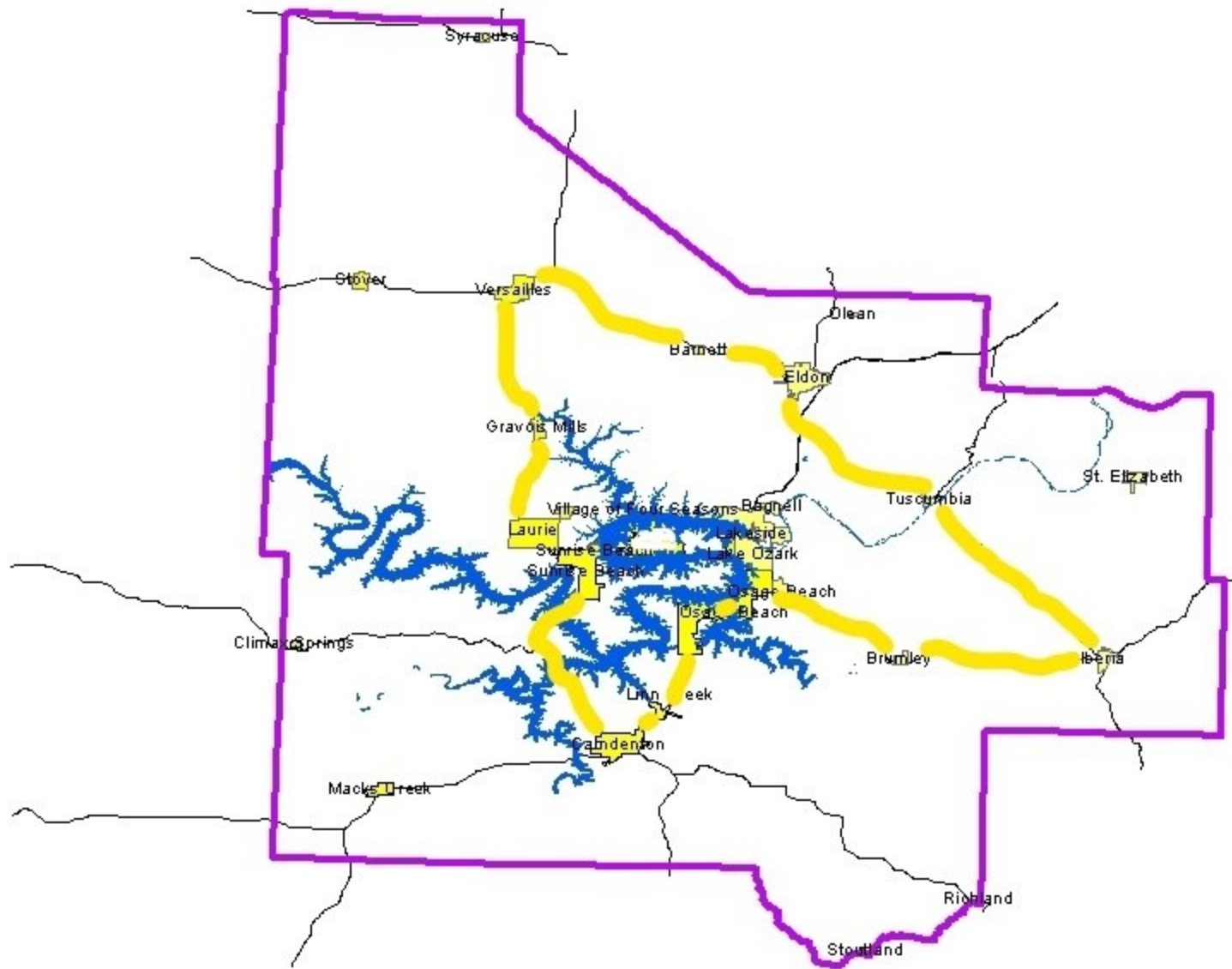
5/16/18  
\_\_\_\_\_  
(Date)

Printed Name of Authorized Agency Representative: Jack Heusted

**OATS Transit**  
**TRI-County Transit Deviated Route**  
**Mid Missouri Region**  
**Rider Cost per Trip - \$2.00**  
**3 buses 5 Days per week, Approximately 11 hrs per day (Route Begins at 7:00 AM)**

OPERATING BUDGET						
INCOME		Total Project	Miller County	Morgan County	Camden County	OATS
Funding Sources:						
	Section 5311 Inter city	\$60,300	\$0	\$0	\$0	\$60,300
	In-Kind	\$14,600	\$0	\$0	\$4,800	\$9,800
	Camden Co. SB 40	\$10,000	\$0	\$0	\$10,000	
	Morgan Co. SB 40	\$5,000	\$0	\$5,000	\$0	
	Miller Co. SB 40	\$5,000	\$5,000	\$0	\$0	
	Medicaid and Other Contracts	\$65,300	\$0	\$0	\$0	\$65,300
	TOTAL INCOME	\$160,200	\$5,000	\$5,000	\$14,800	\$135,400
EXPENSES						
	Driver Wages	\$76,763	\$38,074	\$18,423	\$20,265	
	Dispatching (in-kind)	\$5,000	\$0	\$0	\$0	\$5,000
	Driver Benefits	\$11,247	\$5,579	\$2,699	\$2,969	
	Fuel & Lubricants	\$23,672	\$11,741	\$5,681	\$6,249	
	Tires & Tubes	\$2,266	\$1,124	\$544	\$598	
	Other Material/Supplies for Vehicle	\$3,624	\$1,797	\$870	\$957	
	Preventive Maintenance	\$5,369	\$2,663	\$1,289	\$1,417	
	Insurance	\$12,640	\$6,269	\$3,034	\$3,337	
	Licensing & Taxes	\$651	\$323	\$156	\$172	
	Total Operating Expenses	\$141,232	\$67,571	\$32,696	\$35,965	\$5,000
	Administration Costs (billing & recordkeeping)	\$4,746	\$2,354	\$1,139	\$1,253	\$0
	Public Outreach, Education & Awareness	\$4,622	\$0	\$0	\$0	\$4,622
	Office Lease (in-kind)/Utilities	\$9,600	\$0	\$0	\$4,800	\$4,800
	Total Administrative Expenses	\$18,968	\$2,354	\$1,139	\$6,053	\$9,422
	TOTAL EXPENSES	\$160,200	\$69,925	\$33,835	\$42,018	\$14,422

# Tri-County Transit Deviated Route



# **Miller, Morgan & Camden County**

## **Deviated Route Public Transportation System**

### **Introduction**

Health and wellness depends on many factors, including making sure people have access to their communities, employment opportunities and health care resources. In Missouri, public transportation is a coordinated and multi-modal system with capacity for on-demand services, including smaller city and county-operated systems. Our nation's transportation infrastructure has been developed to ensure people who depend upon public transportation and require accessibility can utilize these services to live with independence in their communities. Missouri's transportation infrastructure lags behind, including in Miller, Morgan and Camden Counties; yet, the health care industry's current focus is on preventive services that increase the efficiency and effectiveness of medical care, reduce emergency department utilization, prevent hospitalizations, and prevent hospital re-admissions. Thus, there is an emerging awareness of the need to increase partnerships between health/wellness providers and transportation providers.<sup>1</sup>

The Lake Area Transportation Task Force began meeting in April of 2016. The Task Force was a combination of various state agencies' representatives, city leaders, county leaders, health care providers, transportation providers (public and private), political subdivisions, not-for-profit organizations' representatives and other community resource agencies' representatives which convened to discuss transportation barriers and the effect these barriers have on the health and wellness of local residents. The research developed and work performed by the Task Force led to the formation of the Lake of the Ozarks Transportation Council (LOTCC) and the creation of Tri-County Transit (TCT). The mission of LOTCC is to promote, develop, operate and/or fund mass transportation facilities and/or programs in and around the Lake of the Ozarks region. TCT was created in July of 2017 to provide evening and weekend access to public transportation systems, and the demand has been overwhelming. Both the Task Force and LOTCC have determined through their efforts that a deviated

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<sup>1</sup> National Center for Mobility Management, *Rides to Wellness*, (2015): 1.

route capturing Miller, Morgan and Camden County residents is needed to ensure access to employment, health care facilities/services, daily living needs/services and other vital resources in the community. TCT's deviated route system would include three, 12-rider maximum capacity buses operating year-round, Monday through Friday, approximately 11 hours per day and traveling a "loop" through Miller, Morgan and Camden Counties extending over 125 miles (one-way). The deviated route would encompass at least 18 communities in the Lake Area, including Eldon, Lake Ozark, Tuscumbia, Kaiser, Osage Beach, Brumley, Iberia, Aurora Springs, West Aurora, Kaiser, Versailles, Gravois Mills, Laurie, Barnett, Sunrise Beach, Greenview, Linn Creek and Camdenton.

LOTG will be the fiscal agent for various grant funding efforts (specifically local) on behalf of the coordinated TCT deviated route system. LOTG is partnering with various local and regional agencies, including Miller County Board for Services for the Developmentally Disabled (SB 40 Board), Camden County Developmental Disability Resources (SB 40 Board), Morgan County SB 40 Board for the Developmentally Disabled, OATS Transit, Local Area Needs Initiative and the Salvation Army. OATS Transit, which currently has a modest service schedule in all three counties, will provide services for the TCT deviated route. The current system offered requires interested riders to schedule their trips in advance; however, the deviated route system would be a pick-up and drop-off on demand service. There will be pre-determined pick-up locations for the general public along the service route, drop-off destinations anywhere within the boundaries of the identified communities, direct pick-up for individuals with disabilities or seniors within one mile on either side along the deviated route, and direct drop-off to destinations within one mile on either side along the deviated route. There will be one interconnecting bus, and there will be two buses operating along the deviated route which would be continuous and moving in opposite travel directions in order to alleviate trip time to destinations and rider return trip time to original pick-up locations (if needed); thereby, making travel as convenient as possible.



## Research and Data Analysis

In 2016, there were several surveys and research efforts developed by Task Force members and local partnering agencies. The focus of these endeavors was based on access to employment; the most effective ways to utilize federal, state and local funds to provide transportation services; and comparing the Lake Area to other areas in the state where public transportation systems are more readily available.

### *Camden County Individuals with Developmental Disabilities Analysis*

Camden County Developmental Disability Resources (CCDDR) administered two surveys to Camden County residents with intellectual and/or developmental disabilities (I/DD) who were approaching working age (15-years-of-age or older) or who were already of working age. The first survey conducted was based on competitive integrated employment opportunities (i.e. not sheltered workshop employment). The second survey was conducted to determine if transportation was a barrier to community inclusion activities and/or accessing community resources. The results clearly showed most individuals wanted competitive jobs in the community, and transportation was a significant barrier to obtaining competitive jobs, community inclusion activities and accessing community resources.

CCDDR then completed a cost analysis to determine if existing Medicaid Waiver and SB 40 funded transportation services for Camden County residents with I/DD could be implemented more efficiently by contracting with a public transportation provider (OATS Transit). What the analysis revealed was public transportation services could be provided at a lesser expense than direct services funded through coordinated Medicaid Waiver and SB 40 funding. It should be noted Medicaid State Plan transportation services, which encompasses a much larger population of consumers, were not included in the analysis.

### *General Public & Local Employers Analysis*

The members of the Task Force wanted to know if transportation was a barrier to successful employment and how inadequate transportation affects employers in the community. Surveys were administered to

approximately 600 community members and approximately 60 major businesses in Morgan, Miller, Camden and Laclede Counties. The results indicated transportation is a significant barrier and employer turnover is directly affected, which suggests expanding local public transportation services could help to alleviate deficiencies.

### *Regional Comparisons and Analysis*

The Task Force also examined publicly available data to determine how the local area compares to other specific regions in Missouri. State service supports, population, household, and other related data were compared to metropolitan areas which have ample public transportations systems available to their general populations. Data from the local area showed compelling similarities to more densely populated areas with significant public transportation systems, which strongly suggests there is a need to expand public transportation services in the Lake Area. These comparisons and other pertinent data related to Miller, Morgan, and Camden Counties were compiled by Task Force members and presented at informational venues.

### *National Analysis and Discussion*

Public transportation can be an important factor in accessing health services and can result in greater preventive care, fewer unnecessary hospital readmissions, and lower health care costs. This can lead to improved health for those with chronic conditions and reduced health disparities by ensuring at-risk populations can access health care, which includes preventive services and screenings. Missed appointments are a major issue in the medical community with one study noting that approximately 3.6 million Americans miss or delay medical care due to transportation issues.<sup>2</sup> By improving access we may be able to reduce hospital readmissions, as 18 percent of patients discharged from the hospital are readmitted within 30 days and one third are readmitted within 90 days.<sup>3</sup> Medicare spends \$15 billion annually for hospital readmissions.<sup>4</sup>

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<sup>2</sup> Transportation Resource Board, The National Academies of Sciences, Engineering, and Medicine, *Cost Benefit Analysis of Providing Non-Emergency Medical Transportation*, (2005).

<sup>3</sup> CMS Office of the Actuary, *Estimated Financial Effects of the 'Patient Protection and Affordable Care Act,' as Amended*, (2010).

<sup>4</sup> Medicare Payment Advisory Commission, *Report to the Congress: Promoting Medicare Payment Advisory Commission*, (2007): 103.

## Summary

Professionals from health-related industries have identified a correlation between transportation barriers and health/wellness. The expansion of public transportation systems in the Lake Area can lead to a more efficient use of public funds and can effectively reduce overall health care costs. Increased access to health care, employment, and other community resources needed to sustain daily living increase the probability of happier, healthier lifestyles. The Task Force members held lengthy meetings and significant discussions about existing transportation services in Miller, Morgan and Camden Counties. Their conclusions from the research and analysis prompted the formation of LOTC, the creation of TCT, and the promotion of expanded public transportation systems. The demand for expanded, local public transportation systems has grown and the need to provide additional supports continues to evolve as services become increasingly community-based. There is an often unrecognized, symbiotic relationship between health/wellness and public transportation services.